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| Re:                                |  |  |  |  |  |  |
| Abstract                           | The document present a technique to identify the RS in the network based on individual "signature" that is transmitted at prescribed interval of times and that can be detected by other RSs in the neighborhood area. This information is useful to determine the topology of the network, provide access to network to the RS, determine the level of interference, etc.   |  |  |  |  |  |
| Purpose                            | Review and adopt.  |  |  |  |  |  |
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# **Signature Identification for Multihop Relays**

Adrian Boariu and Tony Reid Nokia, Inc.

# Introduction

Part of the 802.16j PAR is the feature of having mobile RSs in the radio access network. Thus it is possible that two or more RSs get closer and interfere with each other. While using a GPS solution provides information with respect to the spatial proximity of the RSs, this option is not enough to estimate the real level of interference due to different propagation environments as well as different transmit powers used by RSs.

The document proposes that each RS should transmit at prescribed interval of times a unique "signature" that identifies the corresponding RS to other RSs in the radio access network.

# Access station signature

The proposed signature spans over 1 or 2 OFDM symbols, with one of the OFDM symbols (preferable the first one) transmitting nothing, in order to be used for background interference level estimation.

In the case where 1 OFDM symbol is used, the background interference level estimation is carried out during other time intervals. In this case, the OFDM symbol can be used, for example, as a amble for the RS.

For simplicity of presentation, the following discussion is for the 2 OFDM symbol case.

The OFDM symbol that actually conveys the signature, transmits energy at prescribed carrier positions, while the other positions the energy transmitted is zero. This allows a very simple and fast energy detector on each transmitted carrier.



Figure 1. Example of signatures for two RSs. On the carriers marked with "X" energy is transmitted.

The idea is illustrated in Figure 1 where two signatures, for RS0 and RS1, are shown. On the positions marked by "X" energy is transmitted. It is noticeable that the positions on which energy is transmitted for RS0 do not collide with the positions on which the energy is transmitted for RS1, therefore, even if the signatures are

transmitted simultaneously, they can be separated. Note that the detection can be performed non-coherently, i.e. there is not necessary to perform any channel estimation, therefore it is simple and fast.

The goal is to generate a large number of position-codes that map onto carrier positions which transmit energy, position-codes that have a small number of collisions.

We suggest that the position of the signature in the frame should be before the start of the preamble of the RS, if this is possible. The position of the signature is given by the access station and is relative to the beginning of the RS frame start.





RS should transmit the signature periodically, say the RS\_Signature\_Repetition is every 200 frames, and the RS\_Signature\_Burst\_Duration is 2 consecutive frames in order to allow averaging, as Figure 2 shows. The RS generates a random number between 1 and RS\_Max\_Signature\_Detection, and at that burst index instead of transmitting, the RS does reception in order to sense the environment. A new number is generated after RS\_Max\_Signature\_Detection burst repetitions. Note that RS can have assigned individual RS\_Max\_Signature\_Detection values, function of fact that RS is fixed or mobile, for example.

If the signature is actually an amble, than the RS should have assigned times when it does not transmit the amble, but is in the receiving mode in order to sense the environment.

# Generating the position-codewords

The position-codewords are formed from summation of an equally-spaced set and a Reed-Solomon (non-standard) code which has good distance properties.

Consider an (N,K) Reed-Solomon code of length N that encodes K symbols, each symbol being from an M-level alphabet, with the levels being normalized to the set  $\{0, 1, ..., M-1\}$ . To convey the signature, at least a number N\*M\*P of OFDM carriers is required (excluding the DC carrier), where P is a repetition factor. Thus, the position-codeword consists of transmitting energy on N\*P carriers out of N\*M\*P carriers available for signature. To generate the positions where the energy is transmitted, the following algorithm is proposed:

- A signature is identified based on a given *K*-tuple  $\mathbf{X} = [x_1, \dots, x_K]$  (called RS\_Signature\_ID), which is used to generate one codeword  $\mathbf{Y} = [y_1, \dots, y_N]$ ,  $\mathbf{Y} = \mathbf{X} * \mathbf{G}$  where  $\mathbf{G}$  is the generator matrix and all operations are modulo *M*;

- A repetition of **Y** of *P* times, is performed to obtain  $\mathbf{R} = [\mathbf{Y}, \dots, \mathbf{Y}]$ .

- A fixed set having the cardinality *N*\**P*, of numbers is given  $\mathbf{Z} = [z_1, \dots, z_{NP}];$ 

- The signature is generated by transmitting energy on the carriers given by the positions C = R + Z, while in the rest of the carriers out of total *N\*M\*P* no energy being transmitted.

For an (N,K) Reed-Solomon code, a number of  $M^{K} = (N+1)^{K}$  codewords are available, and the minimum distance between any two codewords is N - K + 1. If any two signatures are received simultaneously, the maximum number of carriers that may collide (where the energy is transmitted) is  $(K-1)^{*}P$ .

Note that because the detection is non-coherent, the values that are assigned to the carriers that transmit energy are not so important. However, there are provided values that have a good PAPR on average. The number of carriers that transmit energy are much less than the number of carriers that are used for the preamble (this will be see later). Therefore, the signatures can tolerate a larger PAPR.

#### Sets of no collision position-codewords

It can be shown that there is a *K*-tuple  $\mathbf{X}_{ones}$  such that its codeword is all ones, i.e.  $\mathbf{Y}_{ones} = [1, \dots, 1]$ . The Reed-Solomon codes are cyclic codes, which imply that the sum of any two codewords is also a codeword. Using this property, sets of no collision codewords can be generated. Consider an initial *K*-tuple  $\mathbf{X}^{(q)}$ , then the subset  $\mathbf{S}^{(q)} = \{\mathbf{Y}_p \mid \mathbf{Y}_p \text{ codeword of } \mathbf{X}_p = \mathbf{X}_{p-1} + \mathbf{X}_{ones} \mod N + 1, p = 1, \dots, N + 1 \mod \mathbf{X}_1 = \mathbf{X}^{(q)} \text{ given}\}$  is a set of N+1 codewords, codewords that are obtained, as relation shows, recursively using  $\mathbf{X}_{ones}$  and the  $\mathbf{X}_1$ . There are a total of  $M^{K-1} = (N+1)^{K-1}$  sets  $\mathbf{S}^{(q)}$  of no collision codewords. From the set of codewords, the set of position-codewords is derived straightforward by adding  $\mathbf{Z}$ .

# **Example for 128-FFT OFDMA**

The number of available carriers for preamble transmission for 128-FFT is 108 (excluding DC carrier). We chose K=2, N=6, i.e. the Reed-Solomon code is generated modulo N+1=7 and the maximum number of positions that can collide when any two codewords are used is K-1=1; there are a total of  $7^2=49$  codewords available. Also, the repetition factor P=2, thus the number of carriers that are required for transmission is  $N^*(N+1)^*P=84$ .

The fixed set is **Z**=[10, 17, 24, 31, 38, 45, 56, 63, 70, 77, 84, 91].

The generator polynomial vector is  $\mathbf{g} = \begin{bmatrix} 1 & 6 & 3 & 2 & 4 & 0 \\ 0 & 1 & 6 & 3 & 2 & 4 \end{bmatrix}$ , and

 $\mathbf{X}_{\text{ones}} = \begin{bmatrix} 1 & 2 \end{bmatrix}$ . There are 7 sets  $\mathbf{S}^{(q)}$ , each set having 7 codewords. The sets can be generated using as an initial  $\mathbf{X}^{(q)} = \begin{bmatrix} 0 & q \end{bmatrix}, q = 0, 1, \dots, 6$ .

Table 1 shows as an example the position-codewords for the no collision set  $S^{(3)}$ . It is easy to observe that there are no collisions, even *all* the position-codewords are used *simultaneously* to identify the RSs, i.e. a receiver can distinguish them individually if it knows the set the codewords they pertain to. Note also, that given any codeword in a given set, it is easy to identify the set to which it belongs. However, the position-codewords from any two different sets collide, and thus whenever planning of assigning signatures is done, this has to be taken into account in order to minimize the potential collisions if two sets are used adjacently. Thus by partitioning the poll of signatures available into no collision sets can be a very powerful tool that can be used in planning the RS\_Signature\_ID assignment to different RSs that have to be easily identified in the system.

| Generating S <sup>(3)</sup> set |   |                    | <b>Position-codewords for the set (C=R+Z)</b> |     |    |    |    |    |    |    |    |    |    |    |     |
|---------------------------------|---|--------------------|---|-----|----|----|----|----|----|----|----|----|----|----|-----|
| X index                         | [x <sub>1</sub> x <sub>2</sub> ]<br>(mod 7) | Y index<br>(mod 7) | Z   | [10 | 17 | 24 | 31 | 38 | 45 | 56 | 63 | 70 | 77 | 84 | 91] |
| Xones                           | [1 2]                                       | [111111]           | C index                                       |     |    |    |    |    |    |    |    |    |    |    |     |
| X1                              | [0 3]                                       | [034265]           | C <sub>1</sub>                                | [10 | 20 | 28 | 33 | 44 | 50 | 56 | 66 | 74 | 79 | 90 | 96] |
| (initial)                       |   |                    |   |     |    |    |    |    |    |    |    |    |    |    |     |
| $X_2$                           | [1 5]                                       | [145306]           | C <sub>2</sub>                                | [11 | 21 | 29 | 34 | 38 | 51 | 57 | 67 | 75 | 80 | 84 | 97] |
| X <sub>3</sub>                  | [2 0]                                       | [256410]           | C <sub>3</sub>                                | [12 | 22 | 30 | 35 | 39 | 45 | 58 | 68 | 76 | 81 | 85 | 91] |
| $X_4$                           | [3 2]                                       | [360521]           | $C_4$   | [13 | 23 | 24 | 36 | 40 | 46 | 59 | 69 | 70 | 82 | 86 | 92] |
| $X_5$                           | [4 4]                                       | [401632]           | C <sub>5</sub>                                | [14 | 17 | 25 | 37 | 41 | 47 | 60 | 63 | 71 | 83 | 87 | 93] |
| X <sub>6</sub>                  | [5 6]                                       | [5 1 2 0 4 3]      | C <sub>6</sub>                                | [15 | 18 | 26 | 31 | 42 | 48 | 61 | 64 | 72 | 77 | 88 | 94] |
| X <sub>7</sub>                  | [61]  | [623154]           | C <sub>7</sub>                                | [16 | 19 | 27 | 32 | 43 | 49 | 62 | 65 | 73 | 78 | 89 | 95] |

Table 1. Example of generating a set with no collisions with (6,2) Reed-Solomon code and P=2

#### **RS** signature proposal

# [In section 3 insert the following]

#### Relay configuration parameters

**RS\_Signature\_ID:** An RS is identified by the pair of numbers

**RS\_Signature\_Repetition:** The number of frame symbols after which the signature is repeated.

**RS\_Signature\_Burst\_Duration:** Number of consecutive frames that the signature is transmitted.

**RS\_Max\_Signature\_Detection:** The maximum number of RS\_Signature\_Repetition units that is used to generate the frame that RS detects the presence of other RSs.

### [In section 11 insert the following]

#### **RS** Configuration Message and Encoding

| Name            | Туре | Length | Comments  |
|-----------------|------|--------|---|
| RS_Signature_ID | byte | 2      | A pair of numbers that are from the set $\{0, 1,, N\}$ , where N is |

| Name                         | Type  | Length | Comments  |
|------------------------------|-------|--------|---|
|                              |       |        | 40 (6 bits) for 2048-FFT, 28 (5bits) for 1024-FFT, 18 (5 bits) for 512-FFT and 6 (3 bits) for 128-FFT. The bits that represent the numbers are in the MSB positions of the bytes. |
| RS_Signature_Repetiti on     | byte  | 2      | Length in units of frames.  |
| RS_Signature_Burst_D uration | TBD   | 1      | Length in units of frames.  |
| RS_Max_Signature_De tection  | bytes | TBD    | Length in units of RS_Signature_Repetition blocks.  |
| RS_Nocollision_Sets          | byte  | TBD    | The no collision sets that RS has to monitor.   |

# [In section 8.4.6 insert the following]

# RS preamble generation

The position-codewords indicate the positions in the data carriers that are used to transmit energy. On all other carriers no energy is transmitted, i.e only the data on the position-codewords is modulated.

The position-codewords are based on an (*N*, *K*) Reed-Solomon code, where *N* is the length of the code (**Y**) that encodes *K* symbols (from now on called RS\_ Signature \_ID and denoted by **X**) from the alphabet  $\{0,1,\dots,N\}$ . The code has a generator polynomial whose coefficients are given in the vector  $\mathbf{g} = [g_1,\dots,g_{N-K+1}]$ . A generator matrix is constructed as  $\mathbf{G} = \mathbf{I}_K \otimes \mathbf{g}$ , where  $\otimes$  is the Kronecker product operator (matrix direct product), and  $\mathbf{I}_K$  is the identity matrix of size *K*. The generated codeword is repeated *P* times, and this is performed using the Kronecker product with an all ones vector that has *P* positions, i.e.  $\mathbf{1}_P = [1,\dots,1]$ .

To generate the positions where the energy is transmitted, the following algorithm is used:

- A signature is identified based on a given RS\_Signature\_ID *K*-tuple  $\mathbf{X} = [x_1, \dots, x_K]$ , which is used to generate one codeword  $\mathbf{Y} = [y_1, \dots, y_N]$ ,  $\mathbf{Y} = \mathbf{X} * \mathbf{G}$  where **G** is the generator matrix and all operations are modulo N + 1;

- A fixed set having the cardinality *NP*, of equally-spaced numbers is given  $\mathbf{Z} = [z_1, \dots, z_{NP}]$ , where  $z_k = (k-1) * incr, k = 1, \dots, NP$  and *incr* is an increment;

- The position-codeword is obtained as  $\mathbf{C} = \mathbf{1}_p \otimes \mathbf{Y} + \mathbf{Z}$ .

Denote by  $\mathbf{X}_{ones}$  the *K* symbols that has as a codeword  $\mathbf{Y}_{ones} = \mathbf{1}_N$ , by  $\mathbf{X}^{(q)} = [0, \mathbf{q}]$  with  $\mathbf{q} = [x_2, \dots, x_K]$ , and by  $\mathbf{X}_p^{(q)} = \mathbf{X}^{(q)} + (p-1)\mathbf{X}_{ones} \mod (N+1)$  with  $p = 1, \dots, (N+1)$ . The sets  $\{\mathbf{X}_1^{(q)}, \dots, \mathbf{X}_{N+1}^{(q)}\}$  (for each  $\mathbf{q}$ ) generate no-

collision position-codewords and they can be used by the radio nerwork to judiciously assign RS\_Signature\_ID to RSs such that to minimize the signature collision probability.

The values that should be modulated for the positions that transmit energy are given in hexadecimal format, with the MSB being the first position in C, and using the mapping 0 into +1 and 1 into -1.

| Parameter  | Value   | Comments   |
|--|---|--|
| Length of codewords (N)  | 16  | The modulo operations are $N+1=17$   |
| Number of symbols encoded ( <i>K</i> )                                       | 2   | A symbol can have values 0,,16   |
| Number of repetition ( <i>P</i> )  | 6   | Generate 1 <sub>6</sub>  |
| Increment (incr)   | 17  | Used to generate Z   |
| Generator polynomial coefficients (g)  | 1, 7, 2, 13, 38, 24, 22, 10, 20, 39, 30,<br>17, 21, 4, 25, 28, 5, 31, 23, 16, 15, 9,<br>14, 3, 19, 33, 35, 6, 37, 18, 27, 40,<br>36, 12, 32, 29, 11, 26, 34 | Used to construct the generator matrix $\mathbf{G} = \mathbf{I}_K \otimes \mathbf{g}$ .  |
| Fixed set positions ( <b>Z</b> )   | $\{z_k \mid z_k = (k-1)^* incr, k=1,, NP\}$   | The data positions that are<br>modulated is given by $\mathbf{C} = 1_P \otimes \mathbf{Y} + \mathbf{Z}$ .<br>$\mathbf{Y} = \mathbf{X} \mathbf{G} \mod (N+1)$ , with $\mathbf{X}$ being |
|  |   | the RS_Signature_ID  |
| <i>K</i> -tuple ( $\mathbf{X}_{ones}$ ) that generates the all ones codeword | 1, 11   | $\mathbf{Y}_{ones} = 1_N$<br>$\mathbf{X}_{ones}$ is used to generate a set of position-codewords that have no collisions   |
| Position-codewords modulation values   | 0x11E6EB96B2EBD2560CBA169F  |  |

Table 2. 2048-FFT OFDMA parameters required to generate the relay signature

#### Table 3. 1024-FFT OFDMA parameters required to generate the relay signature

| Parameter                              | Value | Comments                           |  |  |  |  |
|--|-------|------------------------------------|--|--|--|--|
| Length of codewords (N)                | 16    | The modulo operations are $N+1=17$ |  |  |  |  |
| Number of symbols encoded ( <i>K</i> ) | 2     | A symbol can have values 0,,16     |  |  |  |  |
| Number of repetition ( <i>P</i> )      | 3     | Generate $1_3$                     |  |  |  |  |

| Increment (incr)   | 17   | Used to generate Z   |
|--|--|--|
| Generator polynomial coefficients (g)  | 1, 16, 9, 20, 11, 21, 26, 14, 8,<br>5, 18, 10, 6, 4, 3, 17, 24, 13,<br>22, 12, 7, 19, 25, 28, 15, 23, 27 | Used to construct the generator matrix $\mathbf{G} = \mathbf{I}_K \otimes \mathbf{g}$ .                                  |
| Fixed set positions (Z)  | ${z_k   z_k = (k-1)*incr, k=1,, NP}$   | The data positions that are modulated<br>is given by $\mathbf{C} = 1_P \otimes \mathbf{Y} + \mathbf{Z}$ .                |
|  |  | $\mathbf{Y} = \mathbf{X} \mathbf{G} \mod (N+1)$ , with $\mathbf{X}$ being the RS_Signature_ID                            |
| <i>K</i> -tuple ( $\mathbf{X}_{ones}$ ) that generates the all ones codeword | 1, 11  | $\mathbf{Y}_{ones} = 1_N$<br>$\mathbf{X}_{ones}$ is used to generate a set of position-codewords that have no collisions |
| Position-codewords modulation values   | 0xF21D3EF62A4F   |  |

# Table 4. 512-FFT OFDMA parameters required to generate the relay signature

| Parameter  | Value   | Comments  |
|--|---|---|
| Length of codewords (N)  | 10  | The modulo operations are $N+1=11$  |
| Number of symbols encoded ( <i>K</i> )                         | 2   | A symbol can have values 0,,10  |
| Number of repetition ( <i>P</i> )                              | 3   | Generate <b>1</b> <sub>3</sub>  |
| Increment (incr)   | 14  | Used to generate Z  |
| Generator polynomial coefficients (g)                          | 1, 11, 16, 9, 15, 18, 10, 6, 4, 3,<br>12, 7, 14, 8, 5, 13, 17 | Used to construct the generator matrix $\mathbf{G} = \mathbf{I}_K \otimes \mathbf{g}$ .                   |
| Fixed set positions (Z)  | ${z_k   z_k = (k-1)*incr, k=1,, NP}$                          | The data positions that are modulated<br>is given by $\mathbf{C} = 1_P \otimes \mathbf{Y} + \mathbf{Z}$ . |
|  |   | $\mathbf{Y} = \mathbf{X} \mathbf{G} \mod (N+1)$ , with $\mathbf{X}$ being the RS_Signature_ID             |
| <i>K</i> -tuple ( $\mathbf{X}_{ones}$ ) that generates the all | 1, 5  | $\mathbf{Y}_{\text{ones}} = 1_N$  |
| ones codeword  |   | $\mathbf{X}_{ones}$ is used to generate a set of position-codewords that have no collisions               |
| Position-codewords modulation values                           | 0x7682C9F0  |   |

| _  |                                      |   |
|--|--------------------------------------|---|
| Parameter  | Value                                | Comments  |
| Length of codewords (N)  | 6                                    | The modulo operations are $N+1=7$   |
| Number of symbols encoded ( <i>K</i> )                         | 2                                    | A symbol can have values 0,,6   |
| Number of repetition ( <i>P</i> )                              | 2                                    | Generate <b>1</b> <sub>2</sub>  |
| Increment (incr)   | 7                                    | Used to generate Z  |
| Generator polynomial coefficients (g)                          | 1, 6, 3, 2, 4                        | Used to construct the generator matrix $\mathbf{G} = \mathbf{I}_K \otimes \mathbf{g}$ .                   |
| Fixed set positions (Z)  | ${z_k   z_k = (k-1)*incr, k=1,, NP}$ | The data positions that are modulated<br>is given by $\mathbf{C} = 1_P \otimes \mathbf{Y} + \mathbf{Z}$ . |
|  |                                      | <b>Y</b> = <b>X G</b> mod ( <i>N</i> +1), with <b>X</b> being the RS_Signature_ID                         |
| <i>K</i> -tuple ( $\mathbf{X}_{ones}$ ) that generates the all | 1, 2                                 | $\mathbf{Y}_{\text{ones}} = 1_N$  |
| ones codeword  |                                      | $\mathbf{X}_{ones}$ is used to generate a set of position-codewords that have no collisions               |
| Position-codewords modulation values                           | 0x49C                                |   |

Table 5. 128-FFT OFDMA parameters required to generate the relay signature