Project	IEEE 802.16 Broadband Wireless Access Working Group < <u>http://ieee802.org/16</u> >				
Title	RS Network Entry, Topology Establishment and Initialization for IEEE 802.16j				
Date	2006-11-7				
Submitted					
Source(s)	Chie Ming Chou Wern-Ho Sheen, Fang-Ching Ren, Jen-Shun chieming@itri.org.tw				
	Yang, Tzu-Ming Lin, I-Kang Fu, Ching-Tarng Hsieh				
	Industrial Technology Research Institute (ITRI)				
	/ National Chiao Tung University (NCTU)				
	195,Sec. 4, Chung Hsing Rd.				
	Chutung, Hsinchu, Taiwan 310, R.O.C				
Re:	IEEE 802.16j-06/027: "Call for Technical Proposals regarding IEEE Project P802.16j"				
Abstract	This contribution describes RS network entry, topology establishment and initialization for 802.16j				
Purpose	Propose the RS network entry, topology establishment and initialization procedures for IEEE 802.16j specification				
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# **RS Network Entry, Topology Establishment and Initialization**

# for IEEE 802.16j

#### 3 I. Introduction

According to IEEE 802.16j-06-016r1 [1], the specification shall define network entry process for RS. An RS can be associated with an MR-BS, an RS or multiple RSs, as suggested in IEEE 802.16j-06-015 [2]. In addition, the specification shall define a mechanism for MR-BS to control and manage RSs in the MR-cell. In this contribution, procedures for RS network entry, topology establishment and initialization are proposed to meet these requirements.

The basic principle of this proposal is as follows. For a new incoming RS, it doesn't have the knowledge of MR network topology initially. It shall behave like an MS to perform its network entry to obtain a temporal association (maybe associate to an RS or an MR-BS) and obtain authorization and registration with an MR-BS. However, this temporal association may not be the best choice in term of system performance due to lack of topology consideration (hop numbers, traffic load, etc.). Thus, in addition to the procedures for network entry and initialization, an RS topology establishment procedure is devised to find the best station to associate with and perform network re-entry if necessary so that a better network topology can be established.

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## 1 II. Proposed text

# 3 **6.3.9** Network entry and initialization [5]

## 4 6.3.9.16 Support for network entry and initialization in relay mode

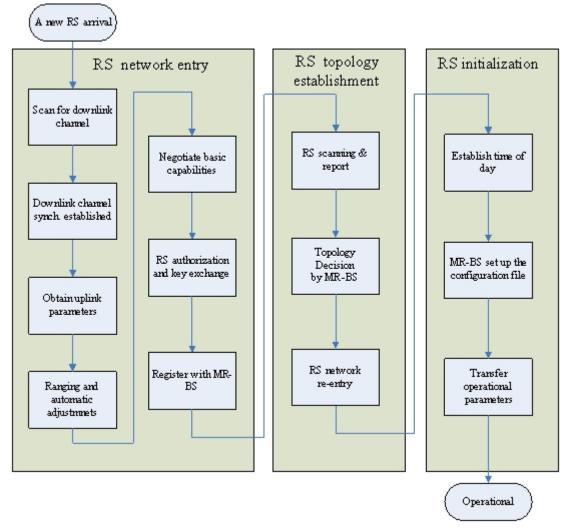
- 5 [Insert the following text into this section]
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7 The overall procedures of RS network entry, topology establishment, and initialization are shown in Figure

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Figure A, RS network entry, topology establishment and initialization

- In addition to the network entry and initialization, an RS topology establishment process is needed to find the best station to associate with and perform network re-entry if necessary so that a better network topology can be established.
  - The procedure for RS network entry is the same as MS network entry which includes:
  - a. Scan for overall downlink channel and establish synchronization
- 18 b. Obtain DL and UL parameters
- 19 c. Perform RS initial ranging

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  - d. Negotiate basic capabilities
    - e. Authorize RS and perform key exchange
  - f. Perform registration with MR-BS
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- 5 After RS network entry, the procedure for MR topology establishment is performed before the RS 6 initialization. The procedure of RS topology establishment includes:
  - a. RS scanning and report (RS neighborhood discovery)
  - b. RS topology decision
  - c. Force RS to perform network re-entry, if necessary
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11 After the establishment of the RS topology, the MR-BS will setup the corresponding RS configuration file 12 and transfer the related operational parameters to the RS for its initialization.

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14 6.3.9.16.1 RS scanning and report

After RS network entry, RS will trigger an RS scanning by transmitting an RLY\_SCN-REQ message which indicates the candidate stations and scanning type. These candidates can be picked up during previous scanning results in network entry. MR-BS shall response with an RLY\_SCN-RSP message to RS. After receiving this, RS will follow the indications to perform RS scanning and obtain the demanded metrics about recommended stations. An unsolicited RLY\_SCN-REP message shall be transmitted to MR-BS for scanning report after scan duration. The flow is shown in Figure B.

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## 22 6.3.9.16.2 RS topology decision

On MR-BS receiving the RLY\_SCN-REP message, based on selection criteria, MR-BS may select a best target station for re-association. A RLY\_TPY-IND message will be transmitted by MR-BS to notify the results and trigger the procedures of RS network re-entry.

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27 6.3.9.16.3 RS network re-entry

If the selected target station during topology establishment is not the same as previous associated one,
RS shall perform network entry again to associate with this new target.

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# 6.3.9.16.4 MR-BS set up the RS configuration file

After topology establishment, the new network topology has been decided. A configuration file of this new RS includes its BSID, preamble index, frequency assignment, information of its access station (preamble index, BSID) and QoS information including hop count, relay path quality, and service level support will be recorded and maintained by MR-BS.

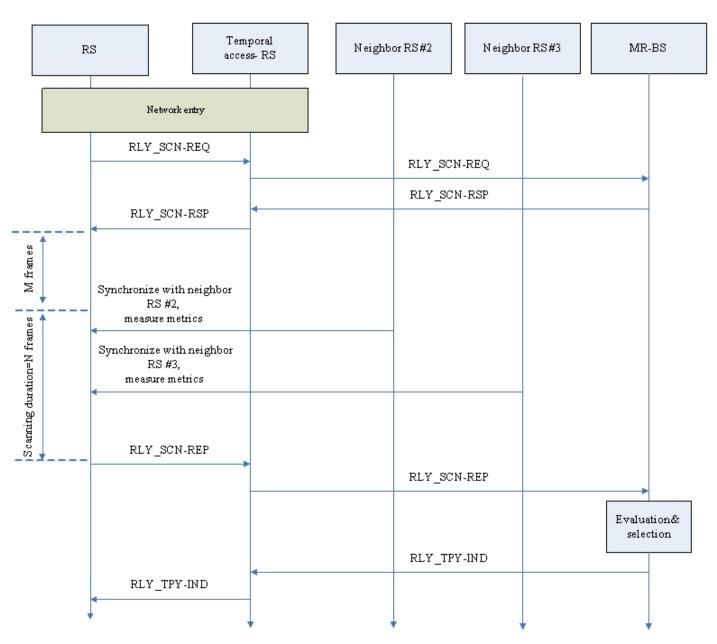
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## 37 6.3.9.16.5 Transfer operational parameters

38 MR-BS will transfer the operational parameters to this incoming RS by RLY\_CFG-IND message for 39 providing data transmission with following subscribers. Besides, MOB\_RS\_NBR-REQ [4] will be 2006-11-7

- originated by MR-BS to notify the changes of network topology to corresponding RSs (including this
- 2 incoming RS) to facilitate their network topology advertisement.
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Figure B, RS scanning and report

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- 7 6.3.2.3 MAC management messages
- 8 [Insert the following text into this section]
- Add the columns into Table 14 as indicated. 9
- 10

|--|

Туре	Message name	Message description	Connection
69	RLY_SCN-REQ	Relaying mode RS scanning interval allocation request	Basic
70	RLY_SCN-RSP	Relaying mode RS scanning interval allocation response	Basic
71	RLY_SCN-REP	Relaying mode RS scanning result report message Primary manage	
72	RLY_TPY-IND	Relaying mode topology indication message	Basic

2006-11	-7			IEEE C802.16j-0	6/167
	73	RLY_CFG-IND	Relaying mode configuration indication message	Basic	

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#### Relaying mode RS scanning request (RLY\_SCN-REQ) message

An RLY\_SCN-REQ message is transmitted by an RS to trigger the neighborhood discovery and determine their suitability as an association for attaching relaying network. The scanning type may be scanning or association (three levels) as the same as MS scanning process.

An RS shall generate RLY\_SCN-REQ messages in the format shown in Table A.

Syntax	Size	Notes
RLY_SCN-REQ_Message_format(){		
Management Message Type=69	8 bits	
Scan duration	8 bits	Units are in frames
Interleaving interval	8 bits	Units are frames
Scan Iteration	8 bits	In frames
N_Recommend_Station_Index	8 bits	Number of stations to be scanned or associated, which index that
		corresponds to the preamble index
For (j=0; j <n_recommend_station_index;< td=""><td></td><td>—</td></n_recommend_station_index;<>		—
j++){		
Preamble_Index/Subchannel Index	8 bits	This parameter defines the OFDMA PHY specific preamble
Scanning type	3 bits	0b000: Scanning without Association.
		0b001: Scanning with Association level 0: association without
		coordination
		0b010: Scanning with Association level 1: association with
		coordination.
		0b011: Scanning with Association level 2: network assisted
		association
		0b100–0b111: Reserved
}		—
Padding	variable	If needed for alignment to byte boundary
TLV encoded information	variable	—
}		

#### Table A-RLY\_SCN-REQ message format

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#### 11 Relaying mode RS scanning response (RLY\_SCN-RSP) message

12 An RLY\_SCN-RSP message shall be transmitted by the MR-BS in response to an RLY\_SCN-REQ 13 message sent by an RS. An MR-BS may transmit RLY\_SCN-RSP to trigger the RS scanning report with or

14 without scanning allocation. Four scanning type same as MS scanning may be used. The message shall be

15 transmitted on the Basic CID.

# IEEE C802.16j-06/167

# The format of the RLY\_SCN-RSP message is depicted in Table B.

# 3 4

Table B—RLY\_SCN-RSP message format

Syntax	Size	Notes
RLY_SCN-RSP_Message_format(){	_	—
Management Message Type=70	8 bits	—
Scan duration	8 bits	Units are in frames. When scan duration is set to zero, no
		scanning parameters are specified in the message. When
		RLY_SCN-RSP is sent in response to RLY_SCN-REQ, setting
		scan duration to zero to deny RLY_SCN-REQ.
Report mode	2 bits	0b00: No report
		0b01: Periodic report
		0b10: Event-triggered report
		0b11: Reserved
Reserved	6 bits	Shall be set to zero
Report period	8 bits	Available when the value of Report Mode is set to 0b01. Report period in
		frames.
Report metric	8 bits	Bitmap indicating metrics on which the corresponding triggers are
		based:
		Bit 0: CINR mean
		Bit 1: RSSI mean
		Bit 2: Relative delay
		Bit 3: MR-BS RTD; this metric shall be only measured on
		MR-BS.
		Bits 4–7: <i>Reserved</i> ; shall be set to zero.
If (Scan duration $!= 0$ ) {		
Start frame	4 bit	_
Reserved	1 bits	Shall be set to zero
Interleaving interval	8 bits	Duration in frames
Scan iteration	8 bits	—
Padding	3 bits	Shall be set to zero
N_Recommended_Station_Index	8 bits	Number of stations to be scanned or associated, which index that
		corresponds to the preamble index
For (j=0; j <n_recommend_station_index;< td=""><td></td><td>—</td></n_recommend_station_index;<>		—
j++){		
Preamble_Index/Subchannel Index	8 bits	This parameter defines the OFDMA PHY specific preamble
Scanning type	3 bits	0b000: Scanning without Association.
		0b001: Scanning with Association level 0: association without

		coordination
		0b010: Scanning with Association level 1: association with
		coordination.
		0b011: Scanning with Association level 2: network assisted
		association
		0b100–0b111: Reserved
If (Scanning type = $0b010$ ) or (Scanning	—	—
$type = 0b011$ {		
Rendezvous time	8 bits	Units are frame
CDMA code	8 bits	From initial ranging codest
Transmission_opportunity offset	8 bits	Units are transmission opportunity
}		_
}		
}		—
Padding	variable	If needed for alignment to byte boundary
TLV encoded information	variable	—
}		—

### Relaying mode RS scanning report (RLY\_SCN-REP) message

RS shall transmit an RLY\_SCN-REP message to report the scanning results to MR-BS after scan duration. The message shall be transmitted on the Primary Management CID.

The format of the RLY\_SCN-REP message is depicted in Table C.

Syntax	Size	Notes
RLY_SCN-REP_Message_format(){		—
Management Message Type=71	8 bits	—
Report metric	8 bits	Bitmap indicating metrics on which the corresponding triggers are
		based:
		Bit 0: CINR mean
		Bit 1: RSSI mean
		Bit 2: Relative delay
		Bit 3: MR-BS RTD; this metric shall be only measured on
		MR-BS.
		Bits 4–7: <i>Reserved</i> ; shall be set to zero.
N_Recommend_Station_Index	8 bits	Number of stations to be scanned or associated, which index that
		corresponds to the preamble index
For (j=0; j <n_recommend_station_index;< td=""><td></td><td>—</td></n_recommend_station_index;<>		—

j++){		
Preamble_Index/Subchannel Index	8 bits	This parameter defines the OFDMA PHY specific preamble
If (Report metric[Bit 0]==1)		—
Station CINR mean	8 bits	—
If (Report metric[Bit 1]==1)		_
Station RSSI mean	8 bits	—
If (Report metric[Bit 2]==1)		—
Relative delay	8 bits	—
}	—	—
TLV encoded information	variable	Optional
}		—

#### Relaying mode RS topology indication (RLY\_TPY-IND) message

An MR-BS shall transmit an RLY\_TPY-IND message for indicating what the suitable access RS or MR-BS is and trigger the network re-entry. The message shall be transmitted on the basic CID.

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#### Table D-RLY\_TPY-IND message format

Syntax	Size	Notes
RLY_TPY-IND_Message_format(){	—	—
Management Message Type=72	8 bits	—
Target_Station_ID	48 bits	—
Preamble_Index/Subchannel Index	8 bits	This parameter defines the OFDMA PHY specific preamble
HO process optimization	8 bits	HO Process Optimization is provided as part of this message is
		indicative only. HO process requirements may change at time of
		actual HO. For each Bit location, a value of '0' indicates the
		associated reentry management messages shall be required, a
		value of '1' indicates the reentry management message may be
		omitted. Regardless of the HO Process Optimization TLV
		settings, the target Station may send unsolicited SBC-RSP and/ or
		REG-RSP management messages:
		Bit #0: Omit SBC-REQ/RSP management messages during
		re-entry processing
		Bit #1: Omit PKM Authentication phase except TEK phase
		during current re-entry processing
		Bit #2: Omit PKM TEK creation phase during re-entry processing
		Bit #3: Omit REG-REQ/RSP management during current re-entry
		processing
		Bit #4: Omit Network Address Acquisition management
		messages during current re-entry processing

		Bit #5: Omit Time of Day Acquisition management messages during current reentry processing Bit #6: Omit TFTP management messages during current re-entry processing Bit #7: Full service and operational state transfer or sharing between serving station and target station (ARQ, timers, counters, MAC state machines, etc)
Padding	variable	If needed for alignment to byte boundary
TLV encoded information	variable	_
}		_

#### Relaying mode RS configuration indication (RLY\_CFG-IND) message

An MR-BS shall setup a RS configuration file to record and manage the PHY & MAC characteristics of a new RS after finishing its RS topology establishment. An RS\_CFG-IND message is also transmitted for transferring these operational parameters. The message shall be transmitted on the basic CID.

Table E-RS\_CFG-IND message format

Syntax	Size	Notes
RLY_CFG-IND_Message_format(){	—	—
Management Message Type=73	8 bits	—
Operator ID	24 bits	Unique ID assigned to the operator(MR-BS)
Fragmentation Index	4 bits	Indicates the current fragmentation index
Total Fragmentation	4 bits	Indicates the total number of fragmentations
Configured BSID	48 bits	Unique ID assigned to this new RS
PHY Profile ID	8 bits	Aggregated IDs of Co-located FA Indicator, FA Configuration Indicator,
		FFT size, Bandwidth, Operation Mode of the starting subchannelization
		of a frame, and Channel Number.
If (FA Index Indicator = =1){		—
FA index	8 bits	This field, Frequency Assignment Index, is present only the FA
		Index Indicator in PHY Profile ID is set.
}		—
If (BS EIRP Indicator = =1) {		—
RS EIRP	8 bits	Signed Integer from -128 to 127 in unit of dBm. This field is
		present only if the MR-BS EIRP indictor is set in PHY ProfileID.
}		-
Preamble Index/ Subchannel Index	8 bits	Index value 0b11111111 stands for Null case (RS doesn't need
		preamble)
Scheduling Service Supported	8 bits	Bitmap to indicate if Station supports a particular scheduling service. 1

		indicates support, 0 indicates not support:
		Bit #0: Unsolicited Grant Service (UGS)
		Bit #1: Real-time Polling Service (rtPS)
		Bit #2: Non-real-time Polling Service (nrtPS)
		Bit #3: Best Effort
		Bit #4: Extended real-time Polling Service (ertPS)
		If the value of bit 0 through bit 4 is 0b00000, it indicates no information
		on service available.
		Bits #5–7: <i>Reserved</i> ; shall be set to zero.
DCD Configuration Change Count	4 bits	This represents the 4 LSBs of this Station current DCD configuration
		change count.
UCD Configuration Change Count	4 bits	This represents the 4 LSBs of this Station current UCD configuration
		change count.
TLV Encoded Neighbor information	variable	TLV specific
Padding	variable	If needed
}		

# 2

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#### References

- 5 6
- 7 [1] IEEE 802.16j-06/016r1, "Proposed Technical Requirements Guideline for IEEE 802.16 Relay TG".
- [2] IEEE 802.16j-06/015, "Harmonized Contribution on 802.16j (Mobile Multihop Relay) Usage 8 Models". 9

-----End of text proposal-----

- [3] IEEE Std. 802.16e-2004, "IEEE Standard for Local and metropolitan area networks, Part 16: Air 10 11 Interface for Fixed Broadband Wireless Access Systems" .
- [4] IEEE C802.16j-06/166, "Network Topology Advertisement for IEEE 802.16j". 12
- [5] IEEE 802.16j-06/017r2, "Table of Contents of Task Group Working Document". 13
- 14
- 15