Project	IEEE 802.16 Broadband Wireless Access Working Group < http://ieee802.org/16>
Title	Amendment to Security Primitives in Section 14.2.2.1, 14.2.2.2
Date Submitted	2007-03-08
Source(s)	Jung-Mo Moon, JeeHyeon Na, Mi-Young Yun, and Sangho jhna@etri.re.kr Lee
	ETRI
	161 Gajeong-dong, Yuseong-gu Daejeon 305-700 Korea
Re:	Contribution on comments to IEEE 802.16g/D8
Abstract	Re-definition of RSA primitives in section 14.2.2.2
Purpose	Adoption
Notice	This document has been prepared to assist IEEE 802.16. It is offered as a basis for discussion and is not binding on the contributing individual(s) or organization(s). The material in this document is subject to change in form and content after further study. The contributor(s) reserve(s) the right to add, amend or withdraw material contained herein.
Release	The contributor grants a free, irrevocable license to the IEEE to incorporate text contained in this contribution, and any modifications thereof, in the creation of an IEEE Standards publication; to copyright in the IEEE's name any IEEE Standards publication even though it may include portions of this contribution; and at the IEEE's sole discretion to permit others to reproduce in whole or in part the resulting IEEE Standards publication. The contributor also acknowledges and accepts that this contribution may be made public by IEEE 802.16.
Patent Policy and Procedures	The contributor is familiar with the IEEE 802.16 Patent Policy and Procedures (Version 1.0) http://ieee802.org/16/ipr/patents/policy.html , including the statement "IEEE standards may include the known use of patent(s), including patent applications, if there is technical justification in the opinion of the standards-developing committee and provided the IEEE receives assurance from the patent holder that it will license applicants under reasonable terms and conditions for the purpose of implementing the standard."
	Early disclosure to the Working Group of patent information that might be relevant to the standard is essential to reduce the possibility for delays in the development process and increase the likelihood that the draft publication will be approved for publication. Please notify the Chair <mailto:r.b.marks@ieee.org> as early as possible, in written or electronic form, of any patents (granted or under application) that may cover technology that is under consideration by or has been approved by IEEE 802.16. The Chair will disclose this notification via the IEEE 802.16 web site <http: 16="" ieee802.org="" ipr="" notices="" patents="">.</http:></mailto:r.b.marks@ieee.org>

Amendment to RSA Security Primitives

Jung Mo Moon, JeeHyeon Na, Mi Young Yun, and Sangho Lee ETRI

1. Introduction

IEEE 802.16g Network reference model defines a NCMS and an 802.16 entity in each side. However Section 14.2.2.2 only describes security primitives on an BS side. Therefore security primitives on an MS side are also needed for consistency.

This contribution adds security primitives on an MS side and changes some texts which are related to them.

We propose to modify section 14.2.2.2 as follows.

- 1. add a figure to illustrate security primitives on MS side.
- 2. change each subsection to clarify and describes on each side (SS(MS) and BS side)

2. Proposed Text Changes

[Modify Subclause 14.2.2.2 as follows]

When an SS tries to initiate an RSA-based authentication or re-authentication procedure with a BS, it sends PKM-REQ messages with Auth Info, Auth Request or PKMv2 RSA-Request message type. When an NCMS(SS) sends a C-SM-REQ/Certificate Infomation primitive to an 802.16 entitit(-SS) and the 802.16 entitit(SS) —sends a PKM-REQ message with Auth Info message type which includes a CA (Certificate Authority)'s certificate to the 802.16 entitit(BS), the 802.16 entitit(BS) informs of the NCMS(BS) entity as a C-SM-REQ/Certificate Infomation primitive. The NCSM(BS) entity verifies the CA's certificate if it has no information about the CA and keeps the certificate.

When an NCMS(SS) sends a C-SM-REQ/Certificate Verification primitive to an 802.16 entitit(SS) to authenticate the SS and the 802.16 entitit(SS) the 802.16 entitit(SS) an SS sends a PKM-REQ message with Auth Request or PKMv2 RSA-Request message type to authenticate the SS, the 802.16 entitit(BS)BS informs of the NCMS(BS) entity as a C-SM-REQ/Certificate Verification primitive. The NCMS(BS) entity verifies the SS's certificate through asking to a CA and an OCSP (Online Certificate Status Protocol) server. The NCMS returns the result of verification to the 802.16 entitit(BS) BS whether the SS is authenticated or not as a C-SM-RSP/Certificate Verification primitive. The 802.16 entitit(BS) BS sends the result of authentication and security information to the NCMS(SS) including security key information and the NCMS(SS) return the result as a C-SM-RSP/Certificate Verification primitive.

Figure XXX and 474 shows a RSA-based authentication procedure between an 802.16 entitita BS and the NCMS entity as follows:

Insert the figure XXX to the following figure Section 142.2.1

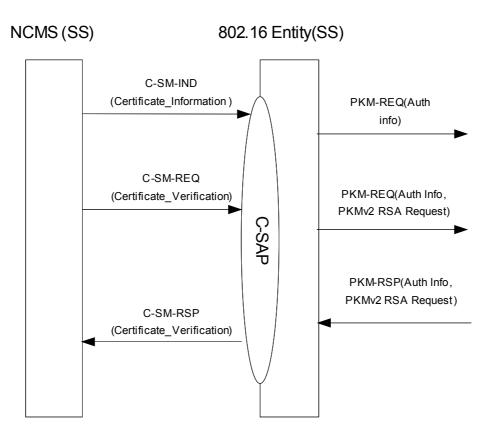
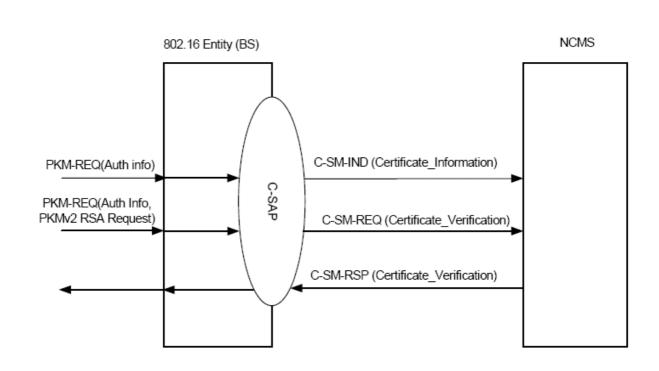


Figure XXX – RSA based Authentication Procedure in MS



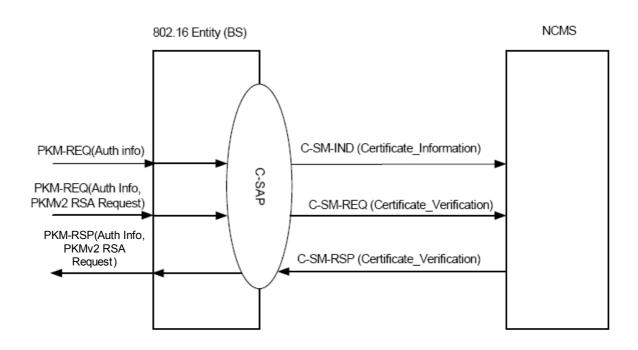


Figure <u>474</u> – EAP based Authentication Procedure in BS

[Modify Subclause 14.2.2.1.1.1 as follows]

14.2.2.2.1 C-SM-IND

This primitive (or message) is used by <u>NCMS(SS)</u> or an 802.16 entity(<u>BS</u>) to notify security procedures. The Event_Type included in this primitive defines the type of security operation in Authentication and Reauthentication procedure to be performed. The possible Event Types for this primitive are listed in Table below:

Function

This primitive informs the NCMS entity(BS) of the certificate of the CA that issued the SS's certificate.

Semantics of the service primitives

```
The parameters of the primitives are as follows: C-SM-IND

(
Destination: NCMS, MS
)
```

When generated

This primitive is issued by a NCMS(SS) when an NCMS(SS) informs the BS of CA's certificate. In addition, This this primitive is issued by a 802.16 entity(BS) (when the BS does not have CA's information that generates the certificate) when an SS informs the BS of CA's certificate

Effect of receipt

The NCMS(BS) has information for a CA's certificate and is able to verify an SS's certificate whether the SS's certificate is forged or not.

```
[Modify Subclause 14.2.2.2.2 as follows]
```

14.2.2.2.2 C-SM-REQ

This primitive (or message) is used by an NCMS(SS) or an 802.16 entity(BS) to trigger security procedure or request security information.

_Function

This primitive is used by an NCMS(MS) or an 802.16 entity(BS) a BS to inform an 802.16 entity(SS) or the NCMS(BS) of an SS's certificate to authenticate the SS of the NCMS entity.

Semantics of the service primitives

```
The parameters of this primitive are as follows: C-SM-IND (

Destination: <u>BS</u>, NCMS
)
```

When generated

This primitive is issued by <u>an NCMS(SS)</u> or <u>an 802.16 entity(BS)</u> <u>a BS (when the BS does not have CA information that generates the certificate)</u> when an SS requests the BS for authentication to access the network.

Effect of receipt:

The NCMS(BS) verifies the validity of the SS's certificate.

14.2.2.2.3 C-SM-RSP

This primitive (or message) is used by the an NCMS(BS) or an 802.16 entity(SS) to respond to the security information request. The Operation_Type included in this primitive defines the type of security operation in Authentication and Reauthentication procedure to be performed. The possible Operation_Types for this primitive are listed in Table below:

Function

This primitive informs an 802.16 entity(the BS) or an NCMS(SS) of the result of the SS's authentication by the NCMS entity.

Semantics of the service primitives:

The parameters of the primitives are as follows:

```
C-SM-RSP

(
Destination: BS, NCMS,
```

When generated:

This primitive informs the <u>802.16 entity(BS) or the NCMS(SS) of BS</u> the result of the authentication.

Effect of receipt:

The <u>802.16 entity(BS)BS</u> transmits the PKM-RSP message to the <u>802.16 entity(SS)</u>. If the result is successful, a pre-Primary AK is included in it. <u>The 802.16 entity(SS) informs NCMS(SS) of authentication result.</u>