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Title	Improved CTC Performance	
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Re:	IEEE 802.16maint-04/10 (12-21-04)	
Abstract	This contribution demonstrates that the convolutional turbo code (CTC) interleavers for block sizes 120 bytes and above have performance deficiencies. By selecting different interleaver parameters for these block sizes, the deficiencies can be corrected. The performance improvement in AWGN at $10^{-4}$ FER with the new parameters is at least 0.5 dB and in some cases up to 1.3 dB.	
Purpose	To provide improved CTC channel coding interleaver parameters when supporting H-ARQ.	
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### Current CTC Performance

The convolutional turbo code (CTC), a parallel concatenation of two duo-binary tail-biting recursive systematic codes, is an optional error control coding mode in 802.16-2004. The CTC interleaver, defined in 8.4.9.2.3.1 and 8.4.9.2.3.2, uses an “almost regular” permutation (ARP) [1],

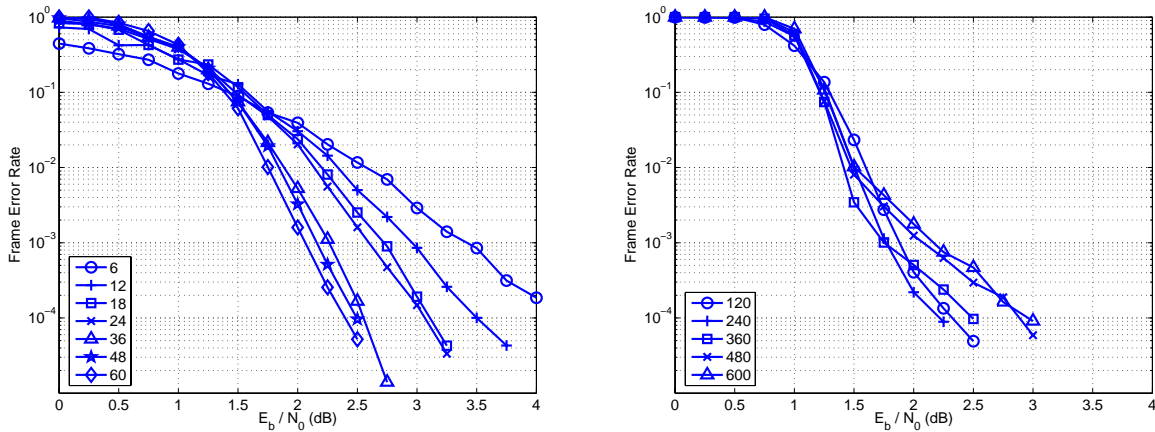
$$\pi(i) = (iP_0 + d(i)) \bmod N \tag{1}$$

where  $0 \leq i \leq N-1$  is the sequential index,  $\pi(i)$  is the permuted index,  $N$  is the information block size in bit couples,  $P_0$  is a number that is relatively prime to  $N$ , and  $d(i)$  is a “dither” vector. For all 802.16 block sizes,  $d(i)$  assumes the form

$$d(i) = \begin{cases} 1, & i \bmod 4 = 0 \\ 1 + N/2 + P_1 & i \bmod 4 = 1 \\ 1 + P_2 & i \bmod 4 = 2 \\ 1 + N/2 + P_3 & i \bmod 4 = 3 \end{cases} \tag{2}$$

for  $0 \leq i \leq N-1$ . The values of  $P_0, P_1, P_2,$  and  $P_3$  depend on  $N$ , and are listed in Tables 324 and 325. Henceforth, this document only considers block sizes contained in Table 325.

Figure 1 plots the simulated frame error rate (FER) versus  $E_b/N_0$  using the current 802.16 CTC interleaver specification. The results assume a rate-1/2 code, binary modulation over a static additive white Gaussian noise (AWGN) channel, 7.5 decoding iterations, and perfect “genie” knowledge by the decoder of the encoder circulation states. Sub-figure (a) plots results for  $6n$ -byte data block sizes ( $n = 1, 2, 3, 4, 6, 8, 10$ ), and sub-figure (b) plots results for the larger  $120n$ -byte data block sizes ( $n = 1, 2, 3, 4, 5$ ).



(a)  $6n$ -byte block sizes,  $n = 1, 2, 3, 4, 6, 8, 10$       (b)  $120n$ -byte block sizes,  $n = 1, 2, 3, 4, 5$

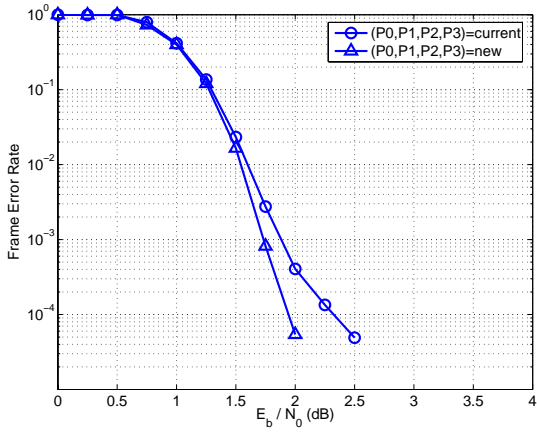
Figure 1. FER performance for currently specified CTC interleavers.

The performance of the  $6n$ -byte block sizes displays the expected turbo code behavior of improving performance with increasing block size. Furthermore, no error floor is discernable down to a FER of  $10^{-4}$ . However, the performance of the  $120n$ -byte block sizes displays the opposite. Here, the performance degrades with increasing block size (above 240-byte) and a distinct error floor is present.

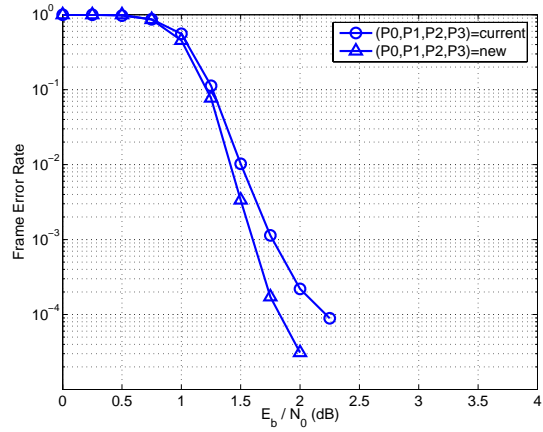
## **CTC Performance with New Interleaver Parameters**

A new set of CTC interleaver parameters was designed to correct the performance deficiencies of the  $120n$ -byte block sizes. The new parameters were selected according to guidelines prescribed in [1]. The FER performance (rate-1/2, binary modulation, static AWGN channel, 7.5 decoding iterations, and “genie” circulation state knowledge) with the new parameters is plotted in Figure 2. The figure shows that the new parameters correct the performance deficiencies of the current parameters. At  $\text{FER} = 10^{-4}$  the performance with the new parameters is at least 0.5 dB and in some cases up to 1.3 dB better than with the current parameters.

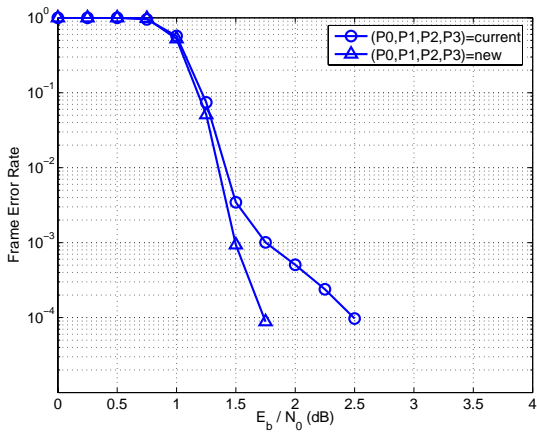
The proposed interleaver parameters have been independently tested by Nortel in comparison to the existing parameters. Their results in Figure 3 further support the significant improvement achieved by the proposed parameters.



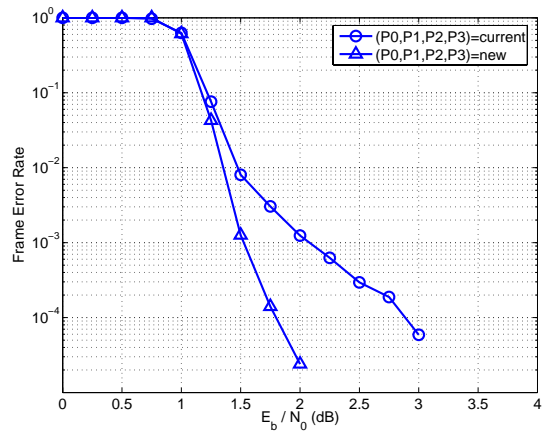
(a) 120-byte block



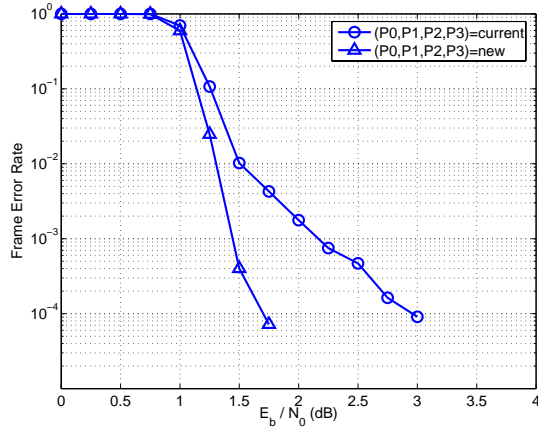
(b) 240-byte block



(c) 360-byte block



(d) 480-byte block



(e) 600-byte block

Figure 2. Performance with new CTC interleaver parameters.

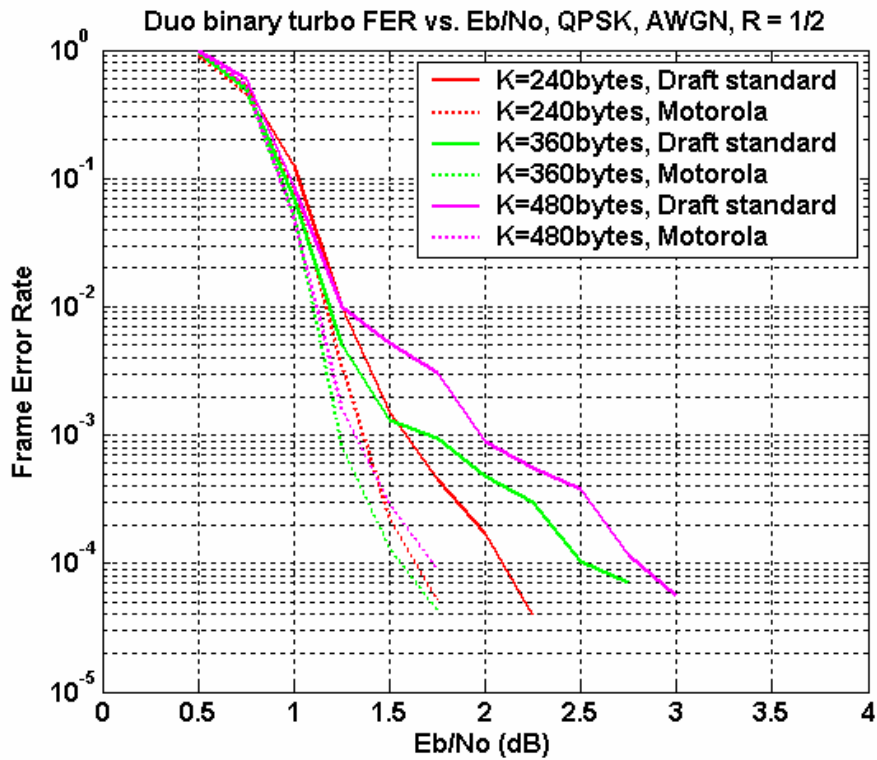


Figure 3. Cross simulation provided by Nortel on the existing and new CTC interleaver parameters.

## References

- [1] C. Berrou *et al.*, "Designing good permutations for turbo codes: towards a single model," in *Proceedings of the 2004 IEEE International Conference on Communications*, vol. 1, pp. 341-345.

## Recommended Text Changes:

Add the following table to IEEE 802.16maint-04/10 (12-21-2004), adjusting the numbering as required.

<Insert new section 8.4.9.2.3.1 on p. 79, line 49>

<Add the revised Table 327 to new section 8.4.9.2.3.1 on p. 79. Table 327 appears on p. 598 on 802.16-2004.>

Table 327 – Optimal CTC channel coding per modulation when supporting H-ARQ

<b>Data block size (bytes)</b>	<b>N</b>	<b>P0</b>	<b>P1</b>	<b>P2</b>	<b>P3</b>
6	24	5	0	0	0
12	48	13	24	0	24
18	72	11	6	0	6
24	96	7	48	24	72
36	144	17	74	72	2
48	192	11	96	48	144
60	240	13	120	160	180
120	480	13 53	240 62	120 12	360 2
240	960	13 43	480 64	240 300	720 824
360	1440	17 43	720	360	540
480	1920	17 31	960 8	480 24	1440 16
600	2400	17 53	1200 66	600 24	1800 2