Virtual Relay Grouping Concept to Support RSs Sharing the Same Preamble Relay Grouping and PUSC Segment Selection for FCH/MAP Transmission {Harmonized with IEEE802.16j-07_265}

**Abstract**
In this contribution, we propose relay grouping to better serving the mobiles and to maintain good broadcast channel quality that allows frequency reuse amongst relay groups.

**Purpose**
To incorporate the proposed text into the P802.16j Baseline Document (IEEE 802.16j-06/026r1)

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1. Introduction

During the initial network entry, an RS first selects a station (either a MR-BS or another RS) as its serving station. Secondly, it can select or is configured by its serving station a segment for transmitting FCH and MAP. An RS may either use the same segment as or a different segment from that of its serving station.
When a RS uses the same segment and preamble sequence as that of the serving station, it becomes a cooperative relay to the serving station and it does not have its own identity from a MS point of view. The system operation becomes simpler. When the serving station is the MR-BS, the frequency reuse gain is not optimized for this type of system operation. When a RS selects or is assigned a different preamble sequence, this RS has its identity and can transmit its own FCH and MAP. The benefit of the latter case is the potential gain from frequency reuse, compared to the first case. The issue of the latter case may result in higher co-channel interference on FCH/MAP transmission for certain scenarios as well as potential interference in the FUSC channels when two RSs are located close to each other or between MR-BS and RSs. The latter will also help to avoid handoffs which can occur when an MS moves across RS boundaries. With RSs covering small area it is expected the number of handoffs can increase several fold if each RS represents different PN sequences.

In this contribution, we propose a method called RS grouping (refer to Figure 1):

- A group of RSs form a Virtual RS group as decided by the MR-BS based on criteria (e.g. potential interference that they cause to each other) which is implementation dependent. The virtual group may include the MR-BS.
- Each RS is assigned an individual unicast RSID and a multicast RSID as the RS group ID. The multicast RSID is the same for all members in the group. With these two separate IDs, the RS can be managed individually or as a group. These IDs are unique within the associated MR-BS.
- All RS(s) in the same group shall transmit the identical preamble, FCH and MAP. The radio resources may be shared by these RSs for data burst transmission. The existence of the group is totally transparent to its MS(s).
- Different groups transmit different preambles.
- Removal of an RS from the group: During normal operation of the RS group, each RS continues to monitor the radio environment (e.g. the interference). One example is that for an RS that is located at the edge of the group coverage area, it could detect strong segment interference from other nearby RS(s) or RS groups. When this happens, it can request to be removed from the RS group and operate on its own using a different segment.
- Addition of an RS to an existing group or forming a new group: An RS, at network entry, can a) operate on its own, i.e., it selects or is assigned a dedicated preamble index (implying the segment), b) form a new group or c) join an existing group. The RS can perform measurements such as radio signals from the neighbors and then report to MR-BS regarding the preferred preamble index (implying the segment). The MR-BS replies by either confirming the preamble sequence index selected by the RS or assigning a different one, indicating whether it should transmit the preamble, and at the same time providing the corresponding RS group ID.
- A group of RSs form a Virtual RS group as decided by the MR-BS based on different criteria (e.g. the potential interference that they cause to each other.) The criteria are implementation dependent. RS group may consist of one MMR-BS and one or more RS(s), or two or more RS(s) with at least one RS being a non-transparent RS.
- Each RS is assigned an individual unicast RSID and a multicast RSID as the RS group ID. The multicast RSID is the same for all members in the group. With these two separate IDs, the RS can be managed individually or as a group. These IDs are unique within the associated MMR-BS. For details on the definitions of RSID, please refer to contribution [1].
All RS(s) in the same group shall transmit the identical preamble, FCH and MAP or do not transmit the frame start preamble. The existence of the group is totally transparent to its MS(s).

Either the MR-BS or the upstream serving station of the group is responsible for managing the FCH and MAP of the group as well as other processes such as scheduling and handover of MSs within the group.

Different groups transmit different preambles, the same or different FCH and MAP from that of the associated upstream serving station.

Removal of an RS from the group: During normal operation of the RS group, each RS continues to monitor the radio environment (e.g., the interference). For an RS that is located at the edge of the group coverage area, it could detect strong segment interference from other nearby RS(s) or RS groups. When this happens, it can request to be removed from the RS group and operate on its own using a different segment.

Addition of an RS to the group: An RS, at network entry, can a) operate on its own, i.e., it selects or is assigned a dedicated preamble index (implying the segment), b) form a new group or c) join an existing group. The RS can perform the measurement of radio environment and then report to the MR-BS regarding the preferred preamble index (implying the segment). The MR-BS replies by either confirming the preamble sequence index selected by the RS or assigning a different one, indicating whether it should transmit the preamble, and at the same time, providing the corresponding RS group ID.

2. FCH/MAP Interference Evaluation
2.1 Parameters and assumptions

19 Umbrella macro cells (2 km cell to cell separation)
Tri-sector cell with cell site wrap around
Random drop relay locations
Number of relays per sector: 15 relays
Carrier frequency: 2.5 GHz
Beam Tx power is 20 watts. Relay Tx power is 600mW or 3W
Antenna gain: base = 15 dBi; relay = -1 dBi
Antenna pattern: base (3dB width) = 70 degrees (20 dB front to back ratio); relay = Omni
Noise figure: 9 dB for base, relay and MS
Thermal noise: -174 dBm/Hz
CIR Limit: 30 dB
Same pathloss model for base to relay, base to MS, relay to relay and relay to MS
Shadowing: 10 dB standard deviation; 0.5 correlation
Minimum distance: 35 m for base to relay & base to MS; No minimum between relay to relay & relay to MS
Average CIR curves plotted are as observed by MS on the best segment reception.

2.2 Scenarios

Scenario 1 (No RS Grouping):

| Each RS selects a PUSC segment which is different from the segment of its associated MMR-BSMR-BS |
| Each RS measures the combined segment power of the remaining two segments from all cells/sectors including its own sector |
| RS selects the segment with the lower combined power |

Scenario 2 (Simple RS Grouping):

| Each RS group assigns a PUSC segment which is different from the segment of its associated MMR-BSMR-BS |
| Each RS measures the combined segment power of the remaining two segments from external cells/sectors only |
| RS report the segment with the lower combined power |
| All RSs that report the same segment are assigned to the same RS group by MMR-BSMR-BS |
2.3 Results

For individual relay case with no RS grouping, as shown in Figure 2, a degraded average FCH/MAP CIR statistics at MS are observed. However, with RS grouping, there is no degradation on average CIR statistics. In fact, it provides additional improvements in the low CIR region.

![FCH/MAP Interference Study (600mW Relay)](image)

Figure 2: FCH/MAP Interference Study (600mW Relay)

For higher power relay and with no RS grouping, the results from Figure 3 indicate a further degradation in the average CIR statistics. On the other hand, with RS grouping, an improvement in slightly shifting the curve to higher CIR is observed.
2.4 Evaluation Summary

In this study on RS grouping and PUSC segment selection:

There is no degradation in average FCH/MAP CIR received at MS. In fact, there is a slight improvement especially at the lower CIR region. Without RS grouping, the CIR degraded. Frequency reuse is possible amongst the RS groups as each group can transmit different FCH/MAP and potentially enable higher capacity in the relaying network.
3. Proposed text change

3.1 RS grouping procedure

[Add the following section]

6.3.9.16 Network entry and initialization

6.3.9.16.1 RS network entry and initialization

6.3.9.16.1.1 RS grouping

RS grouping method includes the following characteristics:

- A group of RSs form a Virtual RS group as decided by the MR-BS based on criteria (e.g. potential interference that they cause to each other) which is such as the potential interference that they cause to each other, and the criteria are implementation dependent. The virtual group may include the MR-BS. RS group may consist of one MR-BS and one or more RS(s), or two or more RS(s) with at least one RS being a non-transparent RS.
- Each RS is assigned an individual unicast RSID and a multicast RSID as the RS group ID. The multicast RSID is the same for all members in the group. With these two separate IDs, the RS can be managed individually or as a group. These IDs are unique within the associated MR-BS. For details on the definitions of RSID, please refer to contribution [1].
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- Either the MR-BS or the upstream serving station of the group is responsible for managing the FCH and MAP of the group as well as other processes such as scheduling and handover of MSs within the group.
- Different groups transmit different preambles, the same or different FCH and MAP from that of the associated upstream serving station.
- Removal of an RS from the group: During normal operation of the RS group, each RS continues to monitor the radio environment (e.g. the interference). One example is that for a RS at an edge of the group coverage area, it could detect strong segment interference from other nearby RS(s) or RS groups. When this happens, it can request to be removed from the RS group and operate on its own using a different segment.
- Addition of an RS to an existing group or forming a new group: At network entry, can a) operate on its own, i.e. selects or is assigned a dedicated preamble index (implying the segment), b) form a new group or c) join an existing group. The RS can perform the measurements such as of radio signals from the neighbors environment and then report to MMR-BSMR-BS regarding the preferred preamble index (implying the segment). The MMR-BSMR-BS replies by either confirming the preamble sequence index selected by the RS or assigning a different one, indicating whether it should transmit the preamble, and at the same time, providing the corresponding RS group ID.
3.2 MAC management message to enable the RS grouping configuration

{Insert the following section as a new section}

6.3.2.3.62 RS configuration request message

This message may be transmitted by an RS to request some physical layer operation parameters. An RS may use this message to report information to facilitate the determination of an MMR-BSMR-BS on configuration of RS operation parameters.

<table>
<thead>
<tr>
<th>Table XXX. RS_Config-REQ message format.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Syntax</td>
</tr>
<tr>
<td>RS_Config-REQ format</td>
</tr>
<tr>
<td>Management message type = 67</td>
</tr>
</tbody>
</table>
| Configuration para_type | 8 bits | b0 = 1: preamble configuration is included;
b1 = 1: request to be removed from RS group;
b2 = b7: reserved |
| If (b0 of Configured para_type == 1) | | |
| reserved | 1 bits | Shall be zero |
| Preamble_index | 7 bits | Preamble index |
| } | | |

Configuration para_type

The first bit is used as preamble index indicator to indicate the preamble_index field is present in this message.
The second bit is used as indicator to indicate the intent to be removed from the current RS group.

Preamble_index

This field is used to indicate the preamble index.

6.3.2.3.63 MMR-BSMR-BS configuration response message

This message may be transmitted by an MMR-BSMR-BS for the purpose of RS configuration. An MMR-BSMR-BS may use this message to set operation parameters for a RS. MMR-BSMR-BS may transmit this message as a response to RS_Config-RSREQ or as an unsolicited message.

| Syntax | Size | Notes |
|-----------------------------------------|
| RS_Config-RSREQ format | | |
| Management message type = 68 | 8 bits | |
| Configured para_type | 8 bits | b0 = 1: preamble configuration is included;
b1 = 1: remove multicast RSID to disassociate from the RS group;
b2 = 1: Unicast RSID is included;
b3 = 1: Multicast RSID is included;
b4 = 0; Do not transmit preamble; 1: transmit the assigned preamble.
B5 – b7: reserved

<table>
<thead>
<tr>
<th>Configuration_para_type</th>
<th>b0 of Configured_para_type == 1</th>
<th>1 bits</th>
<th>Shall be zero</th>
</tr>
</thead>
<tbody>
<tr>
<td>b1 reserved</td>
<td>1 bits</td>
<td>Preamble index</td>
<td></td>
</tr>
<tr>
<td>Preamble_index</td>
<td>7 bits</td>
<td></td>
<td></td>
</tr>
<tr>
<td>b2 of Configured_para_type == 1</td>
<td>8 bits</td>
<td>Unicast RSID</td>
<td></td>
</tr>
<tr>
<td>Unicast RSID</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b3 of Configured_para_type == 1</td>
<td>8 bits</td>
<td>Multicast RSID as the RS Group ID</td>
<td></td>
</tr>
<tr>
<td>Multicast RSID</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Configuration_para_type**

The first bit is used as preamble index indicator to indicate the preamble_index field is present in this message.
The second bit is used as the indicator to instruct the RS to remove its multicast RSID so that it is disassociate from the current RS group.
The third bit is used as the Unicast RSID indicator to indicate the Unicast RSID field is present in this message.
The fourth bit is used as the Multicast RSID indicator to indicate the Multicast RSID field is present in this message.

**Preamble_index**

This field is used to indicate the preamble index.

**Unicast RSID**

This field is used to indicate the Unicast RSID.

**Multicast RSID**

This field is used to indicate the Multicast RSID for RS group operations.

4. References