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Source(s)	Jeong-Heon Kim, Panyuh Joo, Seung jeongheon.kim@samsung.com Joo Maeng, Jaeho Jeon, Soon Young Yoon					
	Samsung Electronics Co., Ltd.					
	Choongil Yeh, Hyuongsoo Lim, DongSeung Kwon ETRI					
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Purpose	Adopting of proposed system parameters into P802.16e					
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# FFT size and subchannelization for scalability

## Problem Definition and Proposed Solutions

In order to operate the system specified in IEEE 802.16e/D2 in a public cellular network supporting full mobility, the basic system parameters i.e., system bandwidth, FFT size, and subchannelization should be modified or included in [1].

The solution falls into three categories:

#### Bandwidth

To meet the requirements from service providers who would like to deploy a high speed public cellular network, the system bandwidths 1.25 and 2.5MHz options should be included.

### FFT Size

In order to support full mobility with low overhead for CP duration, the FFT size corresponding to the bandwidth should be scalable, i.e., 128-FFT for 1.25 MHz BW, 256-FFT for 2.5 MHz BW, 512-FFT for 5 MHz, 1024-FFT for 10 MHz BW, and 2048-FFT for 20 MHz BW.

#### Subchannelization

In order to support various FFT sizes for corresponding bandwidths, the subchannelization for downlink and uplink should be modified accordingly.

## Suggested change to the standard

(1) In '8.4.1 Introduction', CHANGE the paragraph in page 72 line 21 as "The mandatory OFDMA PHY mode that shall be supported by all SS is based on a 2048-FFT. Other FFT sizes may optionally be employed as well. These FFT sizes are scalable to the channel BW in which they are being used, i.e., <u>128-FFT for 1.25 MHz</u> channel BW, <u>256-FFT for 2.5 MHz channel BW</u>, <u>512- FFT for 5 MHz channel BW</u> or less and 1024-FFT for 10 MHz channel BW or less."

(2) ADD the <u>Table 1</u>~<u>Table 5</u> at section '8.4.6.1.4 Additional optional symbol structure for FUSC'.

Parameters	Value	Comments
Number of DC Subcarriers	<u>1</u>	
Number of Guard Subcarriers, Left	<u>9</u>	
Number of Guard Subcarriers, Right	<u>10</u>	
<u>Number of Used Subcarriers (<math>N_{used}</math>)</u>	<u>109</u>	
(including all possible allocated pilots and the DC carrier)		

#### Table 1. Optional 128-FFT OFDMA downlink carrier allocations

Number of Pilot Subcarriers	<u>12</u>	
Pilot Subcarrier Index	<u>9k+3m+1,</u> for k=0,1,,11 and m=[symbol index] mod 3	Symbol index 0 is the first symbol from which the diversity subchannelization is applied.
Number of Data Subcarriers	<u>96</u>	
Number of Data Subcarriers per Subchannel	<u>48</u>	

#### Table 2. Optional 256-FFT OFDMA downlink carrier allocations

Parameters	Value	Comments
Number of DC Subcarriers	<u>1</u>	
Number of Guard Subcarriers, Left	<u>19</u>	
Number of Guard Subcarriers, Right	<u>20</u>	
Number of Used Subcarriers (N <sub>used</sub> )	217	
(including all possible allocated pilots and the DC carrier)		
Number of Pilot Subcarriers	<u>24</u>	
Pilot Subcarrier Index	9k+3m+1, for k=0,1,,23 and m=[symbol index] mod 3	Symbol index 0 is the first symbol from which the diversity subchannelization is applied.
Number of Data Subcarriers	<u>192</u>	
Number of Data Subcarriers per Subchannel	<u>48</u>	

#### Table 3. Optional 512-FFT OFDMA downlink carrier allocations

Parameters	Value	Comments
Number of DC Subcarriers	<u>1</u>	
Number of Guard Subcarriers, Left	<u>39</u>	
Number of Guard Subcarriers, Right	<u>40</u>	
$\frac{\text{Number of Used Subcarriers (}N_{used}\text{)}}{(\text{including all possible allocated})}$	<u>433</u>	
Number of Pilot Subcarriers	<u>48</u>	

Pilot Subcarrier Index	<u>9k+3m+1,</u> for k=0,1,,47 and	Symbol index 0 is the first symbol from which the diversity
	<u>m=[symbol index] mod 3</u>	subchannelization is applied.
Number of Data Subcarriers	<u>384</u>	
Number of Data Subcarriers per Subchannel	<u>48</u>	

#### Table 4. Optional 1024-FFT OFDMA downlink carrier allocations

Parameters	Value	<u>Comments</u>
Number of DC Subcarriers	<u>1</u>	
Number of Guard Subcarriers, Left	<u>79</u>	
Number of Guard Subcarriers, Right	<u>80</u>	
Number of Used Subcarriers (N <sub>used</sub> )	<u>865</u>	
(including all possible allocated pilots and the DC carrier)		
Number of Pilot Subcarriers	<u>96</u>	
Pilot Subcarrier Index	9k+3m+1, for k=0,1,,95 and m=[symbol index] mod 3	Symbol index 0 is the first symbol from which the diversity subchannelization is applied.
Number of Data Subcarriers	<u>768</u>	
Number of Data Subcarriers per Subchannel	<u>48</u>	

#### Table 5. Optional 2048-FFT OFDMA downlink carrier allocations

Parameters	Value	Comments
Number of DC Subcarriers	<u>1</u>	
Number of Guard Subcarriers, Left	<u>159</u>	
Number of Guard Subcarriers, Right	<u>160</u>	
<u>Number of Used Subcarriers (</u> $N_{used}$ )	<u>1729</u>	
(including all possible allocated pilots and the DC carrier)		
Number of Pilot Subcarriers	<u>192</u>	
Pilot Subcarrier Index	<u>9k+3m+1,</u>	Symbol index 0 is the first symbol from which the diversity

	for k=0,1,,191 and	subchannelization is applied.
	m=[symbol index] mod 3	
Number of Data Subcarriers	<u>1536</u>	
Number of Data Subcarriers per Subchannel	<u>48</u>	

(3) REPLACE section '8.4.6.1.4.1 Downlink subchannel subcarrier allocation" with the following text:

To allocate the diversity subchannels, the whole data tones in a symbol are partitioned into groups of contiguous data subcarriers. Each subchannel consists of one subcarrier from each of these groups. The number of groups is therefore equal to number of data subcarriers per subchannel, and its value is 48. The number of the subcarriers in a group is equal to the number of subchannels, say  $N_s$ . As shown in Table 6,  $N_s$  is determined by FFT size. The exact partitioning into subchannels is according to Equation (1), called DL permutation formula.

$$Carrier(s,m) = \begin{cases} N_s \times k + [s + P_{1,c_1}(k') + P_{2,c_2}(k')] & 0 < c_1, c_2 < N_s \\ N_s \times k + [s + P_{1,c_1}(k')] & c_1 \neq 0, c_2 = 0 \\ N_s \times k + [s + P_{2,c_2}(k')] & c_1 = 0, c_2 \neq 0 \\ N_s \times k + s, & c_1 = 0, c_2 = 0 \end{cases}$$
(1.)

where

Carrier(s, m) = subcarrier index of m-th subcarrier in subchannel s

 $k = (m + s * 23) \mod 48$ ,  $k' = k \mod (N_s - 1)$ 

<u>m = subcarrier-in-subchannel index from the set [0 ~ 47]</u>

<u>*s* = index number of a subchannel from the set  $[0 \sim N_s-1]$ </u>

 $\underline{P_{1,cl}(j)} = j$ -th element of the sequence obtained by rotating basic permutation sequence  $\underline{P_1}$  cyclically to the left  $\underline{c_1}$  times. See Table 6.

 $\underline{P}_{2,c2}(j) = j$ -th element of the sequence obtained by rotating basic permutation sequence  $\underline{P}_2$  cyclically to the left  $c_2$  times. See Table 6.

 $\underline{\mathbf{c}_1 = ID_{cell} \bmod \mathbf{N}_{\mathrm{s}}, \mathbf{c}_2 = \lfloor ID_{cell} / \mathbf{N}_{\mathrm{s}} \rfloor, 0 \le c_1, c_2 < N_s}$ 

In Equation (1), the operation in [] is done over  $GF(N_3)$ . In  $GF(2^n)$ , addition is binary XOR operation. For example, 13 + 4 in  $GF(2^n)$  is  $[(1101)_2 \text{ XOR } (0100)_2] = (1001)_2 = 9$ , where  $(x)_2$  represents binary expansion of x.

FFT size	<u>N</u> s				Basic permutation sequences
<u>128</u>	<u>2</u>	<u>GF(2)</u>	<u>P</u> 1	<u>1</u>	

#### Table 6. Basic permutation sequences for diversity subcarrier allocations

			<u>P</u> <sub>2</sub>	1
256	4	GF(4)	<u>P</u> 1	<u>1,2,3</u>
	-		<u>P</u> <sub>2</sub>	<u>1,3,2</u>
512	<u>8</u>	<u>GF (8)</u>	<u>P</u> 1	<u>1, 2, 4, 3, 6, 7, 5</u>
<u>512</u>	ol	<u>01'(0)</u>	<u>P</u> <sub>2</sub>	<u>1, 4, 6, 5, 2, 3, 7</u>
1024	1024 16	<u>GF (16)</u>	<u>P</u> 1	1, 2, 4, 8, 3, 6, 12, 11, 5, 10, 7, 14, 15, 13, 9
1024	<u>16</u>	<u>UI (10)</u>	<u>P</u> <sub>2</sub>	1, 4, 3, 12, 5, 7, 15, 9, 2, 8, 6, 11, 10, 14, 13
<u>2048</u>	<u>32</u>	<u>GF (32)</u>	<u>P</u> 1	<u>1, 2, 4, 8, 16, 5, 10, 20, 13, 26, 17, 7, 14, 28, 29, 31, 27, 19, 3, 6, 12, 24, 21, 15, 30, 25, 23, 11, 22, 9, 18</u>
			<u>P</u> 2	<u>1, 4, 16, 10, 13, 17, 14, 29, 27, 3, 12, 21, 30, 23, 22, 18, 2, 8, 5, 20, 26, 7, 28, 31, 19, 6, 24, 15, 25, 11, 9</u>

(4) ADD <u>Table 7</u>~<u>Table 11</u> at section '8.4.6.2.4 Additional optional symbol structure for PUSC'.

#### Table 7. Optional 128-FFT OFDMA uplink subcarrier allocations

Parameters	Value
Number of DC Subcarriers	1
Number of Guard Subcarriers, Left	<u>9</u>
Number of Guard Subcarriers, Right	10
<u>Number of Used Subcarriers (<math>N_{used}</math>) (including all</u>	<u>109</u>
possible allocated pilots and the DC carrier)	
Number of Subchannels	<u>6</u>
Number of Tiles	<u>36</u>
Number of Subcarriers per Tile	3
Tiles per Subchannel	<u>6</u>
Number of Data Subcarriers per Subchannel	48

#### Table 8. Optional 256-FFT OFDMA uplink subcarrier allocations

Parameters	Value
Number of DC Subcarriers	1
Number of Guard Subcarriers, Left	<u>19</u>
Number of Guard Subcarriers, Right	<u>20</u>
<u>Number of Used Subcarriers (<math>N_{used}</math>) (including all</u>	<u>217</u>

possible allocated pilots and the DC carrier)	
Number of Subchannels	12
Number of Tiles	72
Number of Subcarriers per Tile	3
Tiles per Subchannel	<u>6</u>
Number of Data Subcarriers per Subchannel	<u>48</u>

#### Table 9. Optional 512-FFT OFDMA uplink subcarrier allocations

Parameters	Value
Number of DC Subcarriers	1
Number of Guard Subcarriers, Left	<u>39</u>
Number of Guard Subcarriers, Right	<u>40</u>
<u>Number of Used Subcarriers (<math>N_{used}</math>) (including all</u>	433
possible allocated pilots and the DC carrier)	
Number of Subchannels	<u>24</u>
Number of Tiles	144
Number of Subcarriers per Tile	3
Tiles per Subchannel	<u>6</u>
Number of Data Subcarriers per Subchannel	<u>48</u>

#### Table 10. Optional 1024-FFT OFDMA uplink subcarrier allocations

Parameters	Value
Number of DC Subcarriers	1
Number of Guard Subcarriers, Left	<u>79</u>
Number of Guard Subcarriers, Right	<u>80</u>
<u>Number of Used Subcarriers (<math>N_{used}</math>) (including all</u>	<u>865</u>
possible allocated pilots and the DC carrier)	
Number of Subchannels	48
Number of Tiles	288
Number of Subcarriers per Tile	3
Tiles per Subchannel	<u>6</u>
Number of Data Subcarriers per Subchannel	48

Parameters	Value
Number of DC Subcarriers	1
Number of Guard Subcarriers, Left	<u>159</u>
Number of Guard Subcarriers, Right	<u>160</u>
Number of Used Subcarriers ( $N_{used}$ ) (including allpossible allocated pilots and the DC carrier)	<u>1729</u>
Number of Subchannels	<u>96</u>
Number of Tiles	<u>576</u>
Number of Subcarriers per Tile	<u>3</u>
<u>Tiles per Subchannel</u>	<u>6</u>
Number of Data Subcarriers per Subchannel	<u>48</u>

#### Table 11. Optional 2048-FFT OFDMA uplink subcarrier allocations

(5) REPLACE section '8.4.6.2.4.2 Partitioning of subcarriers into subchannels in the uplink" with the following text:

To allocate the subchannels,  $N_{used}$  subcarriers are partitioned into tiles which is 3x3 frequency-time block containing 9 tones(1 pilot tones and 8 data tones). The whole frequency bands are partitioned into groups of contiguous tiles. Each subchannel consists of 6 tiles each of which is chosen from different groups. Let us denote the number of tiles in a group by N<sub>s</sub>. N<sub>s</sub> is different according to FFT size.

There are 18 groups in the whole frequency band and the number of tiles in a group is  $N_s$ . In order to make a subchannel, 6 groups at equal distance(3 groups away from each) are chosen and each of 6 tiles is selected from each group.

The exact partitioning into subchannels is according to Equation (2), called UL permutation formula.

$$Tile(s,m) = \begin{cases} 3N_s \cdot m + N_s \cdot S + [s' + P_{1,c_1}(m') + P_{2,c_2}(m')] & 0 < c_1, c_2 < N_s \\ 3N_s \cdot m + N_s \cdot S + [s' + P_{1,c_1}(m')] & c_1 \neq 0, c_2 = 0 \\ 3N_s \cdot m + N_s \cdot S + [s' + P_{2,c_2}(m')] & c_1 = 0, c_2 \neq 0 \\ 3N_s \cdot m + N_s \cdot S + s', & c_1 = 0, c_2 = 0 \end{cases}$$
(2.)

where

 $\underline{Tile(s, m)} = \text{tile index of } m\text{-th tile in subchannel } s.$ 

 $S = \lfloor s / N_s \rfloor \leq s' = s \mod N_s$ 

<u> $m = \text{tile-in-subchannel index from the set [0 ~ 5], m' = m \mod(N_s - 1)}$ </u>

<u>s = index number of a subchannel from the set  $[0 \sim 3N_{s} - 1]$ </u>

 $P_{1,cl}(j) = j$ -th element of the sequence obtained by rotating basic permutation sequence  $P_{L}$  cyclically to the left  $c_{L}$  times. See Table 6

 $P_{2,c2}(j) = j$ -th element of the sequence obtained by rotating basic permutation sequence  $P_2$  cyclically to the left  $c_2$  times. See Table 6

 $\underline{c_l = ID_{cell} \mod N_s, c_2 = = \lfloor ID_{cell} / N_s \rfloor}$ 

In Equation (4), the operation in [] is over  $GF(2^n)$ . In  $GF(2^n)$ , addition is binary XOR operation. For example, 13 + 4 in  $GF(2^n)$  is  $[(1101)_2 \text{ XOR } (0100)_2] = (1001)_2 = 9$ , where  $(x)_2$  represents binary expansion of x.

(6) ADD the following tables at 'Section 8.4.6.3 Optional permutations for AAS and AMC subchannels'

#### Value Parameters Comments Number of DC Subcarriers 1 Number of Guard Subcarriers, Left 9 Number of Guard Subcarriers, Right 10 <u>Number of Used Subcarriers ( $N_{used}$ )</u> 109 (including all possible allocated pilots and the DC carrier) Number of Pilot Subcarriers 12 Pilot Subcarrier Index 9k+3m+1, Symbol of index 0 is the first AMC data symbol in the for k=0,1,....,11 and downlink or uplink. m=[symbol index] mod 3 Number of Data Subcarriers 96 Number of Bands <u>3</u> Number of Bins per Band 4 Number of Data Subcarriers per 48 Subchannel

#### Table 12. 128-FFT OFDMA AMC carrier allocations

#### Table 13. 256-FFT OFDMA AMC carrier allocations

Parameters	Value	<u>Comments</u>
Number of DC Subcarriers	<u>1</u>	
Number of Guard Subcarriers, Left	<u>19</u>	
Number of Guard Subcarriers, Right	<u>20</u>	

$\frac{\text{Number of Used Subcarriers (}N_{used}\text{)}}{(\text{including all possible allocated}}$ $\frac{\text{pilots and the DC carrier})}{(\text{pilots and the DC carrier})}$	<u>217</u>	
Number of Pilot Subcarriers	<u>24</u>	
Pilot Subcarrier Index	9k+3m+1, for k=0,1,,23 and m=[symbol index] mod 3	Symbol of index 0 is the first AMC data symbol in the downlink or uplink.
Number of Data Subcarriers	<u>192</u>	
Number of Bands	<u>6</u>	
Number of Bins per Band	<u>4</u>	
Number of Data Subcarriers per Subchannel	<u>48</u>	

## Table 14. 512-FFT OFDMA AMC carrier allocations

Parameters	Value	Comments
Number of DC Subcarriers	<u>1</u>	
Number of Guard Subcarriers, Left	<u>39</u>	
Number of Guard Subcarriers, Right	<u>40</u>	
<u>Number of Used Subcarriers (</u> $N_{used}$ )	<u>433</u>	
(including all possible allocated pilots and the DC carrier)		
Number of Pilot Subcarriers	<u>48</u>	
Pilot Subcarrier Index	9k+3m+1, for k=0,1,,47 and m=[symbol index] mod 3	Symbol of index 0 is the first AMC data symbol in the downlink or uplink.
Number of Data Subcarriers	<u>384</u>	
Number of Bands	<u>12</u>	
Number of Bins per Band	<u>4</u>	
Number of Data Subcarriers per Subchannel	<u>48</u>	

#### Table 15. 1024-FFT OFDMA AMC carrier allocations

Parameters	Value	<u>Comments</u>

Number of DC Subcarriers	<u>1</u>	
Number of Guard Subcarriers, Left	<u>79</u>	
Number of Guard Subcarriers, Right	<u>80</u>	
<u>Number of Used Subcarriers (</u> $N_{used}$ )	<u>865</u>	
(including all possible allocated pilots and the DC carrier)		
Number of Pilot Subcarriers	<u>96</u>	
Pilot Subcarrier Index	<u>9k+3m+1,</u> for k=0,1,,95 and m=[symbol index] mod 3	Symbol of index 0 is the first AMC data symbol in the downlink or uplink.
Number of Data Subcarriers	<u>768</u>	
Number of Bands	<u>24</u>	
Number of Bins per Band	<u>4</u>	
Number of Data Subcarriers per Subchannel	<u>48</u>	

#### Table 16. 2048-FFT OFDMA AMC carrier allocations

Parameters	Value	Comments
Number of DC Subcarriers	<u>1</u>	
Number of Guard Subcarriers, Left	<u>159</u>	
Number of Guard Subcarriers, Right	<u>160</u>	
<u>Number of Used Subcarriers (</u> $N_{used}$ )	<u>1729</u>	
(including all possible allocated pilots and the DC carrier)		
Number of Pilot Subcarriers	<u>192</u>	
Pilot Subcarrier Index	9k+3m+1, for k=0,1,,191 and m=[symbol index] mod 3	Symbol of index 0 is the first AMC data symbol in the downlink or uplink.
Number of Data Subcarriers	<u>1536</u>	
Number of Bands	<u>48</u>	
Number of Bins per Band	<u>4</u>	
Number of Data Subcarriers per Subchannel	<u>48</u>	

## References

[1] IEEE P802.16-REVe/D2-2004 Amendment for Physical and Medium Access Control Layers for Combined Fixed and Mobile Operation in Licensed Band.

[2] IEEE P802.16-REVd/D5-2004 Air Interface for Fixed Broadband Wireless Access Systems