## 1 IEEE P802.20.3<sup>™</sup>/D1.0

- 2 **Draft Standard for Local and**
- 3 Metropolitan Area Networks Standard
- 4 Air Interface for Mobile Broadband
- **5** Wireless Access Systems Supporting
- 6 Vehicular Mobility Minimum
- 7 performance Specification
- 8 Prepared by the 802.20 Working Group of the
- 9 LMSC Committee
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- Abstract: This standard specifies minimum performance parameters and the associated test
- 1 2 3 methodologies for implementation of 802.20 compliant systems.
- Keywords: Wirless, Mobile, LAN, MAN,

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### 1 Introduction

2 3 4

This introduction is not part of IEEE P802.20.3/D1.0, Draft Standard for Local and Metropolitan Area Networks – Standard Air Interface for Mobile Broadband Wireless Access Systems Supporting Vehicular Mobility – Minimum performance Specification.

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15 At the time this draft standard was completed, the 802.20 Working Group had the following membership:

16	Mark Klerer, Chair								
17	Radhakrishna Canchi, Vice Chair								
18 19 20 21 28	Participant1 Participant2 Participant3	22 23 24	Participant4 Participant5 Participant6	25 26 27	Participant7 Participant8 Participant9				
29 30 31 32	The following members of the may have voted (to be supplied by IEEE)	[indi	<b>vidual/entity]</b> ballotir for approval,	ig committee vo disapprov	ted on this standard. Balloters al, or abstention.				

#### 1 CONTENTS

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#### Draft Standard for Local and 1

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- performance Specification 6

#### 7 1. Overview

#### 8 1.1 Scope

- 9 This standard details definitions, method of measurements and minimum performance characteristics for
- 10 IEEE P802.20 MBWA terminals and base stations/Access Nodes (AN). The test methods are specified in
- 11 this document; however, methods other than those specified may suffice for the same purpose.

#### 12 1.2 Purpose

- 13 The purpose of this standard is to specify minimum performance characteristics for IEEE P802.20 14 implementations. Service providers deploying equipment meeting this specification can expect to meet a 15
- particular service level with user terminals that also comply with this specification.

#### 16 2. Normative references

17 The following referenced documents are indispensable for the application of this document (i.e., they must

- 18 be understood and used, so each referenced document is cited in text and its relationship to this document is 19 explained). For dated references, only the edition cited applies. For undated references, the latest edition of
- 20 the referenced document (including any amendments or corrigenda) applies.
- 21 "International Telecommunications Union Radio Regulations", Edition 2004, Volume 1 - Articles, ITU,
- 22 December 2004.

1 Recommendation ITU-R SM.328-10, "Spectra and Bandwidth of Emissions".

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### 4 **3. Definitions**

5 For the purposes of this draft standard, the following terms and definitions apply. *The Authoritative* 6 *Dictionary of IEEE Standards Terms* should be referenced for terms not defined in this clause.

3.1 Emission BW: The x- dB Bandwidth, MHz; the latter is defined in ITU-R SM.328-10; x=26 dB is used
in FCC definitions; EBW26dB > OBW99%. It is commonly used in regulations when specifying the
emission requirement in the first 1 MHz to the channel edge. For instance FCC requires -13dBm for 1% of
the 26dB-EBW in that region.

11 3.2 Occupied BW: provides a verification of channel bandwidth. Occupied bandwidth is less than channel 12 bandwidth. It is defined as the width of a frequency band such that, below the lower and above the upper 13 frequency limits, the mean powers emitted are each equal to a specified percentage  $\beta/2$  of the total mean 14 power of a given emission. Unless otherwise specified by the Radiocommunication Assembly for the 15 appropriate class of emission, the value of  $\beta/2$  should be taken as 0.5%. [3, 4].

### 16 **4.** Minimum performance requirements for the Wideband Mode

#### 17 **4.1 General**

18 This subclause details definitions, methods of measurement, and minimum performance requirements for 19 access networks and access terminals. This Standard shares the purpose of IEEE 802.20 (and subsequent 20 revisions thereof) by ensuring that an access terminal can obtain service in any system that meets the 21 compatibility requirements of IEEE 802.20.

Compatibility, as used in connection with this Standard and IEEE 802.20 is understood to mean that any access terminal is able to open data connections in any suitably implemented MBWA system supporting the same Mode of operation. Conversely, all suitably implemented MBWA systems are able to open connections with any access terminal.

Test methods are recommended in this document; however, methods other than those recommended may suffice for the same purpose.

The performance metrics in this clause require an access terminal to provide a single antenna connector for testing. Access terminals having multiple antennas, such as for receive diversity, shall provide a single antenna connector for testing. If an access terminal has more than one antenna connector, only one

31 connector shall be used for testing.

#### 32 4.2 Bandwidth

Table 1 presents the different channel bandwidths to be used for MBWA signal transmissionmeasurements.

#### IEEE 802.20/08-19r1

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#### Table 1 MBWA Channel Bandwidths

N <sub>FFT</sub>	512	1024	2048
CBW, MHz	5	10	20
N <sub>T</sub> , tiles	32	64	128
N <sub>guard</sub> , tiles	1	2	4
TBW, tiles	30	60	120

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3 CBW: Channel bandwidth in MHz

4 TBW: Transmission bandwidth that varies from one tile to the maximum transmission BW as defined in

5 Figure 1 Note 4. If the TBW is not associated with a number of tiles, then what is meant is the maximum 6 TBW.

5 MHz and larger channel bandwidths include guard-bands of 1 Tile for 5 MHz, 2 Tiles for 10 MHz and 4
 Tiles for 20 MHz channels.

9 Figure 1 illustrates the spectral arrangement of a 10 MHz bandwidth IEEE 802.20 Wideband Mode signal.



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#### Figure 1 10 MHz signal example

- 2 Note 1: Channel Bandwidth (CBW) = [1.25; 2.5; 5; 10; 20], *MHz*; *CBW* > *EBW*;
- 3 Note 2: Emission Bandwidth (EBW) = x- dB Bandwidth, *MHz*; the latter is defined in ITU-R SM.328-10;
- 4 x=26 dB is used in FCC definitions; EBW<sub>26dB</sub> > OBW<sub>99%</sub>
- 5 Note 3: Occupied Bandwidth (OBW) = x% Bandwidth, *MHz*; defined in *ITU-R* SM.328-10; x=99% is typical 6 value; OBW  $\ge$  TBW;
- $\overline{O}$  value,  $\overline{OBW} \ge \overline{IBW}$ ,
- 7 Note 4: Transmission Bandwidth (TBW) = ( $N_{FFT} N_{guard}$  \*2) \* 0.0096 / 16, tiles;  $N_{guard}$  is number of guard
- 8 sub-carriers on each side of the carrier.
- 9

#### 10 **4.2.1 Requirements**

11 The occupied bandwidth for MBWA shall be based on  $\beta/2 = 0.5\%$ . The occupied bandwidth shall be less than the channel bandwidth.

13 The measurement shall employ a Resolution BW (RBW ) of  $\ge 1\%$  of the CBW, except where it is explicitly 14 set otherwise.

### 15 **4.3 Band Classes**

16 This subclaus specifies the different band classes and subclasses and their respective duplexer gaps. In the 17 next table, for each band class/subclass, we list the band for forward link channels and reverse link 18 channels. The duplexer gap is the gap between the FL band and RL band.

#### 19

#### Table 2.Duplexer gaps for all band classes and subclasses

Band Class	Subcl ass	Reverse Link Band (MHz)	Forward Link Band (MHz)	Duplexer Gap (MHz)	Recommended Bandwidth (MHz)
0	0	824.000 - 849.000	869.000 - 894.000	20.000	4.608 <sup>1</sup> , 9.216 <sup>2</sup>
	1	824.000 – 849.000	869.000 – 894.000	20.000	4.608, 9.216
	2	824.000 - 830.000	869.000 – 875.000	39.000	4.608, 9.216
	3	815.000 – 830.000	860.000 – 875.000	30.000	4.608, 9.216
1		1850.000 – 1910.000	1930.000 – 1990.000	20.000	4.608, 9.216
2	0	890.000 – 905.000	935.000 – 950.000	30.000	4.608, 9.216
	1	890.000 - 915.000	935.000 - 960.000	20.000	4.608, 9.216
	2	872.000 - 905.000	917.000 – 950.000	12.000	4.608, 9.216

<sup>&</sup>lt;sup>1</sup> The recommend bandwidth of 4.608MHz corresponds to a system with 480 non-guard subcarriers.

<sup>&</sup>lt;sup>2</sup> The recommend bandwidth of 9.216MHz corresponds to a system with 960 non-guard subcarriers.

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Band Class	Subcl ass	Reverse Link Band (MHz)	Forward Link Band (MHz)	Duplexer Gap (MHz)	Recommended Bandwidth (MHz)
3		887.000 – 889.000	832.000 - 834.000	17.000	4.608, 9.216
		893.000 – 901.000	838.000 – 846.000		
		915.000 – 925.000	860.000 - 870.000		
4		1750.000 – 1780.000	1840.000 – 1870.000	60.000	4.608, 9.216
5	0	452.500 – 457.475	462.500 – 467.475	5.025	4.608
	1	452.000 – 456.475	462.000 - 466.475	5.525	4.608
	2	450.000 – 454.800	460.000 - 464.800	5.200	4.608
	3	411.675 – 415.850	421.675 – 425.850	5.825	4.608
	4	415.500 – 419.975	425.500 – 429.975	5.525	4.608
	5	479.000 – 483.480	489.000 – 493.480	5.520	4.608
	6	455.230 – 459.990	465.230 – 469.990	5.240	4.608
	7	451.310 – 455.730	461.310 – 465.730	5.580	4.608
	8	451.325 – 455.725	461.325 – 465.725	5.600	4.608
	9	455.250 – 459.975	465.250 – 469.975	5.275	4.608
	10	479.000 – 483.475	489.000 - 493.475	5.525	4.608
	11	410.000 – 414.975	420.000 – 424.975	5.025	4.608
6		1920.000 – 1980.000	2110.000 – 2170.000	130.000	4.608, 9.216
7		776.000 – 788.000	746.000 – 758.000	18.000	4.608, 9.216
8		1710.000 – 1785.000	1805.000 - 1880.000	20.000	4.608, 9.216
9		880.000 – 915.000	925.000 - 960.000	10.000	4.608, 9.216
10	0	806.000 - 811.000	851.000 - 856.000	40.000	4.608, 9.216
	1	811.000 – 816.000	856.000 - 861.000	40.000	4.608, 9.216
	2	816.000 - 821.000	861.000 - 866.000	40.000	4.608, 9.216
	3	821.000 - 824.000	866.000 - 869.000	42.000	4.608, 9.216
	4	896.000 - 901.000	935.000 - 940.000	34.000	4.608, 9.216
11	0	452.500 – 457.475	462.500 - 467.475	5.025	4.608
	1	452.000 – 456.475	462.000 - 466.475	5.525	4.608
	2	450.000 - 454.800	460.000 - 464.800	5.200	4.608
	3	411.675 – 415.850	421.675 - 425.850	5.825	4.608
	4	415.500 - 419.975	425.500 - 429.975	5.525	4.608
	5	Not specified	Not specified	Not specified	Not specified

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Band Class	Subcl ass	Reverse Link Band (MHz)	Forward Link Band (MHz)	Duplexer Gap (MHz)	Recommended Bandwidth (MHz)
	6	Not specified	Not specified	Not specified	Not specified
	7	Not specified	Not specified	Not specified	Not specified
	8	451.325 – 455.725	461.325 – 465.725	5.600	4.608
	9	455.250 – 459.975	465.250 – 469.975	5.275	4.608
	10	479.000 – 483.475	489.000 – 493.475	5.525	4.608
	11	410.000 – 414.975	420.000 – 424.975	5.025	4.608
12	0	870.000 – 876.000	915.000 – 921.000	39.000	4.608, 9.216
	1	871.500 – 874.500	916.500 – 919.500	42.000	4.608, 9.216
	2	870.000 – 876.000	915.000 – 921.000	39.000	4.608, 9.216
13		2500.000 – 2570.000	2620.000 - 2690.000	50.000	4.608, 9.216
14		1850.000 – 1915.000	1930.000 – 1995.000	15.000	4.608, 9.216
15		1710.000 – 1755.000	2110.000 – 2155.000	355.000	4.608, 9.216
16		2502.000 – 2568.000	2624.000 - 2690.000	56.000	4.608, 9.216
17		Not specified	Not specified	Not specified	Not specified
18		787.000 – 799.000	757.000 – 769.000	18.000	4.608, 9.216
19		698.000 - 716.000	728.000 - 746.000	12.000	4.608, 9.216

#### 2 4.4 ACCESS NETWORK (AN) MPS

#### 3 4.4.1 AN Receiver Minimum Standards

The sector receiving equipment shall include two diversity RF input ports. Receiver tests employ both inputs, unless otherwise specified. The equipment setups referenced in this subclause are functional. Other configurations may be necessary for actual testing due to equipment limitations and tolerances.

#### 7 4.4.1.1 Receiver Sensitivity

#### 8 **4.4.1.1.1 Definition**

9 The reference sensitivity level is defined for one receive antenna as the minimum mean power received at 10 the antenna connector to attain 1% FER for the configurations specified in Table 3

11 Method of Measurement

12 The test shall be carried out for every band class and channel bandwidth (CBW) [1] supported by the sector 13 using the relevant configuration as specified in Table 3.

14	1)	Configure the sector under test and an access terminal simulator as shown in Figure 2.
15	2)	Disable the AWGN generators (set their output powers to zero).
16 17	3)	Configure the access network to use reference channel specified in the first column of Table 3 for the channel bandwidth being used for the test.
18	4)	Fix the access network transmit power to the maximum supported for the configuration.
19 20	5)	The power level should be fixed such that the access network reference sensitivity level is at the value specified in Table 4 for the channel bandwidth being used.
21	6)	Measure the FER
22		
23		Table 3: Encoder parameters for receiver sensitivity

Reference channel	(Channel Bandwidth = 5, 10 or 20 MHz)
Allocated Tiles	30
Guard Band (tiles per side)	1
Symbols per Tile	8
Modulation	QPSK
Packet format	0
Number of HARQ transmissions	1
Payload size (bits)	1666
Tones per Tile	16
Data channel CRC (bits)	24
Cyclic prefix (us)	13.02
Symbol duration (us)	120.44
Frame duration (us)	963.52
PHY layer throughput [kbps]	1729

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#### Table 4: Access network reference sensitivity level

Channel bandwidth (MHz)	Access network reference sensitivity level (dBm)			
5	[-102.2+x+y]			
10	[-102.2+x+y]			
20	[-102.2+x+y]			
Note : x is the reference signal C/I requirement. x=-0.5dB for 1% FER and y=2.5 dB is the implementation loss				

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### 5 4.4.1.1.2 Minimum Standard

6 The FER in all the tests shall not exceed 1% with 95% confidence

## 7 4.4.1.2 Receiver Dynamic Range

## 8 4.4.1.2.1 Definition

9 The dynamic range requirement of the MBWA system is specified as a measure of the capability of the

10 receiver to receive a desired MBWA signal in the presence of an AWGN interfering signal of the same

bandwidth as that of the desired signal in the reception frequency channel. The requirement is to attain a

12 FER less than or equal to 1% for transmission configurations in Table 5.

#### 1 4.4.1.2.2 Method of Measurement

- 2 The test shall be carried out for every band class and channel bandwidth (CBW) supported by the sector using the relevant configuration as specified in Table 5.
- 4 b) Configure the sector under test and an access terminal simulator as shown in Figure 2.
- 5 c) Configure the access network to use reference channel specified Table 5 for the channel bandwidth being used for the test.
- 7 d) Fix the access network transmit power to the maximum supported for the configuration.
- 8 e) Adjust the interfering signal's mean power to the level specified in Table 6.
- 9 f) Measure the FER
- 10

## 11Table 5: Encoding parameters for receiver dynamic range test. The channel code is Turbo12code R1/5

Reference channel	(Channel Bandwidth = 5, 10 or 20 MHz)
Allocated Tiles	30
Guard Band (tiles per side)	1
Symbols per Tile	8
Modulation	64QAM
Packet format	7
Number of HARQ transmissions	1
Payload size (bits)	9576
Subcarriers per Tile	16
Data channel CRC (bits)	24
Cyclic prefix (usec)	13.02
Symbol duration (us)	120.44
Frame duration (us)	963.52
Phy layer throughput [kbps]	9939

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Table 6: Access network receive power level for dynamic range test

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MBWA channel bandwidth (MHz)	Desired signal mean power [dBm]	Interfering signal mean power [dBm] /transmission BW	Type of interfering signal		
5	[-86.2+x+y]	[-86.2]	AWGN		
10	[-86.2+x+y]	[-83.2.]	AWGN		
20	[-86.2+ x+y]	[-80.2]	AWGN		
Note 1: The requirement shall be met in consecutive application of the configuration inTable 1 to groups of 30 tiles Note 2: x=14.5 for 1% FER assuming 1 receive antenna and y=2.5dB					

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#### 2 4.4.1.2.3 Minimum Standard

3 The FER in all the tests shall not exceed 1% with 95% confidence.

4

### 5 4.4.1.3 Intermodulation Spurious Response Attenuation

#### 6 **4.4.1.3.1 Definition**

7 The intermodulation spurious response attenuation requirement of the MBWA system is specified as a 8 measure of the capability of the receiver to receive a desired MBWA signal in the presence of interfering 9 signals at a carefully chosen frequency offsets such that their third order inter-modulation product falls in 10 the desired signal channel increasing the noise floor. The desired signal is allowed to desense by at most 6dB.

12

#### 13 4.4.1.3.2 Method of Measurement

14 The test shall be carried out for every band class and channel bandwidth (CBW) supported by the sector 15 using the relevant configuration as specified in Table 7 and Table 8.

- a) Configure the sector under test and an access terminal simulator as shown in Figure 4.
- b) Configure the access network to use the reference channel configuration in Table 3 (receiver sensitivity).
- 19 c) Fix the access network transmit power to the maximum supported for the configuration.
- 20 d) Adjust the mean power of the interfering signals to the level specified in Table 7 and Table 8
- e) For broadband intermodulation test, the power level should be fixed such that the access network
   receiver power is at the level specified in Table 7. For narrowband intermodulation test, the power
   level should be fixed such that the access network receiver power is at the level specified in Table
   8.
- f) Measure the FER.
- 26

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MBWA channel bandwidth (MHz)	Configuration	Desired signal mean power [dBm]	Interfering signal mean power [dBm]	Interfering signal centre frequency offset to the channel edge of the desired carrier [MHz]	Type of interfering signal
5	See Table 3	[REFSENS + [6]dB]	[-52]	[7.5]	CW
5			[-52]	[17.5]	5MHz MBWA signal
10	See Table 3	[REFSENS + [6]dB]	[-52]	[7.5]	CW
10			[-52]	[17.7]	5MHz MBWA signal
20	See Table 3 [RI	[REFSENS +	[-52]	[7.5]	CW
20		[6]dB]	[-52]	[17.95]	5MHz MBWA signal

#### Table 7: Access network broadband intermodulation performance requirement

2

MBWA channel bandwidth (MHz)	Configuration	Wanted signal mean power [dBm]	Interfering signal mean power [dBm]	Interfering signal offset to the channel edge of the desired carrier [kHz]	Type of interfering signal
		IREESENS +	[-52]	[384]	CW
5	See Table 3	[6]dB]	[-52]	[1040.8]	5 MHz MBWA signal, 1 Tile* (10th tile from center)
	See Table 3	[REFSENS + [6]dB]	[-52]	[439.6]	CW
10			[-52]	[1348]	5 MHz MBWA signal, 1 Tile* (8th tile from center)
20	See Table 3	[REFSENS + [6]dB]	[-52]	[474]	CW
			[-52]	[1655.2]	5MHz MBWA signal, 1 Tile* (6th tile from center)
Note*: Interfe	ring signal consisti	ng of one Tile pos	sitioned at the sta	ated offset.	

#### Table 8: Access network narrowband intermodulation performance requirement

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#### 3 4.4.1.3.3 Minimum Standard

- 4 The FER in all the tests shall not exceed 1% with 95% confidence.
- 5

#### 6 **4.4.1.4 Adjacent Channel Selectivity**

#### 7 **4.4.1.4.1 Definition**

ACS is defined by specifying a certain receiver performance (FER = 0.01) at a specified data rate, desired
 signal mean power and interfering signal mean power, where the interferer is a MBWA signal located on
 the adjacent channel. The following two signals specify the MBWA ACS requirement:

- 11 A single Tile signal from an adjacent MBWA system with minimum centre frequency offset of the 12 interfering signal to the channel edge of a victim system equal to 272.8 kHz as shown in Table 9.
- A wideband signal in an adjacent channel position. The wideband signal is a 5 MHz MBWA carrier, independent of the MBWA channel bandwidth with minimum centre frequency offset of the interfering signal to the band edge of a victim system equal to 2.5MHz as shown in Table 10.
- 16

#### 1 4.4.1.4.2 Method of Measurement

2 The test shall be carried out for every band class and channel bandwidth (CBW) supported by the sector3 using the relevant configuration as specified in Table 9 and Table 10.

- 4 a) Configure the sector under test and an access terminal simulator as shown in Figure 3.
- 5 b) Configure the access network to use the reference channel configuration in Table 3 (receiver sensitivity).
- 7 c) Fix the access network transmit power to the maximum supported for the configuration.
- 8 d) Adjust the mean power of the interfering signals to the level specified in Table 9 and Table 10.
- 9 e) For narrowband adjacent channel selectivity test, the power level should be fixed such that the access network receiver power is at the level specified in Table 9. For wideband adjacent channel selectivity test, the power level should be fixed such that the access network receiver power is at the level specified in Table 10.
- 13 f) Measure the FER
- 14

15

#### Table 9: MBWA AN ACS (Narrowband) requirement

MBWA channel bandwidth (MHz)	Reference measurement channel	Wanted signal mean power [dBm]	Interfering signal mean power [dBm]	Interfering Tile centre frequency offset to the channel edge of the wanted carrier [kHz]	Type of interfering signal
5	See Table 3	[REFSENS + [6]dB]	[-49]	[272.8+m*153.6, m=0, 14, 30]	5 MHz MBWA signal, 1 tile*
10	See Table 3	[REFSENS + [6]dB]	[-49]	[272.8+m*153.6, m=0, 14, 30]	5 MHz MBWA signal, 1 tile*
20	See Table 3	[REFSENS + [6]dB]	[-49]	[272.8+m*153.6, m=0, 14, 30]	5 MHz MBWA signal, 1 tile*

Note\*: Interfering signal consisting of one Tile. The requirement applies to both upper and lower frequency edge of the MBWA channel. Add offset to the upper frequency edge and subtract offset from the lower frequency edge

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#### Table 10: MBWA AN ACS (wideband) requirement

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	MBWA channel bandwidth (MHz)	Reference measurement channel	Desired signal mean power [dBm]	Interfering signal mean power [dBm]	Interfering signal centre frequency offset to the channel edge* of the wanted carrier [MHz]	Type of interfering signal	
	5	See Table 3	[REFSENS + [6]dB]	[-52]	[2.5]	5MHz MBWA signal	
	10	See Table 3	[REFSENS + [6]dB]	[-52]	[2.5]	5MHz MBWA signal	
	20	See Table 3	[REFSENS + [6]dB]	[-52]	[2.5]	5MHz MBWA signal	
*The r MBWA from th	The requirement applies to both upper and lower frequency edge of the /IBWA channel. Add offset to the upper frequency edge and subtract offset frequency edge						

#### 2 4.4.1.4.3 Minimum Standard

3 The FER in all the tests shall not exceed 1% with 95% confidence.

#### 4 4.4.1.5 In-Channel Selectivity

#### 5 4.4.1.5.1 Definition

from 1

> 6 The In-channel selectivity (ICS) requirement of the MBWA system is specified as a measure of the 7 capability of the receiver to receive a desired MBWA signal (denoted as the victim) at its assigned Tile 8 locations in the presence of another in-channel desired signal (denoted as the aggressor) received at 9 adjacent Tile allocations which are received at a higher PSD.

10 Table 11 and Table 12 specify the tile allocations for the victim and aggressor signal as well as the received 11 energy level for both. The victim signal uses QPSK modulation and the aggressor resembles a 64QAM 12 received signal. The aggressor PSD is set at 25dB above the noise floor. The requirement is to have a 13 selectivity of 25dB on the aggressor such that the noise it causes at the victim tiles is at the same level as 14 the its own noise floor, i.e. the total noise floor on the victim tiles increases by 3dB or alternatively the 15 aggressor causes 3dB desense.

#### 1 4.4.1.5.2 Method of Measurement

2 The test shall be carried out for every band class and channel bandwidth (CBW) supported by the sector 3 using the relevant configuration as specified in Table 11.

- 4 a) Configure the sector under test and an access terminal simulator (victim) and another access terminal simulator (aggressor) as shown in Figure 3.
- 6 b) Configure the access network to use reference channel in Table 11.
- 7 c) Fix the access network transmit power to the maximum supported for the configuration.
- 8 d) Fix the transmit power on the access terminal (aggressor) simulator and start the data packet 9 transmission on the reverse link. The power level should be fixed such that the access network 10 receiver power is at the level specified in Table 12 for the channel bandwidth being used.
- 11 e) Set up a connection between the access terminal (victim) and the access network
- 12 f) The power level should be fixed such that the access network receiver power is at the level specified in Table 12 for the channel bandwidth being used.
- 14 g) Measure the FER.

Reference channel	A1	A2
Allocated Tiles for victim	16	32
Guard Band (tiles per side)	1	2 for Channel
		Bandwidth=10MHz; 4
		for Channel
		Bandwidth=20MHz
Symbols per Tile	8	8
Modulation	QPSK	QPSK
Packet format	0	0
Number of HARQ transmissions	1	1
Payload size (bits)	877	2860
Cyclic prefix (usec)	13.02	13.02
Tones per Tile	16	16
Data channel CRC (bits)	24	24
Symbol duration (us)	120.44	120.44
Frame duration (us)	963.52	963.52
PHY layer throughput [kbps]	910	1820

#### Table 11: Encoding Parameters for In-Channel Selectivity

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#### Table 12: Victim/aggressor tiles allocations and received energy levels

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MBWA Channel Bandwidth (MHz)	Reference measurement channel	Tiles victim signal	Tiles aggressor signal	Desired signal mean power [dBm]	Interfering signal mean power, (dBm)
5	A1 in <b>Table 11</b>	16	14	[-105 +x + y +3]	-80.6
10	A2 in <b>Table 11</b>	32	28	[-102 +x + y +3]	-77.6
20	A2 in <b>Table 11</b>	32	28	[-102 +x + y +3]	-77.6
Note: x=0.5dB ar	nd y=2.5dB				

#### 2 4.4.1.5.3 Minimum Standard

3 The FER in all the tests shall not exceed 1% with 95% confidence.

#### 4 **4.4.1.6 Receiver Blocking Characteristics**

#### 5 **4.4.1.6.1 Definition**

6 The blocking performance requirement of the MBWA system is specified as a measure of the receiver 7 ability to receive a desired signal at its assigned channel frequency in the presence of an unwanted 8 interferer. Two different cases are specified: 1) In-band blocking using 5MHz MBWA signal as 9 interference signal and 2) Out-of-band blocking with CW signal as interference signal on frequencies other 10 than those "close-in" to the desired channel

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#### 12 **4.4.1.6.2** Method of Measurement

13 The test shall be carried out for each channel bandwidth (CBW) supported by the sector using the 14 configuration as specified in Table 3(receiver sensitivity).

- a) Configure the sector under test and an access terminal simulator as shown in Figure 3.
- b) Configure the access network to use the reference channel configuration in Table 3 (receiver sensitivity).
- 18 c) Fix the access network transmit power to the maximum supported for the configuration.
- 19d)Adjust the mean power of the interfering signals to the level specified in Table 13 and Table 14.20Table 14 shall be used for the frequency range of 1MHz to f3 and f4 to 12.750 GHz. The frequency21ranges f3 and f4 are defined in Table 15.
- e) Set up a connection between the access terminal and the access network and ensure that the configuration specified in step

- f) Fix the transmit power on the access terminal (aggressor) simulator and start the data packet transmission on the reverse link. The power level should be fixed such that the access network receiver power is at the level specified in Table 13 and Table 14 for the channel bandwidth being used.
  - g) Measure the FER.
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#### Table 13: MBWA Access Network in-band blocking requirements

MBWA Assigned Bandwidth (MHz)	Wanted signal mean power [dBm]	Interfering signal mean power [dBm]	Interfering signal minimum offset to the channel edge of the wanted carrier [MHz]	Type of interfering signal
5	[REFSENS + [3]dB]	[-43]	[7.5]	5MHz MBWA signal
10	[REFSENS + [3]dB]	[-43]	[7.5]	5MHz MBWA signal
20	[REFSENS + [3]dB]	[-43]	[7.5]	5MHz MBWA signal

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#### Table 14: MBWA Access Network out of band blocking requirements

MBWA Assigned Bandwidth (MHz)	Wanted signal mean power [dBm]	Interfering signal mean power above access terminal mean power [dB]	Type of interfering signal
5	[REFSENS + [3]dB]	[+75]	CW carrier
10	[REFSENS + [3]dB]	[+75]	CW carrier
20	[REFSENS + [3]dB]	[+75]	CW carrier

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### Table 15: Frequency range definition for use in Table 14

f <sub>3</sub> [MHz]	f <sub>4</sub> [MHz]
20	20
below the	above the
the band	the band

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#### 13 4.4.1.6.3 Minimum Standard

14 The FER in all the tests shall not exceed 1% with 95% confidence. .

#### 1 4.4.1.7 Limitations on Emissions

#### 2 **4.4.1.7.1** Definition

3 Conducted spurious emissions are spurious emissions generated or amplified in the sector equipment and 4 appearing at the receiver RF input ports.

#### 5 4.4.1.7.2 Method of Measurement

- 6 a) Connect a spectrum analyzer (or other suitable test equipment) to a receiver RF input port.
- b) For each band class that the sector supports, configure the sector to operate in that band class and perform steps 3 through 5.
- 9 c) Disable all transmitter RF outputs.
- 10 d) Perform step 5 for all receiver input ports.
- e) Sweep the spectrum analyzer over a frequency range from the lowest intermediate frequency or lowest oscillator frequency used in the receiver or 1 MHz, whichever is lower, to at least 2600 MHz for Band Classes [2] 0, 2, 5, 7, 9, 10, 11 and 12, at least 3 GHz for Band Class 3 or at least 6 GHz for Band Classes 1, 4 and 8. For Band Class 6, sweep the spectrum analyzer over a frequency range from 30 MHz to at least 12.75 GHz and measure the spurious emissions levels.
- 16

#### 17 **4.4.1.7.3 Minimum Standard**

- 18 The mean conducted spurious emission shall not exceed the levels in Table 16.
- 19

#### Table 16: General spurious emission minimum requirement

Band	Maximum level	Measurement Bandwidth		
30MHz - 1 GHz	-57 dBm	100 kHz		
1 GHz - 12.75 GHz	-47 dBm	1 MHz		
Within access network Receive band	-80 dBm	30 kHz		
1884.5 – 1919.6 MHz	-41dBm	300 kHz		
NOTE: The frequency range between $2.5 \times CBW_1$ below the first carrier frequency and $2.5 \times CBW$ above the last carrier frequency transmitted by the AN is excluded from the requirement. However, frequencies that are more than 10 MHz below the lowest frequency of the AN transmitter operating band or more than 10 MHz above the highest frequency of the AN transmitter operating band shall not be excluded from the requirement.				

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22 Current region-specific radio regulation rules shall also apply.

1 For example,

[1] A Band Class 3 sector operating under Japan regional requirements shall limit conducted emissions to
 less than -54 dBm, measured in a 30 kHz resolution bandwidth at the sector RF input ports, for all other
 frequencies.

5 [2] A Band Class 6 sector operating under Japan regional requirements shall limit conducted emissions to 6 less than -41 dBm, measured in a 300 kHz resolution bandwidth at the sector RF input ports, for 7 frequencies within the PHS band from 1884.5 to 1919.6 MHz.

### 8 4.4.2 AN Transmitter MPS

#### 9 4.4.2.1 Frequency Tolerance

#### 10 **4.4.2.1.1 Definition**

11 The frequency tolerance is defined as the maximum allowed difference between the actual transmit carrier 12 frequency and the specified transmit frequency assignment. This test shall apply to every band class that the 13 sector supports.

14 4.4.2.1.2 Method of Measurement

Frequency shall be measured using appropriate test equipment with sufficient accuracy to ensure compliance with the minimum standard. Frequency should be measured as part of the error vector magnitude test of 4.4.2.2.1.

#### 18 **4.4.2.1.3 Minimum Standard**

For all operating temperatures specified by the manufacturer, the average frequency difference between the actual transmit carrier frequency and specified transmit frequency assignment shall be less than of the frequency assignment ( $\pm 0.05$  ppm).

#### 22 **4.4.2.2 Modulation Requirements**

#### 23 **4.4.2.2.1 Error Vector Magnitude**

#### 24 **4.4.2.2.1.1 Definition**

The error vector magnitude is measured by determining the root mean square error between the ideal constellation point and the actual one to be received after equalizing for some of the access network transmitter imperfections. This test is performed with a single carrier and single sector only. This test also evaluates the resulting spectral flatness that is a consequence for error vector magnitude being computed for equalized waveform. The equalized waveform may not capture any ripples or droop in the transmit waveform.

31 The test shall be carried out for every band class and channel bandwidth (CBW) supported by the sector

#### 1 4.4.2.2.1.2 Method of Measurement

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- 2 a) Configure the sector under test as shown in Figure 5.
- b) Connect the error vector magnitude measuring equipment to the sector RF output port.
- 4 c) Configure the access network to use the tile assignment for a given maximum transmission bandwidth in Table 17.
- 6 d) Fix the access network transmit power to the maximum supported when testing for QPSK and 8 7 PSK, 5dB below maximum when testing for 16QAM and 10dB below maximum when testing for 64QAM.
  - e) Measure the error vector magnitude as follows:
    - 1. The transmitted signal is cable-connected to the receiver with one receive antenna. Denote the received samples by r
    - 2. After down conversion, the EVM analyzer determines the beginning of the cyclic prefix of the received signal. It computes the frequency offset for the given PHY frame  $n^{-3}$ ,  $f_{o,n}$ , and corrects for it by applying a phase ramp on each sample of
      - *r* with a slope of  $f_{o,n}$ . Denote the resulted signal by *y*.
    - 3. The EVM analyzer then performs an FFT operation with an FFT window that centers the channel in the cyclic prefix. Consequently, the frequency domain tones are then corrected with a phase ramp of slope CP/2; denote the resulted samples by Z.
      - 4. The EVM analyzer estimates the complex channel response for every sample in the assignment. Channel estimation is done within every tile by first averaging the pilots in the tile then doing linear interpolation in time and frequency to get the channel response on the data tones. Denote the frequency domain channel estimate on a given tone by H.

5. The EVM analyzer performs channel equalization to get samples 
$$\hat{X} = \frac{Z}{H}$$

6. The EVM analyzer computes the EVM metric as

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$$EVM(\hat{X}) = \sqrt{\frac{\sum_{j=1}^{N_f} \sum_{k=1}^{N_p} (X_I(j,k) - \hat{X}_I(j,k))^2 + (X_Q(j,k) - \hat{X}_Q(j,k))^2}{\sum_{j=1}^{N_f} \sum_{k=1}^{N_p} (X_I(j,k))^2 + (X_Q(j,k))^2}} \text{ where }$$

27  $a_I, a_Q$  are the real and imaginary parts of a,  $\hat{X}$  is the frequency domain equalized 28 sample by the EVM analyzer as explained above,  $X^{4}$  is the frequency domain ideal 29 transmitted constellation point by the AN,  $N_p$  is the number of modulation symbols in 30 all assignment tiles in one frame and  $N_f$  is the total number of frames used for averaging 31 EVM, i.e.  $N_f = N_s \times N_{f,SF}$ ,  $N_s$  being the number of super frames and  $N_{f,SF}$  is the

<sup>&</sup>lt;sup>3</sup> The EVM equalizer may also use an average estimate of the frequency offset or an estimate that is constant over a super frame

<sup>&</sup>lt;sup>4</sup> It may not be possible for the EVM analyzer equipment to have the ideal transmitted constellation point.

In this case, we can map  $\hat{X}$  to the nearest constellation point from an Euclidean distance sense and denote the hard-decision constellation point by X. In this case, the EVM calculation is optimistic since there is a probability that hard decision is wrong so that the real constellation point is farther from the hard decision one, i.e. EVM calculated is smaller than actual.

1		number of frames in a super frame. This test shall run for $N_s = 1$ super frames. The
2		number of frames used in each super frame, $N_{f,SF}$ , shall be at least 3.
3	f)	Measure the spectral flatness factor defined as follows:
4		1. From channel estimation we have the estimated frequency response $H_i$ for tone
5		$i, i = 1, 2, \dots, M$ , where M is the total Number of tones in an OFDM symbol
6		2. Obtain the magnitude square $B_i =  H_i ^2$ for each tone and average it over multiple
7		OFDM symbols to obtain $\overline{B}_i$ , for $i = 1, 2, \dots, M$
8		3. Compute the spectral flatness metric $F = 10 \log_{10} (B_{\text{max}} / B_{\text{min}})$ , where
9		$B_{\max} = \max_{i=1,2,\cdots,M} \overline{B}_i, \ B_{\min} = \min_{i=1,2,\cdots,M} \overline{B}_i.$
10		
11		

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#### Table 17: AN assignment used for EVM computation

Channel BW (MHz)	5	10	20
Nominal maximum Number of Tiles (N <sub>T</sub> ) for maximum transmission BW	30	60	120
Nominal maximum transmission BW (MHz)	4.61	9.22	18.44

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### 14 **4.4.2.2.1.3 Minimum Standard**

15 The measured error vector magnitude at the transmit power specified shall be less than the values in Table16 18.

#### 17 Table 18: Error Vector Magnitude Minimum Limits as a Function of Modulation Type

АN Туре	Modulation Type	EVM (%)	C/N (dBc)	Transmit Power used- max transmit power (dB)
	QPSK	17.5	15.13	0
Wide Area	8-PSK	12.5	18.06	0
	16QAM	9	20.91	-5
	64QAM	5	26.02	-10

1 2 3 The measured spectral flatness metric shall be less than 3 dB.

#### 4 **4.4.2.3** Limitations on Emissions

#### 5 **4.4.2.3.1 Conducted Spurious Emissions**

#### 6 **4.4.2.3.1.1 Definition**

7 The conducted spurious emissions are emissions at frequencies that are outside the assigned MBWA8 Channel, measured at the sector RF output port.

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#### 10 4.4.2.3.1.2 Method of Measurement

- 11 The test shall be carried out for every band class and channel bandwidth (CBW) supported by the sector.
- a) Configure the sector under test and an access terminal as shown in Figure 2. The AWGN generators are not applicable in this test.
- b) Connect a spectrum analyzer (or other suitable test equipment) to the sector RF output port, using an attenuator or directional coupler if necessary.
- 16 c) Fix the access network transmit power to the maximum supported for the configuration.
- 17 d) Measure the spurious emissions using appropriate measurement bandwidth.
- e) For ACLR measurement, measure the in-band power and also the power in the first and second adjacent channels for the specified channel bandwidths. Compute the difference between the in-band power and the power in the adjacent channels to measure the ACLR.
- 21

#### 22 **4.4.2.3.1.3** Minimum Standard

- 23 In the sequel the following definitions are to be observed:
- $\begin{array}{ccc} 24 & & \Delta f \text{ is the separation between the carrier edge frequency and the nominal -3dB point of the measuring filter closest to the carrier frequency } \end{array}$
- $\begin{array}{ccc} 26 & & \Delta f_{max} \text{ is the offset to the frequency 10 MHz outside the operating band edge minus half of the bandwidth of the measuring filter.} \end{array}$

When transmitting in Band Classes less than 1GHz, the spurious emissions shall be less than the limits specified in Table 19. When transmitting in band class 0, the spurious emissions shall be less than the limits specified in Table 20. When transmitting in band classes greater than 1GHz, the spurious emissions shall be less than the limits specified in Table 21. When transmitting in Band Class 1 or 15, the additional spurious emissions shall be less than the limits specified in Table 22. The out-of-band spurious emissions shall be less than the limits specified in Table 23 and Table 24. The spurious emissions shall be less than the limits for the protection of the access network receiver as specified in Table 25.

#### 1 The measured ACLR shall be equal to or more than the limits specified in Table 26.

Fre	Frequency offset,		Emission Limit		Comme	nts	
Δ	. <b>f</b> ,∣	MHz		Unit RBW, kHz		Restrictions	Applicable range
0		5	-7 -7/5 * ∆f	dBm	100	all CBW ≥ 5 MHz	f <sub>c</sub> < 1 GHz
5		10	-14	dBm	100	all CBW ≥ 5 MHz	f <sub>c</sub> < 1 GHz
10	Δ	$\Delta{ m f}_{ m max}$	-16	dBm	100	all CBW ≥ 5 MHz	f <sub>c</sub> < 1 GHz

 Table 19: Band Classes less than 1GHz transmit

 Spurious Emission Limits

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## Table 20: Band Classe 0 Additional Transmitter Spurious Emission Limits

Frequency offset,		Emission Limit		n Limit	Comments		
∆f, MHz		Unit <mark>RBW,</mark> kHz		RBW, kHz	Restrictions	Applicable range	
0		1	-10	dBm	100	CBW= 5 MHz	f <sub>c</sub> < 1 GHz
0		1	-13	dBm	100	CBW=10 MHz	f <sub>c</sub> < 1 GHz
0		1	-16	dBm	100	CBW=20 MHz	f <sub>c</sub> < 1 GHz
1		5	-13	dBm	100	all CBW ≥ 5 MHz	f <sub>c</sub> < 1 GHz
5		10	-14	dBm	100	all CBW ≥ 5 MHz	f <sub>c</sub> < 1 GHz
10	Δ	$\Delta{ m f}_{ m max}$	-16	dBm	100	all CBW ≥ 5 MHz	f <sub>c</sub> < 1 GHz

## 

#### Table 21: Band Classes greater than 1GHz Transmitter Spurious Emission Limits

Frequency offset,		iency set,	Emission L	.imit	Comments		
∆f, MHz		MHz		Unit	RBW, kHz	Restrictions	Applicable range
0		5	-7 -7/5 * f	dBm	100	all CBW ≥ 5 MHz	f <sub>c</sub> > 1 GHz
5		10	-14	dBm	100	all CBW ≥ 5 MHz	f <sub>c</sub> > 1 GHz
10	Δ	$\Delta{\rm f}_{\rm max}$	-15	dBm	1000	all CBW ≥ 5 MHz	f <sub>c</sub> > 1 GHz

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#### Table 22: Additional Band Class 1 and 15 Transmitter Spurious Emission Limits

Frequency offset,		Emission Limit			Comments		
∆f, MHz		Unit <sup>RBW,</sup> kHz		RBW, kHz	Restrictions	Applicable range	
0		1	-10	dBm	100	CBW=5 MHz	f <sub>c</sub> > 1 GHz
0		1	-13	dBm	100	CBW=10 MHz	f <sub>c</sub> > 1 GHz
0		1	-16	dBm	100	CBW=20 MHz	f <sub>c</sub> > 1 GHz
1		10	-13	dBm	1000	all CBW ≥ 5 MHz	f <sub>c</sub> > 1 GHz
10	Δ	$\Delta{ m f}_{ m max}$	-15	dBm	1000	all CBW ≥ 5 MHz	f <sub>c</sub> > 1 GHz

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#### Table 23: Out of Band Spurious Emission Limits for Category A

Band	Maximum level	Measurement Bandwidth	Note			
9kHz - 150kHz		1 kHz	Note 1			
150kHz - 30MHz	-13 dBm	10 kHz	Note 1			
30MHz - 1GHz		100 kHz	Note 1			
1GHz - 12.75 GHz		1 MHz	Note 2			
NOTE 1: Bandwidth as in I	NOTE 1: Bandwidth as in ITU-R SM.329 [2], s4.1					
NOTE 2: Bandwidth as in ITU-R SM.329 [2], s4.1. Upper frequency as in ITU-R SM.329 [2], s2.5						
table 1						

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### Table 24: Out of Band Spurious Emission Limits for Category B

#### IEEE 802.20/08-19r1

IEEE P802.20.3/D1.0, November 2008

Band	Maximum Level	Measurement Bandwidth	Note
9 kHz ↔ 150 kHz	-36 dBm	1 kHz	Note 1
150 kHz ↔ 30 MHz	-36 dBm	10 kHz	Note 1
30 MHz $\leftrightarrow$ 1 GHz	-36 dBm	100 kHz	Note 1
1 GHz ↔ 12.75 GHz	-30 dBm	1 MHz	Note 2
NOTE 1: Bandwidth as in ITU-R SM NOTE 2: Bandwidth as in ITU-R SM	M.329 [2] , s4.1 M.329 [2] , s4.1.	Upper frequency	as in ITU-R SM.329 [4] , s2.5
table 1		,	

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#### Table 25: Wide Area Access Network Spurious Emission Limits for Protection of Access Network Receiver

Operating	Access Network class	Maximum	Measurement
Bands		Level	Bandwidth
All	Wide Area	-96 dBm	100 kHz

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#### Table 26: ACLR Limits

Current region-specific radio regulation rules shall also apply.

ACLR limit for 1<sup>st</sup> and 2<sup>nd</sup> Adjacent channel relative to assigned channel frequency [dB]

Channel BW (MHz)		MBWA <sup>1</sup>	MBWA <sup>1</sup>	MBWA <sup>1</sup>				
		0.0 11112	10 10112	20 11112				
	ACLR 1	[45]	-	-				
5	ACLR 2	[45]	-	-				
	ACLR 1	-	[45]	-				
10	ACLR 2	-	[45]	-				
	ACLR 1	-	-	[45]				
20	ACLR 2	-	_	[45]				
NOTES:								
<sup>1</sup> Measured on the maximum transmission BW on the 1 <sup>st</sup> or 2 <sup>nd</sup> adjacent channel₅								

#### 1 4.4.2.3.2 Inter-Sector Transmitter Intermodulation

#### 2 **4.4.2.3.2.1 Definition**

The inter-sector transmitter intermodulation occurs when an external signal source is introduced to the antenna connector of the sector. This test verifies that conducted spurious emissions are still met with the presence of the interfering source.

6

#### 7 4.4.2.3.2.2 Method of Measurement

8 The test shall be carried out for every band class and the maximum bandwidth (denoted by B in the following steps) supported by the sector.

- 10a)Connect the two sectors under test and two access terminal simulators as shown in Figure 6.11Configure the setup so that Sector 2 total power is 30 dB less than the power of Sector 1. The12frequency offset of the centre frequency of the interference signal shall be B/2 +2.5MHz and -B/2 -132.5MHz from the desired signal carrier centre frequency, but excluded are interference frequencies14that are partially or completely outside of operating frequency band of the base station.
- b) Connect a spectrum analyzer (or other suitable test equipment) to the sector RF output port, using an attenuator or directional coupler if necessary.
- 17 c) Fix the Sector 1 transmit power to the maximum supported for the configuration.
- 18 d) Set up a connection between the access terminal simulator 1 and sector 1 and access terminal simulator 2 and sector 2
- 20 e) Measure the spurious emissions for Sector 1.

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#### 22 4.4.2.3.2.3 Minimum Standard

23 The sector shall meet the conducted spurious emission requirements in 4.4.2.1.

#### 1 4.5 ACCESS TERMINAL (AT) MPS

#### 2 4.5.1 AT Receiver MPS

3 The receiver performance includes the following tests: sensitivity, dynamic range, high throughput, 4 intermodulation spurious response attenuation, blocking and adjacent channel selectivity tests.

#### 5 4.5.1.1 Receiver Sensitivity ,Dynamic Range and High Throughput

#### 6 **4.5.1.1.1 Definition**

7 The receiver sensitivity, <REFSENS>, of the access terminal receiver is the minimum received power, 8 measured at the access terminal antenna connector, at which the packet error rate (PER) for a specified 9 packet format does not exceed a specified value. The receiver dynamic range is the input power range at the 10 access terminal antenna connector over which the PER for a specified packet format does not exceed a 11 specific value. The high throughput level is the minimum mean power, measured at the access terminal 12 antenna connector, at which the PER for a specified packet format corresponding to some specified high 13 throughput does not exceed a specific value.

#### 14 **4.5.1.1.2 Method of Measurement**

15 The test shall be carried out for every band class and channel bandwidth supported by the terminal using 16 the relevant column in Table 27.

- a) Connect the sector to the access terminal antenna connector as shown in Figure 7. The AWGN generator and the CW generator are not applicable in these tests.
- b) Ensure that MAC and Physical layer configuration meet the requirements specified in the column of Table 27 corresponding to CBW used for the specified test.
- 21 c) Set up a connection between the access terminal and the access network and ensure that the configuration specified in step 2 is in use.
- d) Instruct the access network to transmit power control commands such that the mean transmit power from the access terminal is 20 dBm.
- e) For sensitivity test, adjust the received power level to the level specified in Table 28 for the corresponding channel bandwidth used for the test. For high throughput test and Dynamic Range test, adjust the received power level to the level specified in Table 29 for the corresponding channel bandwidth used for the test.
- 29 f) Measure the FER for the test

#### 30 Table 27. Test Parameters for Receiver Sensitivity, High Throughput and Dynamic Range

IEEE 802.20/08-19r1

IEEE P802.20.3/D1.0, November 2008

Transmission configuration for	S	Sensitivity Te	st	High Throughput and Dynamic Range Test		
Reference channel	A1	A2	A3	A4	A5	A6
Allocated Tiles	30	60	120	30	60	120
Guard Band (tiles per side)	1	2	4	1	2	4
Symbols per Tile	8	8	8	8	8	8
Modulation	QPSK	QPSK	QPSK	64QAM	64QAM	64QAM
Packet format	1	1	1	6	6	6
Number of HARQ transmissions	1	1	1	1	1	1
Payload size (bits)	2544	5120	10264	11496	23016	40,640
Tones per Tile	16	16	16	16	16	16
Data channel CRC (bits)	24	24	24	24	24	24
Cyclic Prefix (usec)	13.02	13.02	13.02	13.02	13.02	13.02
Symbol duration (µs)	120.44	120.44	120.44	120.44	120.44	120.44
Frame duration (µs)	963.52	963.52	963.52	963.52	963.52	963.52
PHY layer throughput [kbps]	2669	5338	10676	11956	23912	41514
Channel bandwidth (MHz)	5	10	20	5	10	20
Transmission bandwidth (MHz)	4.61	9.22	18.44	4.61	9.22	18.44

1 2

Table 28. Received power levels corresponding to Sensitivity test

Transmission configuration	Received signal level or reference sensitivity level <refsens>, dBm</refsens>				
A1 in Table 27	-96+x+y				
A2 in Table 27	-93+x+y				
A3 in Table 27	-90+x+y				
<ul> <li>Note:</li> <li>1. x is the SNR required to decode the packet format and y is the implementation loss. x = -1dB and y = 2dB.</li> <li>2. The requirement shall be met at maximum transmit power of 21 dBm</li> </ul>					

3

4 Table 29.Received levels corresponding to High throughput and Dynamic Range test

Transmission configuration	Received signal level, dBm (High Throughput test)	Received signal level, dBm (Dynamic Range test)
A4 in Table 27	-96+x+y	-25
A5 in Table 27	-93+x+y	-25
A6 in Table 27	-90+x+y	-25
Note:	•	

 x is the SNR required to decode the packet format and y is the implementation loss. x = 12 dB and y = 2 dB.

2. The requirement shall be met at maximum transmit power of 21dBm.

1

#### 2 4.5.1.1.3 Minimum Standard

3 The FER in all the tests shall not exceed 1% with 95% confidence.

#### 4 **4.5.1.2** Intermodulation Spurious Response Attenuation

5 This test shall be performed for each band class supported by the access terminal. This test specifies the 6 intermodulation spurious response attenuation requirements for channel bandwidth greater than or equal to 7 5 MHz.

#### 8 **4.5.1.2.1 Definition**

9 The intermodulation spurious response attenuation is a measure of a receiver's ability to receive a MBWA signal on its assigned channel frequency in the presence of two interfering CW tones (narrowband test) and an interfering 5 MHz MBWA signal along with an interfering CW tone (broadband test). These tones are separated from the assigned channel frequency and are separated from each other such that the third order mixing of the two interfering CW tones can occur in the non-linear elements of the receiver, producing an interfering signal in the band of the desired signal. The receiver performance is measured by the frame error rate (FER).

#### 16 4.5.1.2.2 Method of Measurement

- 17 The test shall be carried out for every band class and channel bandwidth supported by the terminal.
- a) Connect the sector to the access terminal antenna connector as shown in Figure 7..
- b) Ensure that MAC and Physical layer configuration meet the requirements specified in the column of Table 27 corresponding to channel bandwidth used for the specified test.
- 21 c) Set up a connection between the access terminal and the access network and ensure that the configuration specified in step 2 is in use.
- d) Instruct the access network to transmit power control commands such that the mean transmit power from the access terminal is 20 dBm.

- e) Adjust the received power level of the desired signal and the interferers to the level specified in Table 30 (for broadband blocker) or Table 31 (for narrowband blocker) for the channel bandwidth used for the test.
- f) Measure the FER for the test.

## Table 30.Test Parameters for Intermodulation Spurious Response Attenuation for Broadband Interference

		1 <sup>st</sup> BI (C	ocker W)	2 <sup>nd</sup> Blocker (Note 1)	
Transmission configuration	Signal Level	Level (dBm)	Frequency Offset (MHz)	Level (dBm)	Frequency Offset (MHz)
A1 in Table 27	<refsens> + 3 dB</refsens>	46	<b>⊥10</b>	-46	120
	dBm/4.61 MHz	-40	ΞIU		±20
A2 in Table 27	<refsens> + 3 dB dBm/9.22 MHz</refsens>	-46	±12.5	-46	±25
A3 in Table 27	<refsens> + 3 dB dBm/18.44 MHz</refsens>	-46	±17.5	-46	±35
Note 1. The second b	blocker is a 5 MF	Iz MBWA sia	nal occupving	the maximu	n

transmission BW (i.e. 5 MHz minus guard band).

2. Frequency offset is measured from the carrier frequency of the MBWA signal under test to the carrier frequency of the blocker.

3. The requirements shall be met while the access terminal is transmitting a MBWA signal occupying the maximum transmission bandwidth (i.e. the channel bandwidth minus guard band) of the desired signal at a mean power of 20 dBm.

7 8

9

## Table 31.Test Parameters for Intermodulation Spurious Response Attenuation for Narrowband Interference

5
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		1 <sup>st</sup> Bl	ocker	2 <sup>nd</sup> Blocker		
		(C	W)	(0	(CW)	
Transmission configuration	Signal Level	Level (dBm)	Frequency Offset (MHz)	Level (dBm)	Frequency Offset (MHz)	
A1 in Table 27	<refsens> + 10 dB dBm/4.61 MHz</refsens>	-44	±3.5	-44	±5.9	
A2 in Table 27	<refsens> + 10 dB dBm/9.22 MHz</refsens>	-44	±6	-44	±8.4	
A3 in Table 27	<refsens> + 10 dB dBm/18.44 MHz</refsens>	-44	±11	-44	±13.4	
Note: 1. The requirements shall be met while the access terminal is transmitting a MBWA signal occupying the maximum transmission bandwidth (i.e. the channel bandwidth						

The requirements shall be met while the access terminal is transmitting a MBWA signal occupying the maximum transmission bandwidth (i.e. the channel bandwidth minus guard band) of the desired signal at a mean power of 20 dBm.
 Frequency offset is measured from the carrier frequency of the MBWA signal under test to the carrier frequency of the blocker.

# 1

# 2 4.5.1.2.3 Minimum Standard

3 The FER in all the tests shall not exceed 1% with 95% confidence.

# 4 4.5.1.3 Adjacent Channel Selectivity

5 This test shall be performed for each band class supported by the access terminal for channel bandwidth 6 greater than or equal to 5 MHz.

# 7 **4.5.1.3.1 Definition**

8 The adjacent channel selectivity is a measure of the ability to receive a MBWA signal on the assigned

9 frequency in the presence of a 5 MHz MBWA signal at a given frequency offset from the centre frequency 10 of the assigned channel.

#### 1 4.5.1.3.2 Method of Measurement

- 2 The test shall be carried out for every band class and channel bandwidth supported by the terminal.
- 3 a) Connect the sector to the access terminal antenna connector as shown in Figure 8
- 4 b) Ensure that MAC and Physical layer configuration meet the requirements specified in the column of Table 27 corresponding to channel bandwidth used for the specified test.
- 6 c) Set up a connection between the access terminal and the access network and ensure that the configuration specified in step 1 is in use.
- 8 d) Instruct the access network to transmit power control commands such that the mean transmit power 9 from the access terminal is 20 dBm.
- e) Adjust the received signal power and interference power to the level specified in Table 32 for Test
   11 1 for the channel bandwidth used for the test.
- 12 f) Measure the FER for the test
- g) Adjust the received signal power and interference power to the level specified in Table 32 for Test
   2 for the channel bandwidth used for the test.
- 15 h) Measure the FER for the test
- 16

 Table 32. Test Parameters for Adjacent Channel Selectivity

Transmission	Frequency	Signal	Test	: 1	Т	est 2
configuration	Offset, MHz	level Unit	Signal Level	Interferer Level (dBm/4.61 MHz)	Signal Level	Interferer Level (dBm/4.61 MHz)
A1 in Table 27	± 5	dBm/4.61 MHz	<refsens> + 14 dB</refsens>	-52+x+y	-55	-25
A2 in Table 27	± 10	dBm/9.22 MHz	<refsens> + 14 dB</refsens>	-52+x+y	-52	-25
A3 in Table 27	± 20	dBm/18.44 MHz	<refsens> + 14 dB</refsens>	-52+x+y	-49	-25

Note:

1. x is the SNR required to decode the respective transmission configuration and y is the implementation loss. x = -1dB and y = 2 dB.

2. Frequency offset is measured from the carrier frequency of the MBWA signal under test to the carrier frequency of the blocker.

3. The requirements shall be met while the access terminal is transmitting a MBWA signal occupying the maximum transmission bandwidth (i.e. the channel bandwidth minus guard band) of the desired signal at a mean power of 20 dBm

17

## 18 **4.5.1.3.3 Minimum Standard**

19 The FER in Tests 1 and 2 shall not exceed 1% with 95% confidence. For any signal level between the

20 levels defined in Test 1 and 2, the FER shall not exceed 1% FER with 95% confidence.

#### 1 4.5.1.4 Receiver Blocking Characteristics

2 This test shall be performed for each band class supported by the access terminal for channel bandwidth 3 greater than or equal to 5 MHz.

#### 4 **4.5.1.4.1 Definition**

The blocking characteristic is a measure of the receiver's ability to receive a wanted signal at its assigned channel frequency in the presence of an unwanted interferer on frequencies other than those of the spurious response (or the adjacent channel covered by Adjacent Channel Selectivity test), without this unwanted input signal causing a degradation of the performance of the receiver beyond a specified limit. The blocking performance shall apply at all frequencies except those at which spurious response occurs.

10 The specifications are divided into in-band, out of band, and narrow band blocking.

11 <u>In-band blocking</u>: The in-band blocking specifications pertain only to the cases where the blockers are 12 located at a carrier frequency offset up to  $\pm$  15MHz from the signal carrier frequency; the blockers are

13 MBWA signals with a channel bandwidth of 5 MHz.

14

15 Out of band blocking: The out of band blocking specifications pertain to those cases where the blockers are 16 located at a carrier frequency offset greater than 15 MHz from the signal carrier frequency; the blockers

17 are CW. The out of band blocking is divided into 3 basic frequency ranges:

- 18 Frequency Range 1: 15 MHz < Blocker carrier frequency offset from the signal  $\leq$  60 MHz
- 19 Frequency Range 2: 60 MHz < Blocker carrier frequency offset from the signal  $\leq$  85 MHz
- 20 Frequency Range 3: Blocker carrier frequency offset from the signal > 85 MHz
- 21 In addition a 4<sup>th</sup> range is defined that is the transmit channel of some band classes.
- <u>Narrowband blocking</u>: The narrow band blocking specifications pertain to a case of a CW blocker close to
   the signal channel edge.

#### 24 **4.5.1.4.2** Method of Measurement

- 25 The test shall be carried out for every band class and channel bandwidth supported by the terminal.
- a) Connect the sector to the access terminal antenna connector as shown in Figure 8.
- b) Ensure that MAC and Physical layer configuration meet the requirements specified in the column of Table 27 corresponding to channel bandwidth used for the specified test.
- 29 c) Set up a connection between the access terminal and the access network and ensure that the configuration specified in step 2 is in use.
- d) Instruct the access network to transmit power control commands such that the mean transmit power
   from the access terminal is 20 dBm.
- For In-band blocking test, adjust the desired signal and blocker signal level to the level specified
  Table 33 for case 1 for the channel bandwidth used for the test. For out of band blocking test, adjust
  the desired signal and blocker signal level to the level specified in Table 34 for case 1 for the
  channel bandwidth used for the test. For narrowband blocking test, adjust the desired signal and
  blocker signal level to the level specified in Table 36 for the channel bandwidth used for the test.

- 1 f) Measure the FER for the test
- 2 g) For In-band blocking test, adjust the desired signal and blocker signal level specified in Table 33
   3 for case 2 for the channel bandwidth used for the test.
- 4 h) Repeat steps 5-6 for in-band blocking
- 5 i) For out of band blocking test, adjust the desired signal and blocker signal level to the level specified in Table 34 for cases 2 through 4 for the channel bandwidth used for the test.
- 7 j) Repeat steps 5-6 for out of band blocking
- 8

9

#### Table 33. Test Parameters for Receiver Blocking Characteristics (In-Band)

	Transmission configuration	Signal level Unit	Signal Level	Case 1 (Note 1)		Case 2 (Note 1)	
Channel Bandwidth				Blocker Level dBm/4.61 MHz	Blocker Offset, MHz	Blocker Level dBm/4.61 MHz	Blocker Offset, MHz
5 MHz	A1 in Table 27	dBm/4.61 MHz	<refsens> + 3 dB</refsens>	-56	±10	-44	≤-15 & ≥15
10 MHz	A2 in Table 27	dBm/9.22 MHz	<refsens> + 3 dB</refsens>	-56	±12.5	-44	≤-17.5 & ≥17.5
20 MHz	A3 in Table 27	dBm/28.44 MHz	<refsens> + 3 dB</refsens>	-56	±17.5	-44	≤-22.5 & ≥22.5

Note:

1. The Blocker is a 5 MHz MBWA modulated signal occupying the maximum transmission bandwidth (5 MHz minus guard band).

2. Frequency offset is measured from the carrier frequency of the MBWA signal under test to the carrier frequency of the blocker.

3. The requirements shall be met while the access terminal is transmitting a MBWA signal occupying the maximum transmission bandwidth (i.e. the CBW minus guard band) of the desired signal at a mean power of 20 dBm.

4. Note that the specifications shall apply even if the blockers fall outside the band class of operation.

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#### Table 34. Test Parameters for Receiver Blocking Characteristics (Out-Of-Band)

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Parameter	Unit	Case 1 (frequency range 1)	Case 2 (frequency range 2)	Case 3 (frequency range 3)	Case 4 (frequency range 4)
Signal Level	dBm/4.61 MHz (A1 in Table 27 ) dBm/9.22 MHz (A2 in Table 27) dBm/18.44 MHz (A3 in Table 27)	<refsens &gt;+3 dB</refsens 	<refsens &gt;+3 dB</refsens 	<refsens &gt;+3 dB</refsens 	<refsens &gt; +3 dB</refsens 
Blocker Level (CW)	dBm	-44	-30	-15	-15
Blocker Offset for all Band classes	MHz	$f_{FL} - 15 \text{ to } f_{FL} - 6$ <b>&amp;</b> $f_{FL} + 15 \text{ to } f_{FL} + 6$	$f_{FL} - 60 \text{ to } f_{FL} - 85$ <b>&amp;</b> $f_{FL} + 60 \text{ to } f_{FL} + 85$	$f_{FL} - 85$ to 1 <i>MF</i> <b>&amp;</b> $f_{FL} + 85$ to 12750	-
Blocker Offset for BC 0 and BC 1	MHz	-	-	-	$f_{\rm \tiny RL,low}$ to $f_{\rm \tiny RL,high}$
Note 1: The spec needs to be met while the AT is transmitting a MBWA signal occupying the maximum transmission BW (i.e. the CBW minus guard band) of the desired signal at a mean power of 20 dBm 2. f <sub>EL</sub> is the carrier frequency of the desired receive signal.					

3.  $f_{RL,high}$  and  $f_{RL,high}$  are the lowest and the highest frequency edges for reverse link in band class 0 and 1. For example, for band class 0,  $f_{RL,high}$ = 824 MHz and  $f_{RL,high}$ = 849 MHz.

1

2

#### Table 35.Spurious response specifications

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Channel Bandwidth	Signal level Unit	Signal Level	Blocker Level (Note 1) In dBm		
5 MHz	dBm/4.61 MHz	<refsens> + 3 dB</refsens>	-44		
10 MHz	dBm/9.22 MHz	<refsens> + 3 dB</refsens>	-44		
20 MHz	dBm/18.44 MHz	<refsens> + 3 dB</refsens>	-44		
Note 1: The Blocker is CW and is located at spurious response frequencies. The spec needs to be met while the AT is transmitting a MBWA signal occupying the maximum transmission BW (i.e. the CBW minus guard band) of the desired signal at a mean power of 20 dBm					

1

2

#### Table 36.Narrow band blocking specifications

CBW (MHz)	Transmission Configuration	Signal Level	Blocker Offset from carrier (MHz)	Blocker level (dBm)
5MHz	A1 in Table 27	<refsens> + 10 dB dBm/4.61 MHz</refsens>	2.7	-57
10MHz	A2 in Table 27	<refsens> + 10 dB dBm/9.22 MHz</refsens>	5.2	-57
20MHz	A3 in Table 27	<refsens> + 10 dB dBm/18.44 MHz</refsens>	10.2	-57
Note: The spec need	s to be met while the	AT is transmittine	g a MBWA signal	occupying the

Note: The spec needs to be met while the AT is transmitting a MBWA signal occupying the maximum transmission BW (i.e. the CBW minus guard band) of the desired signal at a mean power of 20 dBm

3

#### 4 4.5.1.4.3 Minimum Standards

5 In-band blocking: The FER in cases 1 and 2 shall not exceed 1% with 95% confidence.

6 Out-of-band blocking: The FER in cases 1 through 4 shall not exceed 1% with 95% confidence. For 7 frequency ranges 1, 2 and 3, up to 24 exceptions are allowed for spurious response frequencies in each 8 assigned frequency channel when measured using a 1 MHz step size. For these exceptions the 9 requirements in Table 35 apply. For frequency range 4, up to 8 exceptions are allowed for spurious 10 response frequencies in each assigned frequency channel when measured using a 1 MHz step size. For 11 these exceptions the requirements in Table 35 apply. 1 Narrowband blocking: The FER shall not exceed 1% with 95% confidence.

#### 2 **4.5.1.5 Conducted Spurious Emissions**

#### 3 **4.5.1.5.1 Definition**

4 The conducted spurious emissions are spurious emissions generated or amplified in a receiver that appear at the access terminal antenna connector.

#### 6 **4.5.1.5.2 Method of Measurement**

- a) Connect a spectrum analyzer (or other suitable test equipment) to the access terminal antenna connector.
- 9 b) For each band class that the access terminal supports, configure the access terminal to operate in that band and perform steps 3 and 4.
- 11 c) Enable the access terminal receiver, so that the access terminal continuously cycles between the system determination and acquisition
- d) Sweep the spectrum analyzer over a frequency range from the lowest intermediate frequency or lowest oscillator frequency used in the receiver or 1 MHz, whichever is lowest, to at least 2600 MHz for Band Classes 0, 2, 5, 7, 9, 10, 11, and 12, 3 GHz for Band Class 3 or at least 6 GHz for Band Classes 1, 4 and 8, and measure the spurious emission levels. For Band Class 6, sweep the spectrum analyzer over a frequency range from 30 MHz to at least 12.75 GHz and measure the spurious emissions levels.
- 19

#### 20 4.5.1.5.3 Minimum Standard

- 21 The mean conducted spurious emissions with ten or more averages for an access terminal shall be:
- a) Less than -76 dBm for Band Classes 0, 1, 2, 4, 5, 6, 7, 8, 9, 10, 11, and 12, or -81 dBm for Band Class 3, measured in a 1 MHz resolution bandwidth at the access terminal antenna connector, for frequencies within the access terminal receive band associated with each band class that the access terminal supports.
- b) Less than -61 dBm, measured in a 1 MHz resolution bandwidth at the access terminal antenna
   connector, for frequencies within the access terminal transmit band associated with each band class
   that the access terminal supports.
- 29 c) Less than -57 dBm for Band Class 6, measured in a 100 kHz resolution bandwidth at the access
   30 terminal antenna connector, for frequencies from 30 MHz to 1 GHz.
- d) Band Class 3, measured in a 30 kHz resolution bandwidth at the access terminal antenna connector, for all other frequencies. Less than -47 dBm for Band Class 6, measured in a 1 MHz resolution bandwidth at the access terminal antenna connector, for all frequencies in the range from 1 GHz to 12.75 GHz.
- 35
- 36 *Current region-specific radio regulation rules shall also apply.*

- 1 For example, a Band Class 6 access terminal operating under Japan regional requirements shall limit 2 conducted emissions to:
- 3 less than -41 dBm, measured in a 300 kHz resolution bandwidth at the access terminal antenna 4 connector, for frequencies within the PHS band from 1884.5 to 1919.6 MHz

#### 5 4.5.2 AT Transmitter MPS

#### 6 4.5.2.1 Frequency Accuracy Requirements

#### 7 4.5.2.1.1 Definition

8 The frequency accuracy is the ability of an access terminal transmitter to transmit at an assigned carrier 9 frequency.

#### 10 4.5.2.1.2 Method of Measurement

11 The method of measurement specified in 4.5.2.2.2 may be used to perform this test.

#### 12 4.5.2.1.3 Minimum Standard

13 The modulated carrier frequency of the access terminal shall be accurate to within the accuracy range of

14 0.1ppm observed over a period of at least one PHY frame in the time domain and at least 1 sub-zone= 128 15 sub-carriers in the frequency domain.

#### 16 4.5.2.2 Error Vector Magnitude (EVM)

#### 17 4.5.2.2.1 Definition

18 The error vector magnitude is measured by determining the root mean square error between the ideal 19 constellation point and the actual received one after equalizing for some of the access terminal transmitter 20 imperfections. This test also evaluates the resulting spectral flatness that is affected as a consequence of 21 equalizing the transmit waveform that can introduce ripples or droops in the transmit waveform. This test 22 specifies the error vector magnitude and frequency accuracy requirements for channel bandwidth greater 23

- than or equal to 5 MHz.
- 24 The EVM for any assignment size in tiles is computed as

25 
$$EVM(\hat{X}) = \sqrt{\frac{\sum_{j=1}^{N_f} \sum_{k=1}^{N_p} (X_I(j,k) - \hat{X}_I(j,k))^2 + (X_Q(j,k) - \hat{X}_Q(j,k))^2}{\sum_{j=1}^{N_f} \sum_{k=1}^{N_p} (X_I(j,k))^2 + (X_Q(j,k))^2}}$$
26 Equation 1

1 where  $a_1, a_0$  are the real and imaginary parts of a,  $\hat{X}$  is the frequency domain equalized sample by the 2 EVM analyzer as explained below,  $X^5$  is the frequency domain ideal transmitted constellation point by 3 the AN,  $N_p$  is the number of modulation symbols in all assignment tiles in one frame and  $N_f$  is the total 4 number of frames used for averaging EVM, i.e.  $N_f = N_s \times N_{f,SF}$ ,  $N_s$  being the number of super frames 5 and  $N_{f,SF}$  is the number of frames in a super frame.

#### 6 4.5.2.2.2 Method of Measurement

- 7 The test shall be carried out for every band class and channel bandwidth supported by the access terminal.
- 8 a) Connect the sector to the access terminal antenna connector as shown in Figure 7.. The AWGN generator and the CW generator are not applicable in this test.
- b) Ensure that the AT is assigned a number of tiles as specified in Table 37.
- 11 c) Set up a connection between the access terminal and the access network and ensure that the configuration specified in step 2 is in use.
- d) Instruct the access network to transmit closed loop power control commands to the access terminal
   such that the mean output power of the access terminal measured at the antenna connector is 4 dB
   lower than its maximum allowable output power.
- e) Measure error vector magnitude, frequency error and spectral flatness using an EVM-meter
   described below:
  - 1) The transmitted signal is cable-connected to the receiver with one receive antenna. Denote the received samples by r
- 2) After down conversion, the EVM analyzer determines the beginning of the cyclic prefix of 21 the received signal. It computes the frequency offset for the given PHY frame  $n^{-6}$ ,  $f_{o,n}$ , 22 and corrects for it by applying a phase ramp on each sample of r with a slope of f
  - and corrects for it by applying a phase ramp on each sample of r with a slope of  $f_{o,n}$ . Denote the resulted signal by y
- The EVM analyzer then performs an FFT operation with an FFT window that centers the channel in the cyclic prefix. Consequently, the frequency domain tones are then corrected with a phase ramp of slope CP/2; denote the resulted samples by Z
  - 4) The EVM analyzer estimates the complex channel response for every sample in the assignment. Channel estimation is done within every tile by first averaging the pilots in the tile then doing linear interpolation in time and frequency to get the channel response on the data tones. Denote the frequency domain channel estimate on a given tone by H

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5) The EVM analyzer performs channel equalization to get samples  $\hat{X} = \frac{Z}{H}$ 

<sup>&</sup>lt;sup>5</sup> It may not be possible for the EVM analyzer equipment to have the ideal transmitted constellation point.

In this case, we can map  $\hat{X}$  to the nearest constellation point from an Euclidean distance sense and denote the hard-decision constellation point by X. In this case, the EVM calculation is optimistic since there is a probability that hard decision is wrong so that the real constellation point is farther from the hard decision one, i.e. EVM calculated is smaller than actual.

<sup>&</sup>lt;sup>6</sup> The EVM equalizer may also use an average estimate of the frequency offset or an estimate that is constant over a super frame

- 6) The EVM analyzer computes the EVM metric as defined in Equation 1
- 7) This test shall run for at least  $N_s$ =2 super frames. The number of frames used in each super frame,  $N_{f,SF}$ , shall be at least 1

4 f) The Spectral fltaness is measured as follows: From channel estimation we have the estimated 5 frequency response  $H_i$  for tone i,  $i = 1, 2, \dots, M$ , where M is the number of tones in the assignment in one OFDM symbol. We obtain the magnitude square  $B_i = |H_i|^2$  for each tone and 6 average it over multiple OFDM symbols to obtain  $\overline{B}_i$ , for  $i = 1, 2, \dots, M$ . Next we compute the 7  $F = 10 \log_{10} \left( B_{\text{max}} / B_{\text{min}} \right),$ 8 following spectral flatness metric where  $B_{\max} = \max_{i=1,2,\cdots,M} \overline{B}_i, \ B_{\min} = \min_{i=1,2,\cdots,M} \overline{B}_i.$ 9

#### 10 Table 37. Access terminal assignment used for error vector magnitude computation

Channel bandwidth (MHz)	5	10	20
Nominal maximum number of Tiles for maximum transmission bandwidth	30	60	120
Nominal maximum transmission bandwidth (MHz)	4.61	9.22	18.44

11 12

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#### 13 4.5.2.2.3 Minimum Standard

14 The measured error vector magnitude shall be less than the values specified in Table 38

#### 15 Table 38. Access terminal assignment used for error vector magnitude computation

AN Type	Modulation Type	EVM (%)	C/N (dBc)
	QPSK	17.5	15.14
Wide Area	8-PSK	14	17.07
	16QAM	12.5	18.06
	64QAM	8.9%	21

16

The measured spectral flatness metric shall be less than 4 dB. The frequency error from the carrier center
 frequency shall be less than +/- 0.1 ppm.

#### 19 4.5.2.3 Maximum RF Output Power

#### 20 **4.5.2.3.1 Definition**

The maximum radiated RF output power is determined by the measurement of the maximum power that the access terminal transmits as measured at the access terminal antenna connector plus the antenna gain

23 recommended by the access terminal manufacturer.

#### 1 4.5.2.3.2 Method of Measurement

The test shall be carried out for every band class and channel bandwidth supported by the access terminal.
 This test shall be carried out for any packet format index corresponding to modulation order of 64-QAM.

- a) Connect the sector to the access terminal antenna connector as shown in Figure 7. The AWGN generator and the CW generator are not applicable in this test. Connect a spectrum analyzer (or other suitable test equipment) to the access terminal antenna connector.
- 7 b) Set up a connection between the access terminal and the access network
- 8 c) Instruct the access network to transmit 'up' power control commands continuously to the access terminal.
- 10 d) Measure the mean access terminal output power at the access terminal antenna connector.
- 11

#### 12 **4.5.2.3.3 Minimum Standard**

The minimum standard applies to the maximum radiated power from the access terminal using the antenna gain recommended by the access terminal manufacturer. The maximum output power from the access terminal shall be 23 dBm while complying with the general spectral emissions mask Table 39. For complying with additional spectral emissions mask-1 (Table 40) and additional spectral emissions mask-2 (Table 41), the maximum output power requirements for general emissions mask may be reduced by an applicable output power backoff reduction for Table 40 and Table 41 of 0.5 dB and 1.0 dB, respectively. These proposed requirements shall be allowed a tolerance of  $\pm 2$ dB.

#### 20 **4.5.2.4 Maximum Output Power Boost**

The MBWA specifications define special assignments where the signal content is confined to a narrow band or to some aprt of the band. The idea of the special assignments is to utilize the fact that in most cases when maximum power is needed, BW is not needed as much. The scheduler can then allocate the AT an assignment that is as far from the edge as possible to facilitate meeting the emission requirements. The assignment can also be as small as one tile and requires full power (edge of the cell scenario) and due to being narrowband it still meets emission requirements with higher radiated power. The specifications define three different special assignment types:

- 28 Case 1: 16 tones at the edge of the band
- 29 Case 2: 128 tones not at the edge of the band
- 30 Case 3: 128 tones at the edge of the band

The AT maximum transmit power is allowed to increase up to 2dB above the level specified in 4.5.2.3.3 for special assignments cases 1 and 2 provided that the emission requirements in4.5.2.5 are met. The AT maximum transmit output power is allowed to increase up to 0.5dB for special assignment case 3 provided that the emission requirements in4.5.2.5 are met.

35

#### 36 4.5.2.5 Conducted Spurious Emissions

Specifications of the emission requirements include a general Spectral Emissions Mask (SEM) and twoadditional spectral emission masks (A-SEM1and A-SEM2, respectively). The additional requirements are

1 to be signaled to the access terminal via some broadcast control channel. The concept of additional

2 requirement being signaled to access terminal is helpful since the deployment of various technologies 3

and the channelization on each band is not readily available.

#### 4 4.5.2.5.1 Definition

5 The conducted spurious emissions are emissions at frequencies that are outside the assigned MBWA 6 Channel, measured at the access terminal antenna connector. This test measures the spurious emissions 7 during continuous transmission.

#### 8 4.5.2.5.2 Method of Measurement

9 The test shall be carried out for every band class and channel bandwidth supported by the access terminal. 10 This test shall be carried out for any packet format index corresponding to modulation order of 64-QAM.

- 11 a) Connect the sector to the access terminal antenna connector as shown in Figure 7.. The AWGN 12 generator and the CW generator are not applicable in this test. Connect a spectrum analyzer (or 13 other suitable test equipment) to the access terminal antenna connector.
- 14 Set up a connection between the access terminal and the access network b)
- 15 Instruct the access network to transmit 'up' power control commands continuously to the access c) 16 terminal.
- 17 Measure the spurious emission levels. d)
- 18 For adjacent channel power leakage ratio measurement, measure the in-band power and also the e) 19 power in the first and second adjacent channels for the specified channel bandwidths. Compute the 20 difference between the in-band power and the power in the adjacent channels to measure the 21 adjacent channel power leakage ratio.

#### 22 4.5.2.5.3 Minimum Standard

- 23 The spurious emissions with ten or more averages shall be less than the limits specified for general spectral 24 emissions mask in Table 39
- 25 Table 39.General Spectral Emission Mask for different bandwidths

IEEE P802.20.3/D1.0, November 2008

Offset from channel edge (MHz)	5MHz Emissions in dBm/measurement BW	10MHz Emissions in dBm/measurement BW	20MHz Emissions in dBm/measurement BW	Measurement BW
± 0-1	-15	-18	-21	30KHz
± 1-5	-10	-10	-10	1MHz
± 5-6	-13	-13	-13	1MHz
± 6-10	-25	-13	-13	1MHz
± 10-15		-25	-13	1MHz
± 15-20			-13	1MHz
± 20-25			-25	1MHz

1

The spurious emissions with ten or more averages shall be less than the limits specified additional spectral
 emission masks (A-SEM1) in Table 40

4

## Table 40.Additional Spectral Emission Mask (A-SEM1) for different bandwidths

Offset from channel edge (MHz)	5MHz Emissions in dBm/measurement BW	10MHz Emissions in dBm/measurement BW	20MHz Emissions in dBm/measurement BW	Measurement BW
± 0-1	-15	-18	-21	30KHz
± 1-5	-13	-13	-13	1MHz
± 5-6	-13	-13	-13	1MHz
± 6-10	-13	-13	-13	1MHz
± 10-15		-13	-13	1MHz
± 15-20			-13	1MHz
± 20-25			-13	1MHz

5

6 The spurious emissions with ten or more averages shall be less than the limits specified for additional 7 spectral emission masks (A-SEM2) in Table 41

8

# Table 41.Additional Spectral Emission Mask (A-SEM2) for different bandwidths

IEEE P802.20.3/D1.0, November 2008

Offset from channel edge (MHz)	5MHz Emissions in dBm/measurement BW	10MHz Emissions in dBm/measurement BW	20MHz Emissions in dBm/measurement BW	Measurement BW
± 0-1	-15	-18	-21	30KHz
± 1-5.5	-15	-13	-13	1MHz
± 5.5-10	-25	-25	-25	1MHz
± 10-15		-25	-25	1MHz
± 15-25			-25	1MHz

1

2 In additional to the spectral emission mask requirements, for frequency offsets greater than  $\Delta_{SEM}$  from the

3 channel edge specified in Table 42, the spurious emissions with ten or more averages shall also be less than

4 the requirements in Table 43 for ITU category A and in

5 Table 44 for ITU category B.

6

7

#### Table 42. $\Delta_{SEM}$ as a function of the channel BW

Channel Bandwidth (MHz)	5	10	20
$\Delta_{\scriptscriptstyle SEM}$ (MHz)	10	15	25

8

9

#### Table 43. Spurious requirements – ITU Category A

Frequency Range	Maximum Level	Measurement BW
$9KHz \le f < 150KHz$	-13dBm	1Khz
$150KHz \le f < 30MHz$	-13dBm	10KHz
$30MHz \le f < 1GHz$	-13dBm	100KHz
$1GHz \le f < 10GHz$	-13dBm	1MHz

10

11

12

13

#### Table 44.Spurious requirements – ITU Category B

Frequency Range	Maximum Level	Measurement BW
$9KHz \le f < 150KHz$	-36dBm	1Khz
$150 KHz \le f < 30 MHz$	-36dBm	10KHz
$30MHz \le f < 1GHz$	-36dBm	100KHz
$1GHz \le f < 12.75GHz$	-30dBm	1MHz

14

15 When transmitting in Band Class 6, the spurious emissions with ten or more averages shall also be less than 16

the requirements in Table 45 to support coexistence with PHS.

IEEE P802.20.3/D1.0, November 2008

#### Table 45. PHS coexistence emission requirements

Frequency Range	Maximum Level	Measurement BW
$1844.5MHz \le f < 1919.6MHz$	-41dBm	300Khz

2 3

The measured adjacent channel leakage ratio (ACLR1) and alternate channel leakage ratio (ACLR2) shall

4 be greater or equal to the values specified in Table 46

5

#### Table 46.ACLR specifications

Channel Bandwidth (MHz)	5MHz	10MHz	20MHz
ACLR1 (dB)	30	30	30
ACLR2 (dB)	36	36	36
Signal and Adjacent Channel measurement BW (MHz)	4.61	9.22	18.44

6 7

8 Current region-specific radio regulation rules shall also apply.

# 9 4.6 FUNCTIONAL BLOCK DIAGRAMS

## 10 4.6.1 AN Side

11 Figure 2 through Figure 6 show the test setups used for access network testing. These are

12 functional diagrams only. Actual test setups may differ provided the functionality remains the

13 same.





1





3

4

Figure 3: Functional Setup for Access Network Desensitization Tests



5 Figure 4: Functional Setup for Access Network Intermodulation Spurious Response Tests



5



# 5 **4.6.2 AT Side**

6 Figure 7 and Figure 8 show the functional block diagrams of the set-up for different tests:

IEEE P802.20.3/D1.0, November 2008





# **5.** Minimum performance requirements for the 625k-MC Mode

## 2 **5.1 General**

The clause covers the minimum performance specifications for the 625k – MC mode, for both the base
 station (BS) and User Terminal (UT) sides on the transmitter and the receiver.

- 5 Throughout this clause the following parameters are used:

# $\begin{array}{lll} 8 \\ 9 \\ 10 \\ 12 \\ \end{array} \begin{array}{lll} \mathbf{P_{R}:} \ \text{Average SRRC filtered input power for a given carrier to a radio receiver. Input power is measured at the antenna, and is not reduced to account for cable losses. Averaging takes place between the start of the first useful symbol and the end of the last useful symbol of an uplink or downlink time slot. Ramp-up, ramp-down, and guard symbols are excluded. \end{array}$

# 13 $\mathbf{P}_{RAT}$ : The rated power per data stream $P_{RAT}$ is defined as the highest SRRC-filtered14power level such that when the base station opens a data stream with a user terminal, the15power available to the new stream is at least $P_{RAT}$ , while meeting all 625k-MC16specifications. For the case of a multi-antenna base station, $P_{RAT}$ is the incoherently17summed power of signal for the new data stream from all antennas.

18 In all of the measurements described in the following clauses, the BS shall be configured to operate in 19 Single Antenna Mode unless otherwise stated explicitly.

# 20 **5.2 BASE STATION (BS) MPS**

#### 21 **5.2.1 BS Receiver MPS**

#### 22 **5.2.1.1 Receiver Sensitivity**

#### 23 **5.2.1.1.1 Definition**

Receiver sensitivity level requirements for the base station receiver are based on frame error rate (FER) in
 the presence of Additive Gaussian White Noise (AWGN). Signal power measurements are to be made on
 SRRC-filtered waveforms.

\_\_\_\_\_

# 27 **5.2.1.1.2 Method of Measurement**

- For every ModClass, the test shall be carried out as described below.
- a) Configure the Base Station (BS) under test to function in single-antenna mode.

- b) Connect the BS under test and a 625k-MC mode signal generator as shown in Figure 9: Functional
   Setup for Base Station Receiver Tests.
- 3 c) Disable both interference generator and AWGN generator by setting their output powers to zero.
- d) Set the BS to receive the specified modulation class.
- 6 e) Adjust 625k-MC signal generator to deliver the specified modulation class signal and maintain its 7 power at the receiver port of BS at the value as specified in Table 47 BS Receiver Sensitivity for 7 FER =  $10^{-2}$ .
- 8 f) Measure FER value.
- 9

#### 10 **5.2.1.1.3 Minimum Standard**

- 11 The receiver sensitivity level of the Base Station receiver shall be no greater than the values specified in the 12 Table 47 BS Receiver Sensitivity for  $FER = 10^{-2}$
- 13

Table 47 BS Receiver Sensitivity for FER = $10^{-2}$		
Modulation Class	Receiver	
Would hold Class	Sensitivity	
Mod 0	-108.6	
Mod 1	-107.0	
Mod 2	-105.3	
Mod 3	-102.4	
Mod 4	-100.2	
Mod 5	-97.9	
Mod 6	-95.9	
Mod 7	-94.6	
Mod 8	-92.6	
Mod 9	-90.6	
Mod 10	-86.0	

14 15

#### 16 **5.2.1.2 Adjacent Channel Selectivity**

17

Adjacent channel selectivity (ACS) measures the receiver's ability to receive a desired signal on its assigned carrier in the presence of a modulated interfering signal on an adjacent carrier.

#### 20 **5.2.1.2.1 Definition**

Given a single data stream active on carrier  $n:0 \le n < N_f$ , with 3 dB more received power than the tabulated value of receiver sensitivity for  $10^{-2}$  FER and a second stream of uncorrelated data on carrier  $m:m \ne n$ ,  $0 \le m < N_f$ , the ACS is defined as the ratio of input powers (expressed in dB) of stream *m* relative to stream *n* when the power of stream *m* is increased so that the FER for stream *n* is  $10^{-2}$ .

#### 1 5.2.1.2.2 Method of Measurement

- 2 a) Configure the Base Station (BS) under test to function in single-antenna mode.
- b) Connect the BS under test and a 625k-MC mode signal generator as shown in Figure 9: Functional Setup for Base Station Receiver Tests.
- 5 c) Disable AWGN generator by setting their output powers to zero.
- 6 d) Adjust 625k-MC signal generator to deliver the specified modulation class signal and maintain it's 7 power at the receiver port of BS **3 dB** more received power than at the value as specified in Table 8 47 BS Receiver Sensitivity for FER =  $10^{-2}$ .
- 9 e) Set Interference Generator to deliver the desired ModClass.
- 10 f) Measure FER value.
- 11

## 12 5.2.1.2.3 Minimum Standard

13 The ACS shall be at least 30 dB 625 kHz or more apart.

#### 14 **5.2.1.3 Maximum Non-Distortion Input Level**

#### 15 **5.2.1.3.1 Definition**

16 Non-distorting input power is defined as the maximum SRRC-filtered receive power at any antenna port 17 such that the frame error rate (FER) does not exceed  $10^{-2}$ .

#### 18 **5.2.1.3.2 Method of Measurement**

- a) Configure the Base Station (BS) under test to function in single-antenna mode.
- b) Connect the BS under test and a 625k-MC mode signal generator as shown in Figure 9: Functional
   Setup for Base Station Receiver Tests.
- 22 c) Disable both interference generator and AWGN generator by setting their output powers to zero).
- d) Set the BS to receive the specified modulation class.
- e) Adjust 625k-MC signal generator to deliver the specified modulation class signal at a power of 45dBm.
- 26 f) Measure FER value.

#### 27 5.2.1.3.3 Minimum Standard

28 The non-distorting input power shall be greater than -45 dBm.

#### 1 5.2.1.4 DSSI Estimator Accuracy

#### 2 **5.2.1.4.1 Definition**

The Desired Signal Strength Indicator (DSSI) is required to support open loop power control. The DSSI is
 an estimate of SRRC-filtered input power PR for a given active data stream. The DSSI Estimator accuracy
 is expressed as a decibel ratio between the actual value of PR and the estimated value.

#### 6 5.2.1.4.2 Method of Measurement

- 7 a) Configure the Base Station (BS) under test to function in single-antenna mode.
- 8 b) Connect the BS under test and a 625k-MC mode signal generator as shown in Figure 9: Functional
   9 Setup for Base Station Receiver Tests.
- 10 c) Disable both interference generator and AWGN generator by setting their output powers to zero.
- 11 d) Set the BS to receive the correct modulation class.
- 12 e) Adjust 625k-MC signal generator to deliver the specified modulation class signal.
- 13 f) Measure DSSI.

#### 14 **5.2.1.4.3 Minimum Standard**

- 15 DSSI Estimator Accuracy shall be within the permitted range as shown in the Table 48- Range of 16 Acceptable DSSI Report Values.
- 17

Table 48- Range of Acceptable DSSI Report Values.

Input Power P <sub>R</sub> [dBm]	Min DSSI Report	Max DSSI Report
$-45 < P_R$	-49	$P_R + 4$
$-105 < P_R \le -45$	P <sub>R</sub> - 4	$P_R + 4$
$-110 < P_R \le -105$	P <sub>R</sub> - 6	$P_R + 6$
$P_R \leq -110$	No minimum	-104

18

#### 19 **5.2.1.5 SINR Estimator Accuracy**

#### 20 **5.2.1.5.1 Definition**

21 The SINR estimator is used for closed loop power control. SINR estimator accuracy is defined as the 22 difference between the output value of the SINR estimator and the received SINR at the antenna connector. 23 24 TCH bursts from an established stream shall be present at the antenna (for testing purposes, the stream may or may not be communicating with the base station under test). The SRRC-filtered input power of the 25 bursts and the SRRC-filtered input power of added Gaussian noise are measured independently of the base 26 27 station. Then the SINR estimator accuracy is the decibel ratio of the externally measured burst to noise power and the base station SINR estimator output. SINR should be calculated from the training sequence 28 portions of the bursts. The SINR estimator error is the difference between the output value of the SINR 29 estimator and the SINR present at the antenna.

2	a)	Configure the Base Station (BS) under test to function in single-antenna mode.
3 4	b)	Connect the BS under test and a 625k-MC mode signal generator as shown in Figure 9: Functional Setup for Base Station Receiver Tests.
5	c)	Disable interference generator by setting its output power to zero.
6	d)	Set the BS to receive the correct modulation class.
7	e)	Set received power for specified modulation class in 625k-MC (Desire) generator.
8	f)	Set 500 kHz band width in AWGN generator.
9	g)	Measure SINR.
10		
11	5.2.1.	5.3 Minimum Standard
10		

SINR Estimator Accuracy shall be within the permitted range of the template shown in the Table 49 Range of Acceptable SINR Report Values.

14

1

5.2.1.5.2 Method of Measurement

15

#### Table 49 - Range of Acceptable SINR Report Values.

Input SINR [dB]	5 <sup>th</sup> Percentile (dB)	95 <sup>th</sup> Percentile(dB)
s < -5	No Minimum	-2 dB
$-5 \le s < 29$	S – 4 dB	S + 3 dB
$29 \le s$	26 dB	S + 3 dB

16

# 17 5.2.2 BS Transmitter MPS

#### 18 **5.2.2.1 Carrier Frequency Error**

# 19 **5.2.2.1.1 Definition**

20 Carrier frequency error is the difference between the programmed and actual transmitted base station 21 carrier frequency, measured in parts per million (PPM).

### 22 5.2.2.1.2 Method of Measurement

- a) Configure the Base Station (BS) under test to function in single-antenna mode.
- b) Connect the BS under test and a spectrum analyzer and vector signal analyzer as shown in Figure
   10 Functional Setup for Base Station Transmitter Tests.
- 26 c) Set the BS to transmit the desired modulation class.
- d) Measure carrier frequency error by using Vector Signal Analyzer.
- 28

#### 1 **5.2.2.1.3 Minimum Standard**

2 Carrier frequency error shall not exceed 0.05 PPM.

#### 3 5.2.2.2 Modulation Accuracy

#### 4 **5.2.2.1 Definition**

The modulation accuracy is the ratio of the root mean square error vector magnitude to the reference amplitude, averaged over the useful symbols of an uplink time slot. The error vector is the difference between the theoretically optimal desired waveform and the transmitted waveform at the symbol points, after receive SRRC filtering is applied to both waveforms and the initial phase, amplitude, frequency offset, and timing offset have been identified by a least-squares search.

- 10 Let a single stream be active on frequency carrier n, with transmitted power level  $P_{RAT}$  for the entire array. 11 The MA for the array shall be the highest MA for the individual transmitters in that array.
- 12

#### 13 5.2.2.2 Method of Measurement

- 14
- a) Configure the base station under test to function in single-antenna mode.
- b) Connect the BS under test and a spectrum analyzer and vector signal analyzer as shown in Figure 10 Functional Setup for Base Station Transmitter Tests.
- 18 c) Set the BS to transmit the desired ModClass (modulation class).
- 19 d) Measure modulation accuracy with Vector Signal Analyzer.
- 20

### 21 **5.2.2.2.1 Minimum Standard**

The MA for the array shall not exceed 3.5% for all modulation classes with equal weighting over all N antennas and total transmitted power  $P_{RAT}$ .

#### 24 **5.2.2.3 Conducted Spurious Emission**

#### 25 **5.2.2.3.1 Adjacent Carrier Power Ratio**

#### 26 **5.2.2.3.1.1 Definition**

Adjacent carrier power (ACP) is the SRRC filtered power radiated from all antennas on any carrier adjacent to carrier n, averaged over the entire downlink time slot s. The result is expressed in dBm.

## 1 5.2.2.3.1.2 Method of Measurement

- 2 a) Configure the base station under test to function in single-antenna mode.
- b) Connect the BS under test and a spectrum analyzer and vector signal analyzer as shown in Figure
   4 10 Functional Setup for Base Station Transmitter Tests.
- 5 c) Set the BS to transmit the desired ModClass (modulation class).
- 6 d) Measure ACP with Spectrum Analyzer.

#### 7 5.2.2.3.1.3 Minimum Standard

 $\begin{array}{l} 8 & ACP \ shall \ be \ less \ than \ (P_{RAT}-43) \ dBm \ in \ the \ adjacent \ carrier \ within \ the \ carrier \ allocation, \ and \ less \ than \ (P_{RAT}-50) \ dBm \ for \ carriers \ with \ center \ frequency \ more \ than \ 625 \ kHz \ away \ from \ f_n \ . \end{array}$ 

## 10 5.2.2.3.2 Multi-carrier Inter-modulation Products

#### 11 **5.2.2.3.2.1 Definition**

- 12 Given any unoccupied carrier, the multi-carrier inter-modulation product (MCIP) is defined as the highest
- 13 SRRC filtered output power on that unoccupied carrier, summed over all antennas, with equal power on all
- 14 other carriers and equal composite power on all antennas. The measurement is expressed in dBm.

## 15 5.2.2.3.2.2 Method of Measurement

- 16 a) Configure the base station under test to function in single-antenna mode.
- b) Connect the BS under test and a spectrum analyzer and vector signal analyzer as shown in Figure 10 Functional Setup for Base Station Transmitter Tests.
- 19 c) Setup BS to transmit the desired ModClass.
- 20 d) Measure MCIP in Spectrum Analyzer.
- 21

## 22 **5.2.2.3.2.3 Minimum Standard**

MCIP shall be less than  $(P_{RAT} - 40)$  dBm with one unoccupied carrier, equal power on all occupied carriers, and equal composite power on all antennas.

## 25 **5.2.2.3.3 Out-of-Band Spurious Emissions**

#### 26 **5.2.2.3.3.1 Definition**

Out-of-band spurious performance is defined as any radio emanation outside the 625k-MC band allocated
 to the base station.

## 1 5.2.2.3.3.2 Method of Measurement

- 2 a) Configure the base station under test to function in single-antenna mode.
- b) Connect the BS under test and a spectrum analyzer and vector signal analyzer as shown in Figure 10 Functional Setup for Base Station Transmitter Tests.
- 5 c) Set the BS to transmit the desired ModClass (modulation class).
- 6 d) Measure Spurious Emission with Spectrum Analyzer.

#### 7 5.2.2.3.3.3 Minimum Standard

8 The base station shall meet all regulatory requirements in the jurisdiction within which it is installed.
9 Emissions shall not exceed the limits as specified in the Table 50 – Out-of-Band Spurious Emissions
10 Limits.

11

Table 50	Out of Rond	Sourious	Emissions I imits	
Table 50 -	· Out-of-Danu	Spurious	Emissions Limits.	

Offset from nearest 625k-MC band edge	Emission limit
0 kHz to 500 kHz	-3 dBm / 100kHz
500 kHz to 5 MHz	-16 dBm / 100kHz
Beyond 5MHz	-20 dBm / 100kHz
Beyond 5MHz	-20 dBm / 100kHz

12

# 13 5.3 USER TERMINAL (UT) MPS

#### 14 **5.3.1 UT Receiver MPS**

## 15 **5.3.1.1 Receiver Sensitivity**

#### 16 **5.3.1.1.1 Definition**

The receiver sensitivity level is that minimum SRRC-filtered receive power at the UT antenna port suchthat the frame error rate (FER) does not exceed a specific value.

#### 19 **5.3.1.1.2 Method of Measurement**

- 20 a) Configure the User Terminal (UT) under test to function in single-antenna mode.
- b) Connect the UT under test and a signal generator as shown in Figure 11 Functional Setup for User Terminal Receiver Tests.
- 23 c) Disable both interference generator and AWGN generator by setting their output powers to zero.
- 24 d) Set the UT to receive the desired ModClass (modulation class).
- e) Adjust 625k-MC signal generator to transmit the desired ModClass with the corresponding power
   level as defined in the Table 51.
- f) Measure FER values.

### 1 5.3.1.1.3 Minimum Standard

2 The receiver sensitivity level of the user terminal receiver shall be no more than the values specified in the 3 Table 51 UT Receiver Sensitivity for  $FER = 10^{-2}$ 

Table 51 UT Receiver Sensitivity for FER =  $10^{-2}$ 

4

Modulation Class	Receiver Sensitivity [dBm]
Mod 0	-107.5
Mod 1	-105.7
Mod 2	-104.2
Mod 3	-101.3
Mod 4	-100.1
Mod 5	-96.9
Mod 6	-94.8
Mod 7	-93.5
Mod 8	-91.6
Mod 9	-89.2
Mod 10	-86.2

6 7

#### 8 5.3.1.2 Adjacent Channel Selectivity

#### 9 **5.3.1.2.1 Definition**

10 Adjacent Channel Selectivity (ACS) measures the receiver's ability to receive a desired signal on its 11 assigned carrier  $n:0 \le n < N_f$  in the presence of a modulated interfering signal on an adjacent carrier. The 12 ACS is the ratio (in dB) of the interfering signal receive power at the UT antenna connector and desired 13 signal receive power at the UT antenna connector when the desired signal receive power is at 3 dB above 14 the receiver sensitivity values in Table 51 UT Receiver Sensitivity for FER =  $10^{-2}$  and the interfering signal 15 power is such that the desired signal FER reaches  $10^{-2}$ .

#### 16 **5.3.1.2.2 Method of Measurement**

- a) Configure the user terminal under test to function in single-antenna mode.
- b) Connect the UT under test and a signal generator as shown in Figure 11 Functional Setup for User Terminal Receiver Tests.
- 20 c) Disable the AWGN generator by setting its output powers to zero.
- 21 d) Set the UT to receive the desired ModClass (modulation class).
- e) Set 625k-MC signal generator to the desired ModClass at a power level 3 dB greater than the corresponding value in the Table 51 UT Receiver Sensitivity for FER =  $10^{-2}$ .
- 24 f) Set Interference Generator to deliver the desired ModClass.

## 1 g) Measure FER.

## 2 **5.3.1.2.3 Minimum Standard**

# 3 Table 52 - ACS Characteristics. 4 Desired signal modulation class ACS

Desired signal modulation class	ACS
0-6	20 dB
7-8	17 dB
9-10	11 dB

#### 5

#### 6 **5.3.1.3 Maximum Non-Distortion Input Level**

#### 7 **5.3.1.3.1 Definition**

8 The maximum receive power at the UT antenna port such that the frame error rate (FER) does not exceed  $10^{-2}$ .

#### 10 5.3.1.3.2 Method of Measurement

- a) Configure the user terminal under test to function in single-antenna mode.
- b) Connect the UT under test and a signal generator as shown in Figure 11 Functional Setup for User Terminal Receiver Tests.
- 14 c) Disable the interference generator and AWGN generator (set their output powers to zero).
- 15 d) Set the UT to receive the desired ModClass.
- 16 e) Adjust 625k-MC Signal Generator to deliver the desired ModClass at -35dBm.
- 17 f) Measure FER.

#### 18 **5.3.1.3.3 Minimum Standard**

19 The maximum input power of the UT shall be greater than -35 dBm.

# 20 5.3.1.4 Out-of-Band Blocking Characteristics

#### 21 **5.3.1.4.1 Definition**

Out-of-Band Blocking measures the receiver's ability to receive a desired signal on its assigned carrier in the presence of a CW interfering signal in the vicinity of its assigned carrier. The out-of-band blocking performance is the power of the CW signal, expressed (in dBm) measured at the UT antenna connector,

25 when the desired signal power at the UT antenna connector is fixed at 3 dB above the receiver sensitivity

1 values in Table 51 UT Receiver Sensitivity for FER =  $10^{-2}$  and when the CW signal power is such that the

2 desired signal FER is  $10^{-2}$ .

## 3 5.3.1.4.2 Method of Measurement

- 4 a) Configure the user terminal under test to function in single-antenna mode.
- b) Connect the UT under test and a signal generator as shown in Figure 11 Functional Setup for User Terminal Receiver Tests.
- 7 c) Disable the interference generator and AWGN generator (set their output powers to zero).
- 8 d) Set the UT to receive the desired ModClass.
- 9 e) Set 625k-MC signal generator to the desired ModClass at a power level 3 dB greater than the corresponding value in Table 51 UT Receiver Sensitivity for  $FER = 10^{-2}$ .
- 11 f) Set the Interference Generator in CW mode to generate the signal at the desired Power Level
- 12 g) Measure FER

#### 13 5.3.1.4.3 Minimum Standard

14 The out-of-band blocking shall be as specified in the Table 53- Out-of-Band Blocking Characteristics.

# 15

#### Table 53 - Out-of-Band Blocking Characteristics.

16

Parameter		Value	
Desired Signal Power	Receiver Sensitivity + 1.8 dB		
Interference Signal	0.1 to (X – 15)	(Y + 15) to 12750	Spurious
Frequency	MHz	MHz	frequencies
Interference Signal Power	≤ -23dBm	≤ <b>-</b> 23dBm	$\leq$ -40dBm

#### 17

- 18 Where:
- 19 X lower end of spectrum allocation.
- 20 Y upper end of spectrum allocation.

## 21 5.3.1.5 DSSI Estimator Accuracy

## 22 **5.3.1.5.1 Definition**

The DSSI estimator is required to support open loop TX gain control. The difference between the output value of the Desired Signal Strength Indicator (DSSI) estimator and the RF input level of the UT receiver PR expressed in dB. The DSSI estimator reports a value of SRRC filtered RF power, at the antenna connector.

#### 1 5.3.1.5.2 Method of Measurement

- 2 a) Configure the user terminal under test to function in single-antenna mode.
- b) Connect the UT under test and a signal generator as shown in Figure 11 Functional Setup for User Terminal Receiver Tests.
- 5 c) Disable the interference generator and AWGN generator (set their output powers to zero).
- 6 d) Set the UT to receive the desired ModClass.
- 7 e) Set 625k-MC signal generator to the desired ModClass.
- 8 f) Measure DSSI.

#### 9 5.3.1.5.3 Minimum Standard

- 10 DSSI Estimator accuracy shall be within  $\pm 4$  dB for signals having PR greater between -105 dBm and -45
- 11 dBm. DSSI Estimator accuracy shall be within  $\pm 6$  dB for signals having PR between -110 dBm and -105
- 12 dBm. Refer to the Table 54 Acceptable DSSI Report Values..

13

Input Power P <sub>R</sub> [dBm]	Min DSSI Report	Max DSSI Report
-45 < P <sub>R</sub>	-49	$P_R + 4$
$-105 < P_R \le -45$	P <sub>R</sub> - 4	$P_R + 4$
$-110 < P_R \le -105$	P <sub>R</sub> - 6	$P_R + 6$
$P_R \leq -110$	No minimum	-104

14

#### 15 **5.3.1.6 SINR Estimator Accuracy**

#### 16 **5.3.1.6.1 Definition**

17 The SINR Estimator is required for closed loop power control. The SINR Estimator Accuracy is the 18 difference between the output value of the SINR estimator and the received SINR at the antenna connector.

19 For bursts with training sequences, SINR should be calculated from the training sequences alone.

#### 20 5.3.1.6.2 Method of Measurement

- a) Configure the user terminal under test to function in single-antenna mode.
- b) Connect the UT under test and a signal generator as shown in Figure 11 Functional Setup for User Terminal Receiver Tests.
- 24 c) Disable the interference generator by setting their output powers to zero.
- 25 d) Set the UT to receive the desired ModClass.
- e) Set 625k-MC signal generator to the desired ModClass.
- 27 f) Setup AWGN generator to deliver the noise of bandwidth 500KHz<sub>o</sub>

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## 1 g) Measure SINR.

#### 2 **5.3.1.6.3 Minimum Standard**

3 SINR Estimator Accuracy shall be within the permitted range of the template shown in the Table 55 -

4 Range of Acceptable SINR Report Values.

5

## Table 55 - Range of Acceptable SINR Report Values.

Input SINR [dB]	5 <sup>th</sup> Percentile (dB)	95 <sup>th</sup> Percentile(dB)
s < -3	No Minimum	0 dB
$-3 \le s < 28$	S – 3 dB	S + 3 dB
$28 \le s$	25 dB	S + 3 dB

6

## 7 5.3.2 UT Transmitter MPS

#### 8 5.3.2.1 Nominal Output Power

#### 9 **5.3.2.1.1 Definition**

Nominal output power is the SRRC-filtered transmit power that the UT supports, while meeting all 625k MC protocol specifications. The nominal output power depends on the UT's power class.

#### 12 **5.3.2.1.2 Method of Measurement**

- a) Configure the user terminal under test to function in single-antenna mode.
- b) Connect the UT under test and a spectrum analyzer and vector signal analyzer as shown in Figure
   12 Functional Setup for User Terminal Transmitter Tests.
- 16 c) Set UT to transmit the Desired ModClass signal.
- 17 d) Measure Output powers.

## 18 **5.3.2.1.3 Minimum Standard**

19 The following Table 56- Nominal UT transmit power per carrier for various modulation formats defines the 20 nominal output power by class that the UT shall support. The UT transmit power shall not be less than 3 dB 21 below the nominal power stated in Table 56- Nominal UT transmit power per carrier. A user terminal may 22 restrict it's transmit power to 6 dB less than the tabulated value when operating on carriers 0 (lowest 23 carrier) or N<sub>f</sub> - 1 (highest carrier) if this is needed to meet out-of-band emission requirements.

	Nominal Output Power		
Modulation Format	Power Class 1	Power Class 2	Power Class 3
64-QAM	29 dBm	24 dBm	19 dBm
32-QAM	29 dBm	24 dBm	19 dBm
24-QAM	29 dBm	24 dBm	19 dBm
16-QAM	30 dBm	25 dBm	20 dBm
12-QAM	30 dBm	25 dBm	20 dBm
8PSK	31 dBm	26 dBm	21 dBm
QPSK	31 dBm	26 dBm	21 dBm
π/2 BPSK	32 dBm	27 dBm	22 dBm

#### Table 56 - Nominal UT transmit power per carrier

2

#### 3 5.3.2.2 Carrier Frequency Error

#### 4 5.3.2.2.1 Definition

5 The difference between the commanded and actual UT carrier frequency during any active uplink burst, 6 using the received base station BCH frequency as a reference.

#### 7 5.3.2.2.2 Method of Measurement

- 8 a) Configure the user terminal under test to function in single-antenna mode.
- 9 Connect the UT under test and a spectrum analyzer and vector signal analyzer as shown in Figure b) 10 12 - Functional Setup for User Terminal Transmitter Tests.
- 11 Set UT to transmit the Desired ModClass signal. c)
- 12 Measure carrier frequency error with Vector Signal Analyzer. d)

#### 13 5.3.2.2.3 Minimum Standard

14 The carrier frequency error of the UT shall be within  $\pm 100$  Hz.

#### 15 5.3.2.3 Modulation Accuracy

#### 16 5.3.2.3.1 Definition

- 17 The modulation accuracy is the ratio of the root mean square error vector magnitude to the reference 18 amplitude, averaged over the useful symbols of an uplink time slot. The error vector is the difference 19
- between the theoretically optimal desired waveform and the transmitted waveform at the symbol points,

- 1 after receive SRRC filtering is applied to both waveforms and the initial phase, amplitude, frequency offset,
- 2 and timing offset have been identified by a least-squares search.
- 3 Let a single stream be active on frequency carrier n, with transmitted power level  $P_{RAT}$  for the entire array.
- 4 The MA for the array shall be the highest MA for the individual transmitters in that array.

#### 5 5.3.2.3.2 Method of Measurement

- 6 a) Configure the user terminal under test to function in single-antenna mode.
- b) Connect the UT under test and a spectrum analyzer and vector signal analyzer as shown in Figure
   12 Functional Setup for User Terminal Transmitter Tests.
- 9 c) Set UT to transmit the Desired ModClass signal.
- 10 d) Measure modulation accuracy with Vector Signal Analyzer.

#### 11 5.3.2.3.3 Minimum Standard

12 The modulation accuracy of the transmitter shall be in accordance with the specifications given in the Table 13 57- Modulation Accuracy for various Modulation Formats.

14

#### Table 57 - Modulation Accuracy for various Modulation Formats

Modulation Format	Modulation Accuracy
64-QAM	< 4%
32-QAM	< 5.5%
24-QAM	< 6%
16-QAM	< 6%
12-QAM	< 7%
8PSK	< 9%
OPSK	< 10%
π/2 BPSK	< 10%

15

#### 16 **5.3.2.4 Conducted Spurious Emission**

#### 17 **5.3.2.4.1 Adjacent Carrier Power Ratio**

#### 18 **5.3.2.4.1.1 Definition**

- Adjacent Carrier Power Ratio (ACPR) is expressed as a decibel ratio of undesired SRRC-filtered power
- transmitted by the UT on adjacent channels relative to the desired transmitted signal. The desired transmit signal power is averaged over the useful symbols of an uplink burst. Both the undesired and desired signals
- are measured as SRRC-filtered power.

## 1 5.3.2.4.1.2 Method of Measurement

- 2 a) Configure the user terminal under test to function in single-antenna mode.
- b) Connect the UT under test and a spectrum analyzer and vector signal analyzer as shown in Figure
   4 12 Functional Setup for User Terminal Transmitter Tests.
- 5 c) Set UT to transmit the Desired ModClass signal.
- 6 d) Measure ACP with Spectrum Analyzer.

### 7 5.3.2.4.1.3 Minimum Standard

8 The ACPR for any carrier frequencies within the carrier allocation shall not exceed than the values in the 9 Table 58 – Maximum ACPR when the transmit power is greater than +10 dBm.. If the ACPR limit in the 10 table, together with the transmit power results in an ACPR limit less than -40 dBm, -40 dBm is applied as 11 the limit instead of the tabulated value.

12

# 13 Table 58 – Maximum ACPR when the transmit power is greater than +10 dBm.

Carrier	Frequency Offset (⊿ f)	ACPR
First Adjacent Carrier	625 kHz	-35 dBc
Second Adjacent Carrier	1250 kHz	-45 dBc
Other Inband Carrier	1250 kHz $\leq ⊿$ f $\leq$ 5000kHz	-50 dBc

14

# 15 5.3.2.4.2 Out-of-Band Spurious Emissions

#### 16 **5.3.2.4.2.1 Definition**

Out-of-band spurious emission performance is evaluated by measuring the peak transmit power over all theuseful symbols of a burst, in which UT transmits at maximum power.

## 19 5.3.2.4.2.2 Method of Measurement

- 20 a) Configure the user terminal under test to function in single-antenna mode.
- b) Connect the UT under test and a spectrum analyzer and vector signal analyzer as shown in Figure
   12 Functional Setup for User Terminal Transmitter Tests.
- 23 c) Set UT to transmit the Desired ModClass signal.
- 24 d) Measure Spurious Emission with Spectrum Analyzer.

## 25 **5.3.2.4.2.3** Minimum Standard

26 Out-of-band spurious emission of the UT shall be within local regulatory limits.

1 UT out-of-band emissions at frequency offsets more than 4687.5 kHz from the edge of the nominal carrier 2 bandwidth shall be less than -30 dBm, measured within a 1 MHz bandwidth.

3

# 4 5.4 FUNCTIONAL TEST SETUP

5 Figure 9 through Figure 12 illustrates the test setups used for Base Station and User Terminal testing. These 6 are functional diagrams only. Actual test setups may differ provided the functionality remains the same.

7



8



Figure 9 : Functional Setup for Base Station Receiver Tests

10

15




Figure 11: Functional Setup for User Terminal Receiver Tests

