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This is in response to the call for proposal, 80216j-07_07r2.pdf, sent out by 802.16j TG.

Abstract	This contribution proposes path and connection management procedures in multi-hop relay system. The path and connection management procedures include path path establishment, path distribution and path/CID binding. The relevant changes to the specification are also defined.
Purpose	Add proposed spec changes.
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Path and Connection Management in multi-hop relay System

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1. INTRODUCTION

In the Multihop Relay system, one or more RSs exist between an MR-BS and an MS. In order to forward traffic between MR-BS and MS, routing path needs to be established between them across the intermediate RSs. A path consists of a sequence of RS identifier, and is determined in a MR cell subject to a set of constraints such as availability of radio resource, radio quality of the link, load condition of a RS, etc..

This contribution proposes a simple path management scheme for multi-hop relay system along with the relevant changes to the standard to support such scheme. The MR-BS makes centralized decision of a path, and establishes the path by informing all the RS on the path of the path information. The MR-BS also informs RS of the mapping between a connection (identified by a CID) and an established path. The connection could be a regular transport connection established for a MS (as defined in 802.16e), basic and primary management CID allocated to RS/MS, or a tunnel connection as proposed in [3]. RS builds up its routing table based on path and creates the binding relationship between CID and the path.

2. PATH AND CONNECTION MANAGEMENT

2.1 Overview

In this contribution, we propose to use extended DSx (x represents Add, Change and Deletion) message to populate the routing path and path/CID binding information to the RSs on a specific path. Different from legacy DSx messages defined for 802.16e, DSx signaling in multihop relay network is only processed by the RS along the selected path. To support constraint-based path establishment, Explicit-Route TLV and Path-ID TLV are included in the DSx message. To support path/CID binding operation, the DSx messages includes CIDs and service flow parameters. The CIDs could be regular MS transport CIDs, basic and primary CIDs, or tunnel CIDs. Furthermore, this extended DSx message also supports multiple path management operations in one signaling process.

The basic procedure of the path management proposed in this contribution is highlighted below. Please refer to proposed spec changes in section 3 for details.

- MR-BS creates routing paths, assigns an unique path id to the path, and populates the detailed path information to all the RS along the path
- MR-BS allocates CIDs to the RSs and MSs and creates a binding between CID and the path identified by path id. In the tunnelling case, the CID is the Tunnel CID (T-CID); while in the non-tunnelling case, the CID is the individual CID allocated to RS or MS.
- MR-BS populates the CID-path ID binding information to all the RSs along the path
- Each RS should store the CID-path ID binding information into the routing table and derive the data forwarding table based on the detailed path information
- When topology changes, due to events such as mobility, a new path may be created and/or the CIDpath ID binding needs to be repopulated to every RS on the new path and removed from the old path.

2.2 Illustration of Topology Discovery and Path Management Procedures

Figure 1 illustrates the path establishment procedure during network entry for both MS and Rs, as well as the binding procedure between the basic/primary management CID and selected paths. The network entry procedure is in line with [1].

- When RS1 attempts to conduct initial ranging, it sends regular RNG-REQ. After receiving a regular RNG-REQ, the MR-BS determines that RS1 directly attaches to it. MR-BS then sends the RNG-RSP to RS1. The other initial network entry procedures remain the same as MS. Such procedure may trigger the routing table update for RS1 in the MR-BS by including the basic and primary management CID of RS1. MR-BS also establish a path (P1: MR-BS, RS1) by sending a DSA*-REQ only to RS1 (not shown in Figure 1).
- When RS2 attempts to conduct initial ranging, it sends regular RNG-REQ. After receiving a regular initial RNG-REQ, RS1 replaces the Initial Ranging CID with its basic CID and sends it to the MR-BS. Upon receiving the RNG-REQ, MR-BS replaces RS1's basic CID with Initial Ranging CID and processes it. Then MR-BS determines that RS2 attaches to RS1 directly. It generates a RNG-RSP for RS2 and sends to RS1 using RS1's basic CID. Upon receiving the RNG-RSP, RS1 replaces its basic CID with Initial Ranging CID and sends it to RS2. The other initial network entry procedures remain the same as MS. MR-BS also establish a path (P2: MR-BS, RS1, RS2) by sending a DSA*-REQ, which is processed hop-by-hop by RS1 and RS2 (not shown in Figure 1). The binding between P1 and the basic and primary management CID of RS2 is included in the same message. MR-BS may also generate a new path id for the path between itself and RS1.
- When MS attempts to conduct initial network entry, it sends a regular RNG-REQ to RS2. RS2 replaces the Initial Ranging CID with its basic CID and sends it to the MR-BS. RS1 will just simply forward it to the MR-BS. Upon receiving the RNG-REQ, MR-BS determines that MS attaches to RS2 directly. It then calculates the relay path to be used toward MS (in this example, it's the relay path P2: MR-BS – RS1 – RS2), and then generates the basic and primary management CID for the MS. MR-BS sends RNG-RSP to RS2 using RS2's basic CID. Upon receiving the RNG-RSP, RS2 replaces its basic CID with Initial Ranging CID and sends it to MS.
- In order to inform all the RSs on the path of the routing information and optionally the service flow requirement for the basic and primary management CID of the MS, the MR-BS sends DSA*-REQ to all the RSs on the path. The transmission mechanism of DSA-REQ message is hop-by-hop. Each RS receiving the request would process DSA*-REQ and store path/CID binding data in their routing table. This process is repeated until the DSA*-REQ reaches the last hop. The final RS replies with a DSA*-RSP. The further traffic sent over the basic and primary management CID will be routed by each RS through the identified path. MR-BS may generate a new path id for the path between itself and RS2 and log MS's basic/ primary management CID in the routing table.

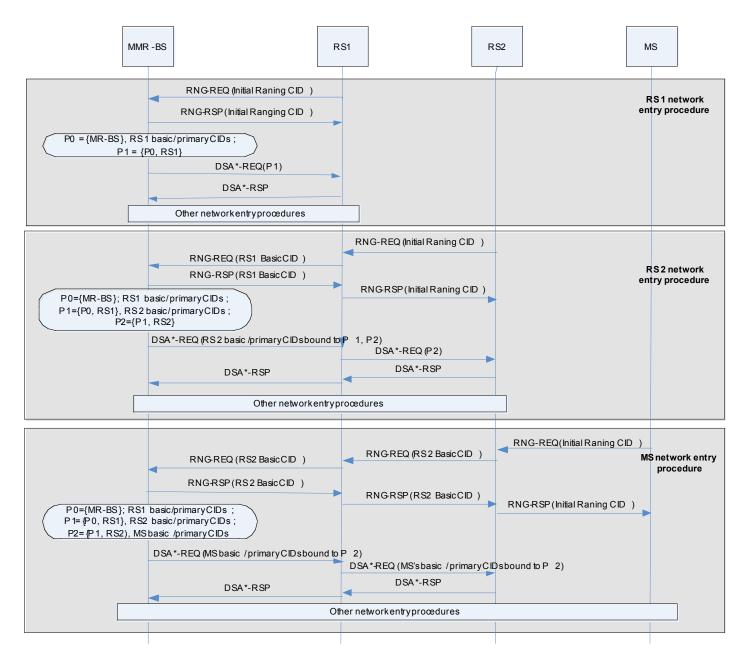


Figure 1: Illustration of Path Management Procedures During Network Entry

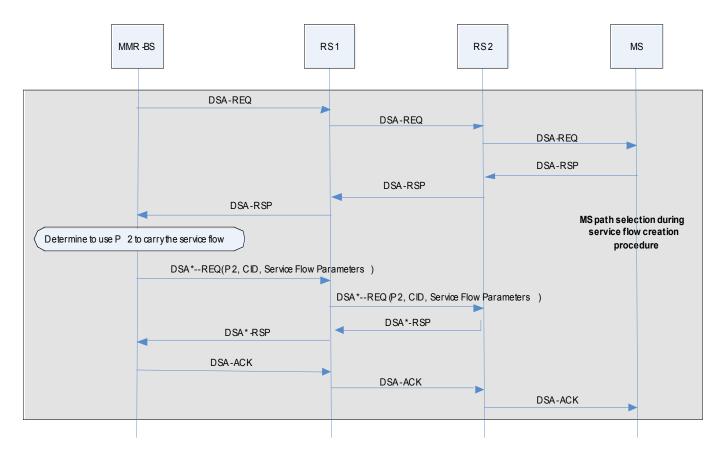


Figure 2: Illustration of Path Management Procedures During Service Flow Creation

As another example, **Error! Reference source not found.** shows the CID to path binding procedure in multihop relay system during the MR-BS initiated service flow creation procedure. Again, this example shows nontunnel scenario.

- When MR-BS wishes to establish an uplink or downlink dynamic service flow, it sends DSA-REQ with MS CID. The DSA-REQ is forwarded by RS1 and RS2 to the MS. MS then responds with DSA-RSP, which is also forwarded by RS2 and RS1 to the MR-BS.
- Upon receiving a successful DSA-RSP, the MR-BS determines the path(s) to be used to carry the service flow. It then sends DSA*-REQ with RS1 CID. This message includes the selected Path-ID, the CID associated with the service flow and optionally the service flow parameter set to all the RSs on the path.
- Upon receiving the DSA*-REQ, RS1 obtains the mapping between the Path-ID and CID, which will be used to route the traffic for the specified service flow. The service flow parameters can be used for the RS to schedule the traffic for the specified service flow accordingly. RS1 derives the next hop (i.e., RS2) to further transmit the request based on the path information associated with the Path-ID, and forwards the DSA*-REQ to RS2. RS2 processes the message in the same manner and responds with a DSA-RSP. RS1 updates the DSA-RSP and sends it to the MR-BS.
- The MR-BS completes the transaction by sending the acknowledgement message DSA-ACK to the MS.

3. PROPOSED TEXT CHANGE

[Insert the followings in sections of 6.3.25]

6.3.25 Path Management for Relay

After MR-BS discovers the topology between a newly attached MS or RS and itself, or detects a topology update due to events such as mobility. MR-BS may remove an old path, establish a new path and inform the new path information to all the RSs on the path.

When connections are established or removed, MR-BS may distribute the mapping information between the connection and the path to all the RSs on the path. The connection could be a regular connection established for a MS (as defined in 802.16e) or a connection established for a RS (e.g., basic/primary management CID and tunnel connection). The path management procedures are specified below.

6.3.25.1 Path Calculation

Based on the topology information obtained from topology discovery or update process as specified in [2], MR-BS makes centralized calculation for the path between MR-BS and an access RS for both uplink and downlink direction. The path creation is subject to the constraints such as the availability of radio resource, radio quality of the link, load condition of a RS, etc. The path calculation algorithm is out of scope of this specification.

6.3.25.2 Path Establishment, Removal and Update

When a new path is discovered and calculated as specified in section 6.3.25.2, MR-BS sends a path establishment command to distribute the path information to all the RSs on that path by sending a DSA*-REQ message. The explicit path information and an uniquely assigned path id are included. The CIDs to be routed on this path and their associated service flow parameters are also included for path/CID binding operation. If DSA*-REQ is issued from an access RS, the explicit path path-ID and/or associated CIDs are included in the DSA-RSP message sent from the MR-BS.

If the MR-BS decides to remove an existing path (e.g. after an MRS handover), it sends DSD*-REQ message with the Path-ID. The RSs receiving the DSD*-REQ message should remove all the information related to the path, including the entry in the routing table, the binding between CIDs to the path, etc.

Upon receiving the DSA/DSD*-REQ, the RS performs the operation as requested in the message, and then sends the request to the next RS on the path. The next hop on the path is obtained from the explicit path information included in the DSA/DSD*-REQ message, or derived from the path information obtained from previous operation. Such process is repeated until the last RS on the path is reached. The last RS on the path then replies with an DSA/DSD*-RSP to the previous hop to report its operation status. The previous hop will update the response with its own operation status and forwards the DSA/DSD*-RSP to its previous hop on the path, until it reaches the MR-BS.

The MR-BS may aggregate multiple path management commands into one DSA*/DSD*-REQ message to save bandwidth. When the paths of different path management commands in the same message divaricates in an RS, the RS separates the path establishment or removal commands into different messages and transmits them to the appropriate next-hop RSs.

The MR-BS may establish the path in the following ways:

- Distributing the complete path information (including ids of all the RSs on the path) to the RSs on path
- Instructing the RSs how to generate the detailed path information based on the existing path. With this approach, each RS on the path forwards the instruction to the next hop RS on the path, as long as the next hop is aware of the existing path information; otherwise, the RS needs to generate the complete or remaining path information and send to the next hop RS. In the second case, when a RS receives a DSA*/DSD*-REQ message, if there are further hops on the path updated by the DSA*/DSD*-REQ message, the RS will regenerate a DSA*/DSD*-REQ message by deleting unused information in the old one, and send it to the next hop RS.

6.3.25.3 CID to Path Binding

A routing table that contains the mapping between a CID and one or more given paths needs to be updated when a new tunnel (identified by a Tunnel CID) is generated between the MR-BS and an access RS, or when a new connection (identified by a individual CID) is established for an RS or MS and the new connection is not put into a tunnel. The MR-BS selects one or more path to carry the traffic for the new connection, and informs all the RSs on the path of the binding between the path id and the supported CIDs by sending a DSA*-REQ message to all the RSs on the specified path. Such DSA*-REQ message contains the CIDs of the connections that will be routed through the specified path, the path-id and optionally the SFID and the service flow parameter for the connection. If the connection is a tunnel connection, the service flow is the aggregate service flow parameter for all the connections put into the tunnel.

When a RS on the path receives such DSA*-REQ message, it retrieves the CIDs and path id information and builds up the routing table, which will be used to route the traffic in the future for the specified CIDs. If the SFID and the QoS requirement are also present for certain connection, the RS saves them for scheduling the traffic for the specified CID. This process is repeated until the last RS along the path is reached. The last access RS then replies with the DSA-RSP.

If the MR-BS decides to cancel an existing binding between a path and one or more CID (e.g., after MS or MRS handover to another RS, or MS deregistration, or service flow deletion), it sends a DSD*-REQ message with the Path-Id and the affected CIDs to the associated RSs. The RSs receiving such DSD*-REQ should remove the record of the correspondent mapping in the routing table as well as the other context of the affected MS or MRS.

If the MR-BS decides to update the service flow parameter associated with a connection along a specific path, it sends a DSC*-REQ message with Path-ID together with the updated service flow parameter. As an example, as new transport connections are included into a tunnel, the MR-BS needs to recalculate the aggregate QoS for the tunnel and distribute the new service flow parameter to every RS on the path by sending a DSC*-REQ message.

Upon receiving a DSA*/DSC*/DSD*-REQ, the RS performs the operation as requested in the message, and then sends the request to the next RS on the path. The next hop on the path is obtained from the explicit path information included in message if available, or derived from the path information obtained from previous operation. Such process is repeated until the last RS on the path is reached. The last RS on the path then replies with an DSA*/DSC*/DSD*-RSP to the previous hop to report its operation status. The previous hop will update the response with its own operation status and forwards the DSA*/DSC*/DSD*-RSP to its previous hop on the path, until it reaches the MR-BS.

<u>Multiple DSA*-REQ can be sent for the same CID to establish multiple paths to MS. This can be utilized for</u> <u>dynamic switching of traffic among multiple paths based on traffic condition or in case of macro diversity</u> <u>handoff.</u>

The MR-BS may aggregate multiple CID to path binding commands in one DSx*-REQ message to save bandwidth. In addition, when a path is established for one or more connection, the CID to path binding/unbinding procedure can be conducted together with path establishment procedure by sending a single DSA*-REQ or DSD*-REQ to save bandwidth.

Add the following text at the end of 6.3.2.3.10:

In multi-hop relay network, a DSA-REQ is also sent by MR-BS to populate the path information to every RS on the path and/or distribute the binding information between connections and a selected path. The MR-BS shall generate DSA-REQs in the form shown in Table T38. When a RS receives a DSA-REQ and it is not the last hop on the relay path, it shall also generate a DSA-REQ in the form shown in Table T38 and sends it to the next RS on the path.

The DSA-REQ message may contain the following TLVs:

Path Addition (see 11.21.1) Specification of the path addition operations

Path CID Binding Update (see 11.21.2)

Specification of the path/cid binding operations including adding the binding between CIDs to the specific path.

The DSA-REQ shall contain the following TLVs:

HMAC/CMAC Tuple (see 11.1.2)

The HMAC/CMAC Tuple attribute contains a keyed message digest (to authenticate the sender). The HMAC Tuple attribute shall be the final attribute in the DSA message's attribute list.

Add the following text at the end of 6.3.2.3.11:

In multi-hop relay network, a DSA-RSP is also sent by a RS to confirm the path management operation requested in the correspondent DSA-REQ. The access RS on the last hop on a specific path should generate the DSA-RSP in the form shown in Table T39-1. When a RS receives a DSA-RSP, it shall update the confirmation code and generate a DSA-RSP in the form shown in Table T39-1 and sends it to the previous RS on the path.

Table 39-1 – DSA-RSP message format

<u>Syntax</u>	Size	Notes
<u>DSA-RSP() {</u>		
Management Message Type = 12	<u>8 bits</u>	
Transaction ID	<u>16bits</u>	
PM Confirmation Code	<u>8 bits</u>	
TLV Encoded Information	<u>Variable</u>	TLV specific
}		

Parameters shall be as follows:

Transaction ID

Transaction ID from corresponding DSA-REQ

PM Confirmation Code (see 11.21.8)

The appropriate Path Management Confirmation Code for the entire correspondent DSA-REQ.

The DSA-RSP shall contain the following TLVs:

HMAC/CMAC Tuple (see 11.1.2)

The HMAC/CMAC Tuple attribute contains a keyed message digest (to authenticate the sender). The HMAC Tuple attribute shall be the final attribute in the DSA message's attribute list.

Add the following text at the end of 6.3.2.3.13:

In multi-hop relay network, a DSC-REQ is also sent by MR-BS to update the binding between CIDs to a specified path, or to distribute the updated service flow parameter for a connection that is bound to the specified path. The MR-BS shall generate DSC-REQs in the form shown in Table T41. When a RS receives a DSC-REQ and it is not the last hop on the relay path, it shall also generate a DSC-REQ in the form shown in Table T38 and sends it to the next RS on the path.

The DSC-REQ message may contain the following TLVs:

Path CID Binding Update (see 11.21.2)

Specification of the path/cid binding operations including changing of service flow parameter of the CIDs bound to the specific path.

The DSC-REQ shall contain the following TLVs:

HMAC/CMAC Tuple (see 11.1.2)

The HMAC/CMAC Tuple attribute contains a keyed message digest (to authenticate the sender). The HMAC Tuple attribute shall be the final attribute in the DSC message's attribute list.

Add the following text at the end of 6.3.2.3.14:

In multi-hop relay network, a DSC-RSP is also sent by a RS to confirm the path management operation requested in the correspondent DSC-REQ. The access RS on the last hop on a specific path should generate the DSC-RSP in the form shown in Table T42-1. When a RS receives a DSC-RSP, it shall update the confirmation code and generate a DSC-RSP in the form shown in Table T42-1 and sends it to the previous RS on the path.

Table 42-1 – DSC-RSP message format

<u>Syntax</u>	Size	Notes
DSC-RSP() {		
Management Message Type = 12	<u>8 bits</u>	
Transaction ID	<u>16bits</u>	
PM Confirmation Code	<u>8 bits</u>	
TLV Encoded Information	<u>Variable</u>	TLV specific
}		

Parameters shall be as follows:

Transaction ID

Transaction ID from corresponding DSA-REQ **PM Confirmation Code** (see 11.21.8) The appropriate Path Management Confirmation Code for the entire correspondent DSA-REQ.

The DSC-RSP shall contain the following TLVs:

HMAC/CMAC Tuple (see 11.1.2)

The HMAC/CMAC Tuple attribute contains a keyed message digest (to authenticate the sender). The HMAC Tuple attribute shall be the final attribute in the DSA message's attribute list.

Add the following text at the end of 6.3.2.3.15:

In multi-hop relay network, a DSD-REQ is also sent by MR-BS to remove a path and/or remove the binding between connections and a selected path. The MR-BS shall generate DSD-REQs in the form shown in Table T44. When a RS receives a DSD-REQ and it is not the last hop on the relay path, it shall also generate a DSD-REQ in the form shown in Table T44 and sends it to the next RS on the path. The DSD-REQ message may contain the following TLVs:

Path ID (see section 11.21.4)

Specification of the path to be completely removed

Path CID Binding Removal (see 11.21.3)

Specification of the path/cid binding operations including removing the binding between CIDs to the specific path.

The DSD-REQ shall contain the following TLVs:

HMAC/CMAC Tuple (see 11.1.2)

The HMAC/CMAC Tuple attribute contains a keyed message digest (to authenticate the sender). The HMAC Tuple attribute shall be the final attribute in the DSD message's attribute list.

Add the following text at the end of 6.3.2.3.15:

In multi-hop relay network, a DSD-RSP is also sent by a RS to confirm the path management operation requested in the correspondent DSD-REQ. The access RS on the last hop on a specific path should generate the DSD-RSP in the form shown in Table T44-1. When a RS receives a DSD-RSP, it shall update the confirmation code and generate a DSD-RSP in the form shown in Table T44-1 and sends it to the previous RS on the path.

<u> Table 44-1 – DSD-RSP message format</u>

<u>Syntax</u>	Size	Notes
DSD-RSP() {		
Management Message Type = 12	<u>8 bits</u>	
Transaction ID	<u>16bits</u>	
PM Confirmation Code	<u>8 bits</u>	
TLV Encoded Information	<u>Variable</u>	TLV specific
}		

Parameters shall be as follows:

Transaction ID

Transaction ID from corresponding DSA-REQ

PM Confirmation Code (see 11.21.8)

The appropriate Path Management Confirmation Code for the entire correspondent DSD-REQ.

The DSD-RSP shall contain the following TLVs:

HMAC/CMAC Tuple (see 11.1.2)

The HMAC/CMAC Tuple attribute contains a keyed message digest (to authenticate the sender). The HMAC Tuple attribute shall be the final attribute in the DSD message's attribute list.

Insert new subclause 11.21

11.21 Path Management message encodings

The TLV encodings defined in this section are specific to the path management related MAC Management messages including DSA-REQ/RSP, DSC-REQ/RSP and DSD-REQ/RSP.

11.21.1 Path-Addition TLV

This field contains a compound attribute whose subattributes identifies Path ID, the direction of the path, the number of RSs on the path and an ordered list of RSs on the path as listed in Table S1.

Type	<u>Length</u>	Value	<u>Scope</u>
TBD	variable	Compound	DSA-REQ

Table S1 – Path-Addition Subattributes

<u>Attribute</u>	Content	
Path ID	The ID of the path	
Path Direction	The direction of the path	
Existing Path ID	The ID of an existing path that is used to derive the information of the new path	
Number of RS	The number of RSs in the ordered list of RSs	
Ordered list of RSs	An ordered list of the basic CID of RSs that identifies the path in the case of non-presence of the Existing Path ID; or a ordered list of RSs that identifies the difference between the new path and the existing path in the case of presence of the Existing Path ID	

11.21.2 Path-CID-Binding-Update TLV

This field contains a compound attribute whose subattributes identifies Path ID, the CIDs bound to the specified path, the service flow parameter associated with the CIDs as listed in Table S2.

<u>Type</u>	<u>Length</u>	Value	<u>Scope</u>
TBD	variable	<u>Compound</u>	DSA-REQ

Table S2 – Path-CID-Binding-Addition Subattributes

Attribute	Content	
Path ID	The ID of the path	
Number of CIDs	The number of CIDs bound to the path	
List of CIDs An list of CIDs that are bound to the path		
List of service flow parameters	An list of service flow parameters associated with the CIDs bound to	
	the path	

11.21.3 Path-CID-Binding-Removal TLV

This field contains a compound attribute whose subattributes identifies Path ID, the CIDs bound to the specified path to be removed as listed in Table S3.

Type	Length	Value	<u>Scope</u>
TBD	<u>variable</u>	<u>Compound</u>	DSD-REQ

Table S3 – Path-CID-Binding-Removal Subattributes

Attribute	<u>Content</u>
Path ID	The ID of the path
Number of CIDs	The number of CIDs bound to the path to be removed
List of CIDs	An list of CIDs to be removed from the binding to the path

11.21.4 Path-ID TLV

This filed contains the ID of a path between MR-BS and a RS.

Туре	<u>Length</u>	Value	Scope
<u>TBD</u>	<u>TBD</u>	ID of path	DSx-REQ, DSx-RSP, DSx-ACK

11.21.5 Path-Direction TLV

This field specifies the direction of the path, which could be uplink only, downlink only or both uplink and downlink.

Туре	Length	Value	<u>Scope</u>
<u>TBD</u>	<u>1</u>	<u>0 – uplink</u>	DSA-REQ
		<u>1- downlink</u>	
		<u>2 – both uplink and downlink</u>	

11.21.6 Number-of-RS TLV

This field specifies the number of intermediate RSs on the path.

Туре	Length	<u>Value</u>	<u>Scope</u>
TBD	<u>1</u>	Number of RSs on the path	DSA-REQ

11.21.7 Ordered-List-of-RS TLV

This field contains an ordered list of intermediate RSs on the path in the case of non-presence of the Existing Path ID; or a ordered list of RSs that identifies the difference between the new path and the existing path in the case of presence of the Existing Path ID. Note that if the Path Direction indicates for both uplink and downlink, then the ordered list of RS is for the downlink direction. The ordered list of RS for the uplink can be obtained by reverse the ordered list.

<u>Type</u>	Length	Value	<u>Scope</u>
<u>TBD</u>	<u>Number of RS</u> x 2bytes	An ordered list of basic CID of RSs on a path; if Path	DSA-REQ
	<u>X ZDytes</u>	Direction == 2, then the	
		ordered list of RS on the path	
		is for the downlink direction	

11.21.7 PM-Confirmation-Code TLV

TBD

11.21.8 Existing-Path-ID TLV

This filed contains the ID of a path between MR-BS and a RS.

Type	<u>Length</u>	Value	<u>Scope</u>
<u>TBD</u>	TBD	ID of an existing path	DSA-REQ

4. REFERENCES

[1] C802.16j-06_026r2.pdf, Part 16: Air Interface for Fixed and Mobile Broadband Wireless Access Systems, Multihop Relay Specification

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