Project	IEEE 802.16 Broadband Wireless Access Working Group <a href="http://ieee802.org/16">http://ieee802.org/16</a> >		
Title	Fixed and Nomadic Relay Station Preamble Segment Assignment Scheme		
Date Submitted	2007-03-05		
Source(s)	Peter Wang, Adrian Boariu, Shashikant Maheshwari, Yousuf Saifullah, Tony Reid Nokia 6000 Connection Drive, Irving, TX	Voice: +1 214-912-4613 Fax: peter.wang@nokia.com	
	Eugene Visotsky Philippe Sartori Motorola Labs 1301 E. Algonquin Rd. Schaumburg, IL 60196	Voice: +1-847-538-9458 eugenev@motorola.com	
	Shyamal Ramachandran Motorola Inc. 1064 Greenwood Blvd. Suite 400 Lake Mary, FL 32746	Voice: +1 - 407-562-4054 Shyamal.Ramachandran@motorola.com	
	I-Kang Fu, Wern-Ho Sheen, Fang-Ching Ren NCTU/ITRI ED922, 1001 Ta Hsueh Road, Hsinchu, Taiwan, R.O.C	IKFu@itri.org.tw	
	Sungkyung Kim, Chulsik Yoon, BJ Kwak, Sungzeun Jin ETRI 161, Gajeong-dong, Yuseong-Gu, Daejeon, 305-350, Korea	cyrano@etri.re.kr	
	Kanchei (Ken) Loa, Yi-Hsueh Tsai, Shiann-Tsong Sheu, Hua-Chiang Yin, Chih-Chiang Hsieh, Yung-Ting Lee, Frank C.D. Tsai, Heng-Iang Hsu, Youn-Tai Lee III (Institute for Information Industry) 8F., No. 218, Sec. 2, Dunhua S. Rd., Taipei City, Taiwan, R. O. C	Voice: +886-2-2739-9616 loa@iii.org.tw	
	Aik Chindapol Siemens Corporate Research 755 College Road East, Princeton, NJ, USA	Voice: +1 609 734 3364 Fax: +1 609 734 6565 aik.chindapol@siemens.com	
	Youngbin Chang	1	

Samsung Electronics

416, Maetan-3dong, Youngtong-gu, Voice: +82-31-279-5519 Suwon-si, Gyeonggi-do, Korea Vb.chang@samsung.com

Yong Sun, Dharma Basgeet, Fang Zhong,

Khurram Rizvi, Paul Strauch
Toshiba Research Europe Limited
32 Queen Square, Bristol BS1 4ND, UK

Tel. no. +441179060749
Sun@toshiba-trel.com

Matty Levanda
WiNetworks

mattyl@winetworks.com

32 Maskit St. Hertzlia, Israel

Koon Hoo Teo, Jeffrey Z. Tao, Jinyun Zhang Mitsubishi Electric Research Lab Voice 617-621-(7557,7527)

201 Broadway Fax 617 621 7550

Cambridge, MA 02421 USA {teo, tao, jzhang}@merl.com

David Comstock, John Lee,
Zheng Shang, Jingning Zhu

Huawei Technologies

Voice: +1 858 735 9382

dcomstock@huawei.com

No.98, Lane91, Eshan Road, Shanghai,

P.R.C

Yanling Lu, Ting Li
Hisilicon Technologies
Harkon Puliting No. 8 December 2015
Harkon Puliting No. 8 D

Harbour Building, No.8, Dongbeiwang West Road, HaiDian District, Beijing, China

Sean Cai, Qu Hongyun

Voice: 86-755-26776604

ZTE USA

Voice: 86-755-26776604

scai@zteusa.com

Daqing Gu, Anxin Li

DoCoMo Voice: +86-10-8286-1501 ex.309 7/F, Raycom Infotech Park A, Gu@docomolabs-beijing.com.cn

No.2 Kexueyuan South Rd, Haidian District,

Beijing, 100080 China

kenji saito saito@kddilabs.jp KDDI Labs

Hang Zhang, Mo-Han Fong, Wen Tong,
Voice: +1 613 7631315

Mark Naden, G.Q. Wang

Nortel

3500 Carling Avenue Ottawa, Ontario K2H 8E9

Kyu Ha Lee, Young-jae Kim, Changkyoon

David Steer, Gamini Senarath, Derek Yu,

Kim

Samsung Thales

kyuha.lee@samsung.com

WenTong@nortel.com]

Re:	Call for Technical Proposals regarding IEEE Project P802.16j (IEEE 802.16j-07/007r2)	
Abstract	This contribution proposes fixed and nomadic relay-station preamble segment assignment scheme in order to mitigate interference during the initial RS network entry.	
Purpose	Propose the text regarding fixed and nomadic relay-station preamble segment assignment for multihop relay systems	
Notice	This document has been prepared to assist IEEE 802.16. It is offered as a basis for discussion and is not binding on the contributing individual(s) or organization(s). The material in this document is subject to change in form and content after further study. The contributor(s) reserve(s) the right to add, amend or withdraw material contained herein.	
Release	The contributor grants a free, irrevocable license to the IEEE to incorporate material contained in this contribution, and any modifications thereof, in the creation of an IEEE Standards publication; to copyright in the IEEE's name any IEEE Standards publication even though it may include portions of this contribution; and at the IEEE's sole discretion to permit others to reproduce in whole or in part the resulting IEEE Standards publication. The contributor also acknowledges and accepts that this contribution may be made public by IEEE 802.16.	
Patent Policy and Procedures	The contributor is familiar with the IEEE 802.16 Patent Policy and Procedures <a href="http://ieee802.org/16/ipr/patents/policy.html">http://ieee802.org/16/ipr/patents/policy.html</a> , including the statement "IEEE standards may include the known use of patent(s), including patent applications, provided the IEEE receives assurance from the patent holder or applicant with respect to patents essential for compliance with both mandatory and optional portions of the standard." Early disclosure to the Working Group of patent information that might be relevant to the standard is essential to reduce the possibility for delays in the development process and increase the likelihood that the draft publication will be approved for publication. Please notify the Chair <a href="mailto:chair@wirelessman.org">mailto:chair@wirelessman.org</a> as early as possible, in written or electronic form, if patented technology (or technology under patent application) might be incorporated into a draft standard being developed within the IEEE 802.16 Working Group. The Chair will disclose this notification via the IEEE 802.16 web site <a href="http://ieee802.org/16/ipr/patents/notices">http://ieee802.org/16/ipr/patents/notices</a> .	

# Fixed/Nomadic Relay-Station Preamble Segment Assignment Scheme

Peter Wang, Adrian Boariu, Shashikant Maheshwari, Yousuf Saifullah, Tony Reid Nokia

> Eugene Visotsky, Philippe Sartori, Shyamal Ramachandran Motorola

> > I-Kang Fu, Wern-Ho Sheen, Fang-Ching Ren NCTU/ITRI

Sungkyung Kim, Chulsik Yoon, BJ Kwak, Sunggeun Jim ETRI

Kanchei (Ken) Loa, Yi-Hsueh Tsai, Shiann-Tsong Sheu, Hua-Chiang Yin, Chih-Chiang Hsieh, Yung-Ting Lee, Frank C.D.
Tsai, Heng-lang Hsu, Youn-Tai Lee
Institute for Information Industry

Aik Chindapol Siemens Corporate Research

> Youngbin Chang Samsung Electronics

Yong Sun, Dharma Basgeet, Fang Zhong, Paul Strauch Toshiba Research Europe Limited

> Matty Levanda WiNetworks

Koon Hoo Teo, Jeffrey Z. Tao, Jinyun Zhang Mitsubishi Electric Research Lab

David Comstock, John Lee, Zheng Shang, Jingning Zhu Huawei Technologies

> Yanling Lu, Ting Li Hisilicon Technologies

> Sean Cai, Qu Hongyun
> ZTE USA

Daqing Gu, Anxin Li DoCoMo

> kenji saito KDDI Labs

Hang Zhang, Mo-Han Fong, Wen Tong, David Steer, Gamini Senarath, Derek Yu, Mark Naden, G.Q. Wang Nortel

Kyu Ha Lee, Young-jae Kim, Changkyoon Kim Samsung Thales

### 1. INTRODUCTION

The initial network entry process for MS to BS is defined in IEEE Std. 802.16-2004 & 802.16e-2005, Section 6.3.9. In the frame structure, the first OFDMA symbol of the downlink transmission is preamble. There are three different preamble carrier-sets each using orthogonal subcarrier sets. Each segment uses a preamble that uses one of the three available carrier-sets in the following manner: segment 0 uses preamble carrier-set 0, segment 1 uses preamble carrier-set 1, and segment 2 uses preamble carrier-set 2. In the DL (DownLink) PUSC (Partial Usage of Subchannels) mode, any segment used in the preamble shall be allocated at least one group (default is 12 subchannels in case of OFDM-2048) in the DL First Zone that also contains FCH and DL-MAP. First PUSC Zone which contains at least FCH and DL-MAP can cause interference from the same segment.

In the MR-BS enabled system, a RS can be turned on at anytime/anywhere and with mobility. If the RS overlaps in coverage with its neighboring RSs/BSs and the same segment values are used, then co-channel interference (collision) may occur and MS/SS (mobile station/subscriber station) may not decode the Cell-ID and control message such as FCH and DL-MAP signals. In order to mitigate the interference, we propose RS preamble segment assignment methods during the initial RS network entry.

### 2. INITIAL RS PREAMBLE SEGMENT CONFIGURATION

In order to perform the initial RS preamble segment configuration, the following two steps should be considered.

### 2.1 Initial RS Neighbor Detection

This specification defines a relay station function that enables RS neighbor detection at initial network entry. For instance, a RS powered on in a MR-BS coverage area shall perform the initial network entry with MR-BS and try to register with the MR-BS via initial ranging. In the initial phase, the RS acts as a MS/SS, and informs the BS that it has relay capabilities, thus at this point it is only a potential (candidate) RS, not an enabled RS. After the network entry and during cell selection, the potential RS scans its neighbors searching for preambles within all possible segments. The RS can detect the presence of the RSs/BSs in its neighborhood, and can inform the MR-BS about the detection results. The preamble is transmitted using 9dB higher power than the normal data transmissions. The preamble coverage radius is therefore larger than the normal control/data signal coverage radius. The potential RS reports all the detected neighboring preambles to the MR-BS. If the potential RS is able to detect a neighboring preamble signals above a pre-defined threshold value (threshold value is implementation dependent), then the control signal coverage between the potential RS and the neighboring RS/BS may overlap, and these control signals (i.e., FCH and DL-MAP signals) can cause co-channel interfere to the serving MS/SS. In this case it is advisable for the BS to assign a different segment value to the potential RS such that the co-channel interference that a MS receives is minimized. The initial RS preamble segment assignment for the potential RS is discussed subsequently.

# 2.2 Initial RS Preamble Segment Assignment

MR-BS requests the potential RS to act as a mobile station and to scan its neighboring RS preambles (i.e., scanning the frequency bands for segment 0, 1, and 2). The potential RS reports all of the detectable neighboring preambles and RSS (receiver signal strengths) to the MR-BS. If the potential RS does not detect preambles in all segments (i.e., segments 0, 1 and 2), the MR-BS assigns the potential RS a segment that was not detected. On the other hand, if the potential RS detects preambles in all segments, the MR-BS may have three options. First option (Option=1) would be simply not to enable the potential RS to operate as a relay. The second option (Option=2) would be to allow the potential RS to act as a cooperative-diversity relay in the operating coverage area. The third option (Option=3) would be to allow the RS to operate in the Transparent RS (T-RS) mode, whereby the T-RS relays data and control messages on the uplink, but is not involved in DL transmissions or only relays DL data transmissions and, thereby, does not transmit its own preamble or control information. For the second option, this means that the MR-BS configures the potential RS to be fully managed (i.e., its scheduling is done in a centralized manner by the MR-BS). In this case, the MR-BS and the potential RS may transmit the same data burst simultaneously. For the third option, the centralized scheduler at the MR-BS signals to the T-RS the specific burst allocations or CID assignments to be relayed on uplink or downlink. The message signaling relating to the initial RS segment assignment is shown in Figure 1.

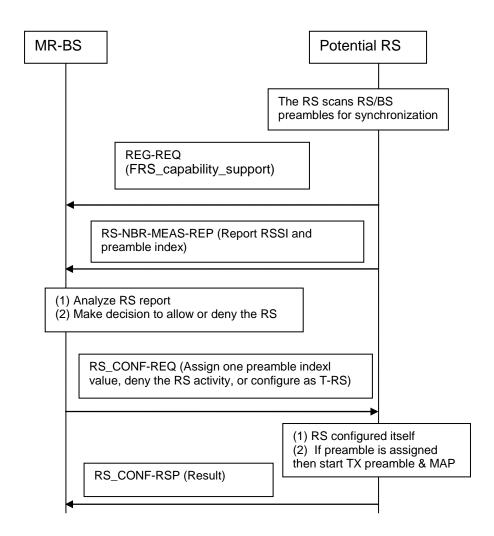


Figure 1. The message signaling for initial RS preamble segment assignment.

Note: RS can obtain its neighbor information during PHY synchronization before initial ranging. Therefore, it can send the report to MR-BS after RNG-REQ, SBC-REQ or REG-REQ.

To be more explicit, two examples are described as following:

In the first example considered, the potential RS reports to the MR-BS that it has detected two BSs or RSs in the area (labeled as RS0 and RS1, respectively), that have the following segments and IDcells:  $RS0 = \{0, 11\}$  and  $RS1 = \{2, 23\}$ . In this case the MR-BS can enable the potential RS to operate as a relay having assigned the segment 1, which has not been detected as being used in the area of operation.

In the second example, the potential RS detects the presence of the following BSs or RSs: RS\_0 =  $\{0, 11\}$ , RS\_1 =  $\{1, 30\}$ , and RS\_2 =  $\{2, 23\}$ . Let's assume that the signal strengths from these RSs are relatively strong, above a certain pre-defined threshold value. The MR-BS can conclude that the area where the potential RS is located is well served by other RSs, so it may choose not to enable this potential RS. Now let's assume that the signal strength reported for the RS\_0 and RS\_1 are close to the threshold value mentioned above. The MR-BS chooses to enable the potential RS to operate as being fully managed, and assigns to it RS\_p =  $\{(0, 11), (1, 30)\}$ , and at the same time reconfigures the RS\_0 and RS\_1 to operate also as fully managed shown in Figure 2. Note that the RS\_p will be acting as a supportive relay (everything is transparent) for the MSs that are served already by RS\_0 and RS\_1; RS\_p acts like another transmission antenna that can improve the cooperative-diversity gain.

We have considered a RS preamble segment and Cell ID assignment in the initial network entry stage by means of initial RS neighbor detection reports. Note that it is understood that in order to enable an RS, the MR-BS may consider some other issues, such as the traffic load in the area where the RS would operate, interference that it may generate to the neighbor RSs/BSs, etc.

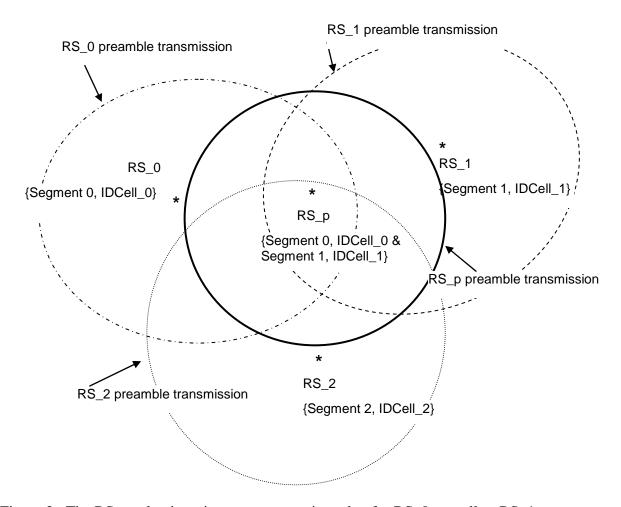


Figure 2. The RS\_p relay is acting as a cooperative relay for RS\_0 as well as RS\_1.

# 3. CHANGES TO THE SPECIFICATION

*Insert the new subclause 6.3.2.3.65* 

## 6.3.2.3.65. RS neighbor station measurement report (RS\_NBR-MEAS-REP) message

Syntax	Size	Notes
RS_NBR-MEAS-		
REP_Message_Format(){		
Management Message Type =TBD		
N_Preamble_Index	8 bits	Number of preamble of neighboring RS/BS
Begin PHY Specific Section {		
For (i=0, i< N_Preamble_Index, i++){		
Preamble Index	8 bits	Scan the preamble index and RSSI values in the
		neighboring list
Report Response TLVs	Variable	TLV specific
}		
}		
TLV Encoded Information	Variable	TLV specific
}		

The Report Response TLV shall include physical CINR or RSSI of the preamble index.

N\_Preamble\_Index

Number of preamble of neighboring RS/BS.

This message shall include following TLV:

## Preamble with the least signal strength

This TLV is used for a RS to report the preamble index with the least signal strength. This information will help a MR-BS to assign a preamble to a RS which would cause the least interference to its neighborhood.

Insert new subclause at the end of 6.3.9

6.3.9. During the registration process, the RS acts as a MS/SS and use RNG-REQ message to inform the MR-BS that it has relay capability to MR-BS.

Insert the text end of the subclause 6.3.9.1

# 6.3.9.1. Scanning and synchronization to the downlink

RS follows the scanning and synchronization procedure similar to that of the SS. In addition, however, the RS shall store preamble index and signal strength that are above a certain threshold value in order to report the stored values to the serving MR-BS after registration.

# 6.3.9.17. Interference report of neighboring stations to MR-BS

After registration with an MR-BS, the RS sends RS\_NBR-MEAS-REP messages (see 6.3.2.3.65), containing the signal strength measurement from other stations, to the MR-BS.

*Insert new subclause* (6.3.2.3.66) [Note that this is only a field in the RS\_CONF-REQ message to be adopted. Other fields may be provided in other adopted contributions]

# 6.3.2.3.66 RS preamble configuration request (RS\_CONF-REQ) message

Syntax	Size	Notes
N_Preamble	2 bits	N_Preamble=0 specifies NULL preamble (e.g., Transparent RS) N_Preamble=1 assigns one preamble to the RS N_Preamble=2 assigns two preambles on different segments to the RS N_Preamble=3 assigns three preambles on different segments to the RS
Reserved	6 bits	Reserved
For (i=0, i <n_preamble; i++){<="" td=""><td></td><td></td></n_preamble;>		
Preamble index	8 bits	Assign a preamble index value to the potential RS
} TLV Encoded Information	Variable	TLV specific

#### **N-Preamble**

N\_Preamble is the number of preamble index assigned to the potential RS. For example, N-Preamble=0 means the potential RS does not transmit preamble acting as a Transparent RS. If N-Preamble=1 means the potential RS transmit one preamble index (i.e., the RS transmit one segment value and one IDCell) acting as a Non-Transparent RS. If N-Preamble=2 means the potential RS transmit two preamble index (i.e., the RS transmit two different segment values and IDCells) acting as a Non-Transparent RS.

The RS\_CONF-REQ shall contain the following TLVs:

HMAC/CMAC Tuple (see 11.1.2)

The HMAC/CMAC Tuple shall be the last attribute in the message.

Insert new subclause (6.3.2.3.67)

# 6.3.2.3.67 RS preamble configuration response (RS\_CONF-RSP) message

Syntax	Size	Notes
RS_CONF-RSP_Message_Format() {		
Management Message Type = TBD	8 bits	
Result	1 bit	0 = Fail
		1 = Success
Reserved	7 bits	
TLV Encoded Information	Variable	TLV specific
}		

### **Result**

Result indicates the RS preamble configuration request message; a bit of 0 indicates the message fail and a bit of 1 indicates the message success.

The RS\_CONF-RSP shall contain the following TLVs:

HMAC/CMAC Tuple (see 11.1.2)

The HMAC/CMAC Tuple shall be the last attribute in the message.

*Insert new subclause (6.3.26)* 

### 6.3.26 Relay station neighborhood discovery

During the RS neighborhood discovery procedure, the potential RS can obtain its neighbor information during PHY synchronization before initial ranging. Therefore, it can send the report to MR-BS after RNG-REQ, SBC-REQ or REQ-REQ. Then, the RS sends a RS\_NBR-MEAS-REP message (6.3.2.3.65) back to the MR-BS to response the measurement report.

Insert new subclause (9.4)

### 9.4 RS configuration

After the measurement report from RS neighborhood discovery process, MR-BS may send a RS preamble configuration request (RS\_CONF-REQ) message (6.3.2.3.66) to the RS for configuring the preamble segment and IDcell values. The RS sends a RS\_CONF-RSP message to the MR-BS for responding the preamble assignment result.

# 11.20 Preamble index with least signal strength

Type	Length	Value	Scope
TBD	Variable	b0 - b7: num_preambles for (I = 0; I < num_preamble; i++) { preamble index (8 bits) }	RS_NBR_MEAS_ REP