<table>
<thead>
<tr>
<th>Project</th>
<th>IEEE 802.16 Broadband Wireless Access Working Group [<a href="http://ieee802.org/16">http://ieee802.org/16</a>]</th>
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<tbody>
<tr>
<td>Title</td>
<td>Clarifications for MS handover procedure among access stations with same preamble/FCH/MAP</td>
</tr>
<tr>
<td>Date Submitted</td>
<td>2007-07-05</td>
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National Taiwan University of Science and Technology (NTUST) |
| Re: | IEEE 802.16j-06/019:“Call for Technical Comments Regarding IEEE Project 802.16j” |
| Abstract | This contribution describes the remedy and required messages to clarify for MS handover procedure among access stations with same preamble/FCH/MAP defined in IEEE 802.16j-06/026r4. |
| Purpose | To make IEEE Project 802.16j more maturity |
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Remedy of MS Movement among access stations with same preamble/FCH/MAP

1. Problem Statement

In [1], subclause 6.3.22.5.2 specifies two operation modes for MS movement among access stations with same preamble/FCH/MAP where the access stations forms a Virtual RS group defined in subclause 6.3.9.16.3.1. However there are two major problems to be clarified.

- How to configure which mode and reporting scheme with regarding to corresponding parameters would be used during RS’s operation have not been addressed.
- Besides, after selecting a new target RS, how to notify original serving RS and new target RS about the decisions to release and take over associated MS is not specified.

2. Suggested Remedy

To resolve these problems, following remedies are proposed in this contribution.

Remedy 1: Configuration of Reporting Mode & Parameters

The configuration of the reporting mode will be done during RS network entry and initialization. RS_Config-REQ message may be transmitted by MR-BS to configure the reporting mode and employed parameters.

Remedy 2: Handover Target Notification

A new message VGHO-RSP is defined for notifying handover results within a Virtual RS group. MR-BS shall transmit this message to original access RS and new target RS individually. Original access RS will be indicated to release the responsibility of data relaying for specified MS and new target RS will be recommended to perform data relaying for this MS.

3. Proposed Text Change

[Adopt the following modifications into the P802.16j baseline document]

4. Abbreviations and acronyms

[Insert the following at the end of section 4]

VG Virtual RS group

6.3.22.5.2 MS Movement among access stations with same preamble/FCH/MAP

In this case, MS is not aware of the HO. Therefore, RS and MR-BS shall perform measurement of MS signal quality to assist MS movement among stations (RSs, MRBS) that share the same preamble/FCH/MAP.

The stations (RS or MR-BS) which share the same preamble/FCH/MAP form a virtual group (VG). All
stations (RSs and MR-BS) in the VG shall measure the signal quality (RSSI, CINR) and the Timing Adjust (TA) for each active MS served by this VG to support MS mobility within the VG. All RSs shall use MOB_RSSCN-REP to provide MR-BS with the selected report metrics (RSSI and/or CINR and TA) for each active MS when needed.

The MOB_RSSCN-REP is sent to the MR-BS using the reporting modes specified by MR-BS. Two reporting modes shall be supported by RSs. The reporting mode and related reporting parameters is configured in RS_Config-REQ in subclause 6.3.2.3.67.

MR-BS may select a new target RS based on the measurement results and use RNG-RSP to adjust the timing and the power level of the MS, in order to fulfill the handover procedure. To update the access stations, MR-BS shall send VGHO-RSP message to notify an RS the changes of data forwarding status for specified MSs. VGHO-ACK message shall be responded by the RS to confirm the received VGHO-RSP.

**6.3.22.5.2.1 Mode 1**

In Mode 1, the access RS shall automatically report its measurement result to MR-BS in an event-triggered or periodic way.

For event-triggered reporting, the access RS shall report its measurement results if at least one of power, CINR, or timing requirement for the specific MS is not satisfied. The access RS may use the RS bandwidth request and allocation mechanism defined in section 6.3.6.7 to request uplink resource for sending MOB_RSSCN-REP. For periodic reporting, the access RS shall send MOB_RSSCN-REP every REP_INT which is specified in RS_Config-REQ message and the MR-BS shall periodically allocate uplink resource for the access RS to report the latest measurement result for each active MS.

The configuration of the reporting mode is specified by MR-BS during RS initiation. This is TBD.

In Mode 1, non-access RSs shall report their measurement results only if MOB_RSSCN-RSP message is received. The MR-BS shall send MOB_RSSCN-RSP message to request all or part of RSs in the same VG to report their measurement results for a specific MS. The MR-BS shall allocate uplink resource for the selected non-access RSs to send their MOB_RSSCN-REPs at the frame specified in MOB_RSSCN-RSP.

**6.3.22.5.2.2 Mode 2**

In Mode 2, all RSs (access RS and non-access RSs) in the same VG shall automatically report the measurement results to MR-BS in an event-triggered way. Each RS shall send an MOB_RSSCN-REP to MR-BS if the measured RSSI/CINR going-up cross T_ADD[i] (i=0,..,max), or going-down cross the T_DEL[i] (i=0,..,max), or the difference between the current measured TA and the previous reported TA.
exceeds $\text{TA\_DIFF}$ where $\text{T\_ADD}\[i\]$, $\text{T\_DEL}\[i\]$ ($i=0,\ldots,\text{max}$), and $\text{TA\_DIFF}$ are specified in the $\text{RS\_Config-REQ}$ message during $\text{RS}$ initiation. The $\text{RS}$ may use the $\text{RS}$ bandwidth request and allocation mechanism defined in section 6.3.6.7 to request uplink resource for sending their $\text{MOB\_RSSCN\_REP}$. The $\text{MR\_BS}$ shall maintain the measurement reports for each active $\text{MS}$ and use those information to speedup optimal target access station selection.

<Section note: $\text{T\_ADD}\[i\]$, $\text{T\_DEL}\[i\]$ ($i=0,\ldots,\text{max}$), and $\text{TA\_DIFF}$ are threshold values specified in the configuration of the reporting mode during RS initiation. This is TBD.>

MR-BS may select a new target RS based on the measurement results and use RNG-RSP to adjust the timing and the power level of the MS, in order to fulfill the handover procedure.

### 6.3.2.3.67 MR-BS configuration Request message

<table>
<thead>
<tr>
<th>Table 183f-RS_Config-REQ message format</th>
</tr>
</thead>
<tbody>
<tr>
<td>Syntax</td>
</tr>
<tr>
<td>RS_Config_REQ format {</td>
</tr>
<tr>
<td>Management message type = 67</td>
</tr>
<tr>
<td>Configured_para_type</td>
</tr>
<tr>
<td>Preamble_index</td>
</tr>
<tr>
<td>Unicast RSID</td>
</tr>
<tr>
<td>Multicast RSID</td>
</tr>
<tr>
<td>Reporting mode</td>
</tr>
<tr>
<td>Assign a preamble index value to the potential RS</td>
</tr>
<tr>
<td>Unicast RSID</td>
</tr>
<tr>
<td>Multicast RSID as the RS Group ID</td>
</tr>
<tr>
<td>Indicate reporting mode during VG operations, 0b0: mode 1</td>
</tr>
<tr>
<td>0b1: mode 2</td>
</tr>
</tbody>
</table>
Padding 7 bits

If (Reporting mode =0b0) {
Mode1 configurations

Reporting type 1 bit
Indicate reporting type in mode 1,
0b0: event-triggered reporting
0b1: periodic reporting

Padding 7 bits

If (Reporting type =0b0) {
Access station perform event-triggered reporting.

RSSI_threshold 8 bits
Indicate access RS the RSSI threshold for triggering the reporting. The value shall be interpreted as an unsigned byte with units of 0.24dB, such that 0x00 is interpreted as -103.75 dBm, an RS shall be able to report values in the range -103.75dBm to -40 dBm

CINR_threshold 8 bits
Indicate access RS the CINR threshold for triggering the reporting.

Timing_threshold 32 bits
Indicate access RS the timing threshold for triggering the reporting. Tx timing offset adjustment (signed 32-bit). The amount of time required to adjust MS transmission so the bursts will arrive at the expected time instance at the MR-BS or RS. Units are PHY specific (see 10.3)

} else {
Access station performs periodic reporting.

REP_INT 8 bits
This value specifies the reporting interval for periodic reporting, in unit of frame.

} else {
Mode2 configurations

Selected triggered metrics 3 bits
Bitmap indicating certain metrics is used for event triggered:
Bit 0: enable RSSI-based event-trigger
Bit 1: enable CINR-based event-trigger
Bit 2: enable TA-based event-trigger

If (selected triggered metrics[Bit0]==1)
**N_RSSI_T_ADD_DEL** 8 bits Number of reporting add/delete thresholds for RSSI

For (i=0; i<N_RSSI_T_ADD; i++)

**RSSI_T_ADD[i]** 8 bits This RSSI value specifies the add threshold to trigger reporting

**RSSI_T_DEL[i]** 8 bits This RSSI value specifies the delete threshold to trigger RS reporting

\}

If (selected triggered metrics[Bit1]==1){

**N_CINR_T_ADD_DEL** 8 bits Number of reporting add/delete thresholds for CINR

For (i=0; i<N_CINR_T_ADD; i++)

**CINR_T_ADD[i]** 8 bits This CINR value specifies the add threshold to trigger reporting

**CINR_T_DEL[i]**

\}

If (selected triggered metrics[Bit2]==1){

**TA_DIFF** 8 bits This value specifies the TA difference threshold for stations triggering the reporting

\}

If (b5 of Configuration_para_type == 1) {

**R-amble_index** 8 bits R-amble index

}\)

TLV Encoded Information Variable TLV specific

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### 6.3.2.3.91 Virtual RS group handover response message

This message is used to notify handover result within a VG to RS. This message is transmitted by MR-BS with using the RS’s basic CID.

**Table xxx-VGHO-RSP message format**

<table>
<thead>
<tr>
<th>Syntax</th>
<th>Size</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>VGHO-RSP format {</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Management message type = xx</td>
<td>8 bits</td>
<td></td>
</tr>
<tr>
<td><strong>N_MS</strong></td>
<td>8 bits</td>
<td>Number of MSs needed to be update its data forwarding status</td>
</tr>
</tbody>
</table>
For (j=1; j<=N_MS; j++) {

Start_Frame 7 bits The action time of status changes for this MS

Status_changes 1 bits 0b0=0: this RS does not forward data for this MS
0b0=1: this RS forwards data for this MS

1
1

6.3.2.3.91 Virtual RS group handover acknowledge message

The VGHO-ACK message shall be transmitted to MR-BS in response to VGHO-RSP. The message format is shown in Table XX.

<table>
<thead>
<tr>
<th>Syntax</th>
<th>Size</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>VGHO-ACK format {</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Management message type = xx</td>
<td>8 bits</td>
<td></td>
</tr>
</tbody>
</table>
| ACK_type | 1 bits | 0b0=0: this RS receives correctly the VGHO-RSP message
0b0=1: this RS does not receive correctly the VGHO-RSP message |
| 1 | | |
| 1 | | |