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Re:	IEEE P802.16e/D9	
Abstract	Remedy of double EAP mode Authentication	
Purpose	Adopt this contribution as a remedy of <del>EAP-in-EAP</del> double mode	
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## Remedy of EAP-in-EAP mode

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### 1. Motivation

According to IETF's security review, there was a comment and a suggestion on the "Authenticated EAP" mode.

#### 3. "Authenticated EAP" mode

[RFC3748] Section 2.1 states:

" An EAP conversation MAY utilize a sequence of methods. A common example of this is an Identity request followed by a single EAP authentication method such as an MD5-Challenge. However, the peer and authenticator MUST utilize only one authentication method (Type 4 or greater) within an EAP conversation, after which the authenticator MUST send a Success or Failure packet."

The prohibition on sequences of EAP methods was added to avoid a potential man-in-the-middle vulnerability described in [KEYFRAME] Section 6.4:

" As described in [I-D.puthenkulam-eap-binding], EAP method sequences and compound authentication mechanisms may be subject to man-in-the-middle attacks. When such attacks are successfully carried out, the attacker acts as an intermediary between a victim and a legitimate authenticator. This allows the attacker to authenticate successfully to the authenticator, as well as to obtain access to the network."

By enabling use of a sequence of EAP conversations without support for cryptographic binding, "Authenticated EAP" mode creates a vulnerability to man-in-the-middle attack.

IEEE 802.16e D8 Section 7.2.2.2.2 states:

"Note that this EAP authentication method shall not derive key material and PMK"

We assume this implies that the PMK generated by the second EAP authentication is not utilized, rather than a prohibition on EAP methods that derive keys.

However, not requiring the BS to demonstrate possession of PMKs from all EAP authentications enables the man-in-the-middle attack, described in [BINDING]. This is a critical vulnerability, and

we strongly suggest that IEEE 802.16e address it prior to publication.

**One potential way to achieve this is for cryptographic binding to be utilized so that the BS can demonstrate possession of all of the PMKs.**

<From the review.txt of IETF>

IETF suggested remedy for EAP in EAP mode in 802.16e.

## 2. Proposed solution

According to the review, “it is suggested that cryptographic binding to be utilized so that the BS can demonstrate possession of all of the PMKs”.

Although there was a suggested remedy, the BRC security subteam just removed the “EAP-in-EAP mode” instead of doing suggested remedy.

### 1) ~~+~~AK Key Derivation

After MS and BS performs EAP in EAP mode according to authorization policy,

- First EAP method generates PMK between MS and BS
- Second EAP method generates PMK2 between MS and BS.

We shall have to generate AK

$AK \leq \text{Dot16KDF}(PMK, PMK2, BSID|MSID|AK, 160)$ ;

Finally the “middle-man” can be detected by SA-TEK 3 way handshake through sign by H/OMAC key derived from AK which is generated from PMK and PMK2.

### 2) Satisfying ~~Satisfy~~ RFC4017 for second EAP

#### 3) Describe how double EAP works.

Please see “proposed text change of 7.2.2.2.2 section”

#### 4) Enable HMAC to use EIK (160bits)

EIK(128bits) → EIK(160bits)

#### 5) Creates two new message to distinguish 1st round EAP and 2<sup>nd</sup> round EAP

- a. PKMv2 EAP Complete (to transfer 1st EAP \_Success/Failure in order to inform MS of completion of 1st EAP. This message is used in initial authentication and reauthentication)
- b. PKMv2 Authenticated EAP Start ( to initiated 2<sup>nd</sup> round EAP by signing EIK)  
(This message are used only for initial authentication for double EAP)

## 3. Proposed Text Changes

*[Please modify text in section 7.2.2.2.2 in page 212 of 802.16e/D9 ]*

### 7.2.2.2.2 EAP authentication

If a RSA mutual authorization took place before the EAP exchange or if the first EAP took place during EAP-in-EAP mode, the EAP messages may be protected using EIK - EAP Integrity Key derived from pre-PAK (see 7.2.2.2.1) or MSK. EIK is ~~128~~ 160bits long.

The product of the EAP exchange which is transferred to 802.16 layer is the MSK. This key is derived (or may be equivalent to the 512-bits Master Session Key (MSK) ). This key is known to the AAA server, to the Authenticator\* (transferred from AAA server) and to the MS. The MS and the authenticator derive a PMK (Pairwise Master Key) and optional EIK by truncating the MSK to 288 bits.

The PMK derivation from the MSK is as follows:

The PMK and EIK derivation from the MSK during first EAP method is as follows:

EIK | PMK = truncate (MSK, 320)

The PMK2 derivation from the MSK2 during second EAP method is as follow:

PMK2 := truncate(MSK2, 160)

If more keying material is needed for future link ciphers, the key length of the PMK may be increased.

After successful EAP based authorization, if the MS or BS negotiates authorization policy as "Authenticated EAP after EAP" mode, the authenticated EAP messages shall carry second EAP message. It shall cryptographically bind previous EAP authentication and following EAP authentication session, while protecting second EAP messages. In order to prevent "man-in-the-middle attack", the second EAP method should fulfill the "mandatory criteria" listed in section 2.2 of RFC 4017

If MS and BS negotiate double EAP mode (a.k.a. Authenticated EAP after EAP), MS and BS perform two rounds of EAP as follows:

- 1) In order to initiate 1<sup>st</sup> round EAP of double EAP, MS shall may send PKMv2 EAP Start message with no attribute.
- 2) MS and BS shall perform 1<sup>st</sup> round EAP conversation with PKMv2 EAP Transfer message without HMAC/OMAC Digest.
- 3) During 1<sup>st</sup> EAP conversation, if BS has to send EAP-Success, BS shall send EAP payload to MS with PKMv2 EAP Complete message signed by newly generated EIK. BS shall resend the PKMv2\_EAP\_Complete message by Second\_EAP\_Timeout. Total number of sending PKMv2\_EAP\_Complete message is EAP\_Complete\_Resend. After MS receives the PKMv2 EAP\_Complete message which includes EAP-Success payload, MS can possess EIK and PMK. In this case, MS can validate the message. Otherwise, if MS receives EAP-Failure or can not validate the message, MS fails in authentication. After BS transfers the PKMv2 EAP Complete message to MS, BS activates the Second\_EAP\_Timeout in order to wait PKMv2 Authenticated EAP Start message. When the timer expires, BS shall regard the authentication as failure.
- 4) After the successful 1<sup>st</sup> round EAP, MS shall send PKMv2 EAP Start message signed by EIK to initiates 2<sup>nd</sup> round EAP conversation. If BS validates the PKMv2 EAP Start message by EIK, BS shall initiate 2<sup>nd</sup> EAP by sending PKMv2 Authenticated EAP message including EAP-Identity/Request to MS. If BS cannot validate the PKMv2 Authenticated EAP Start message, BS shall regard the authentication as failure.
- 5) MS and BS shall perform 2nd EAP conversation with PKMv2 Authenticated EAP message signed by EIK.
- 6) If 2nd round EAP succeeds, both MS and authenticator generate AK from PMK and PMK2. MS and BS shall perform SA-TEK 3way handshake.

After the successful initial authentication, MS and BS shall perform re-authentication by PMK/PMK2 lifetime as follows:

- 1) In order to initiate reauthentication, MS may send PKMv2 EAP Start message signed by H/CMAC\_KEY\_U derived from AK.
- 2) MS and BS shall use PKMv2 EAP Transfer message to carry 1st round EAP conversation
- 3) BS shall carry EAP-Success or EAP-Failure message with PKMv2 EAP Complete message signed by AK generated from the previous double EAP.
- 4) After successful 1st round EAP, MS shall initiate 2nd round EAP by sending PKMv2 EAP Start message signed by H/CMAC\_KEY\_U generated from AK (previous double EAP generated this key).
- 5) MS and BS shall perform 2nd round EAP conversation with PKMv2 EAP Transfer message signed by AK which is generated by previous double EAP.
- 6) MS and BS shall perform SA-TEK 3way handshake.

***[Insert highlighted lines at sub-clauses 7.2.2.2.3 in line 15 to 35 of page 213 in 802.16e/D9 as follows]***

If (PAK and PMK)

AK <= Dot16KDF (PAK PMK, SSID | BSID | "AK", 160)

Else If (PMK and PMK2)

AK <= Dot16KDF (PMK PMK2, SSID | BSID | "AK", 160)

```

Else
  If (PAK)
    AK <= Dot16KDF (PAK, SSID | BSID | "AK", 160)
  Else
    AK <= Dot16KDF (PMK, SSID | BSID | "AK", 160)
  Endif
Endif

```

*[Add following figure and text right after figure 133 in page 216 of 802.16e/D9]*

Figure 133a outlines the process to calculate the AK when EAP in EAP mode authentication exchange has taken place, first EAP yielding EIK and MSK and second EAP yielding MSK2.

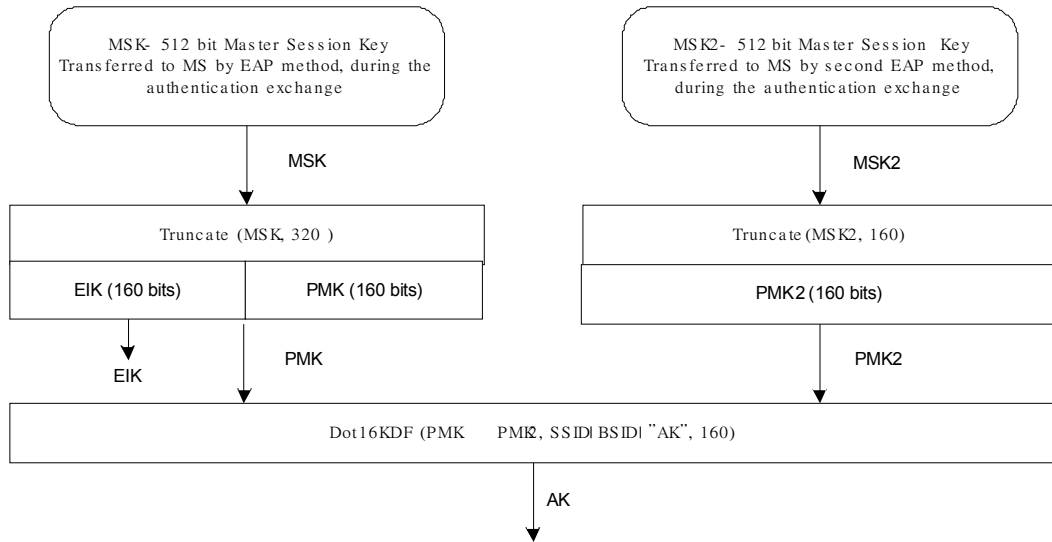


Figure 133a- AK with PMK and PMK2  
(EAP-based authorization and Authenticated EAP-based authorization)

*[Change the row and insert new rows of table 133 in page 200]*

PMK	160	A key yield from the EAP-based authentication
<u>PMK2</u>	<u>160</u>	<u>A key yield from the second EAP authentication in case of authenticated EAP after EAP.</u>
<u>PMK/PMK2 lifetime</u>		The lifetime of PMK derived from EAP PMK lifetime, when the EAP-based authorization is achieved. The value of PMK lifetime may be transferred from the EAP method or may be set by a vendor. <u>If MSK has infinite lifetime, PMK lifetime should be set to default PMK lifetime.</u> <u>In case of authenticated EAP after EAP, PMK/PMK2 lifetime is MIN(PMK,PMK2).</u> <u>If both PMK and PMK2 have infinite value, PMK/PMK2 lifetime is set to default PMK lifetime.</u>
AK lifetime	160	This is the time this key is valid; it is calculated AK lifetime = MIN(PAK lifetime, PMK lifetime) - when this expires, re-authentication is needed. <u>AK lifetime = MIN(PMK lifetime, PMK2 lifetime) in case of Authenticated EAP after EAP</u>
<u>EIK</u>	<u>160</u>	<u>EAP Integrity Key for authenticating Authenticated EAP message</u>

*[Please insert the red text into subsection 6.3.2.3.9.17 in page 50]*

### 6.3.2.3.9.17 PKMv2 Authenticated EAP Transfer messages

This message can be used in case of negotiating Authenticated EAP-based authorization as authorization policy (by Authorization Policy Support included in the SBC-REQ/RSP message) between an MS and the BS. Moreover, if EIK is available and an MS or BS has an EAP payload received from an EAP protocol for transmission, it encapsulates EAP payload in a PKMv2 Authenticated EAP Transfer message.

Code: 19

Attributes are shown in Table 37f

**Table 37f PKMv2 Authenticated EAP message Attribute**

Attribute	Contents
PAK Sequence Number	PAK Sequence Number <u>(optional)</u>
EAP Payload	Contains the EAP authentication data, not interpreted
HMAC/CMAC Digest	Message Digest calculated using EIK

The EAP Payload field carries EAP data in the format described in RFC 3748

The CMAC-Digest's or HMAC-Digest's attribute shall be the final attribute in the message's attribute list.

Inclusion of the CMAC or HMAC-Digest allows the MS and BS to cryptographically bind previous authorization and following EAP authentication by authenticating the EAP payload. The CMAC-Digest's or HMAC Digest's authentication key is derived from the EIK

PAK Sequence Number attribute carries PAK sequence number only if MS and BS negotiate "Authenticated EAP after RSA" mode.

*[Please insert the following sentence just after section 6.3.2.9.17 in page 50] and insert new rows of table 133 in page 200]*

*[Please insert two following subsections just after section 6.3.2.9.27 in page 58]*

### 6.3.2.3.9.28 PKMv2 EAP Complete

In double EAP mode (EAP after EAP), BS sends the PKMv2 EAP Complete message to MS with EAP-Success or EAP-Failure to inform MS of completing 1<sup>st</sup> EAP conversation.

This message is used only if MS and BS negotiate EAP in EAP mode.

The Key Sequence Number and HMAC/CMAC Digest attributes of this message appear only in re-authentication.

**Table 37q PKMv2 EAP Complete Attribute**

Attribute	Contents
EAP Payload	<u>Contains the EAP authentication data, not interpreted in the MAC layer</u>
Key Sequence Number	<u>AK sequence number appear only if AK is available from previous double EAP</u>
HMAC/CMAC Digest	<u>Message Digest calculated using AK only if AK is available from previous double EAP</u> <u>Message Digest calculated using EIK when initial authentication</u>

### 6.3.2.3.9.29 PKMv2 Authenticated EAP Start

In double EAP mode (EAP after EAP), MS sends the PKMv2 EAP Authenticated EAP Start message to BS in order to

initiate 2<sup>nd</sup> round EAP. This message is signed by EIK which is generated by 1<sup>st</sup> EAP. This message is used only for initial authentication of double EAP.

**Table 37r PKMv2 Authenticated EAP Start Attribute**

<u>Attribute</u>	<u>Contents</u>
<u>MS_Random</u>	<u>Random number generated by MS.</u>
<u>HMAC/CMAC Digest</u>	<u>Message Digest calculated using EIK</u>

*[Please insert the following row into the table 343, section 10.2 in page 503]*

<u>B</u> <u>S</u>	<u>Second_EAP_Timeout</u>	<u>Time in seconds to wait for PKMv2_EAP_Start or PKMv2_Authenticated_EAP_Start after the success of the first EAP in double EAP mode</u>	<u>0.3</u>	<u>1</u>	<u>1</u>
<u>B</u> <u>S</u>	<u>EAP_Complete_Resend</u>	<u>Total number of sending PKMv2_EAP_Complete message in double EAP mode</u>	<u>1</u>	<u>3</u>	<u>3</u>

*[Please insert the following rows into the table 26, section 6.3.2.3.9 in page 46]*

<u>29</u>	<u>PKMv2 EAP Complete</u>	<u>PKM-RSP</u>
<u>30</u>	<u>PKMv2 Authenticate EAP Start</u>	<u>PKM-REQ</u>