# Merged OFDM Physical Layer Specification for the 5 GHz Band

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# Abstract

Former proposals by Lucent Technologies and NTT were merged into one proposal that is described here as a draft specification. A complete specification of the merged proposal is described in this document.

# 1.1. Introduction

This clause describes the physical layer for the Orthogonal Frequency Division Multiplexing (OFDM) system. The Radio Frequency LAN system is initially aimed for the 5.15, 5.25 and 5.725 GHz U-NII bands as provided in the USA according to Document FCC 15.407.

The OFDM system provides a wireless LAN with data payload communication capabilities of 5, 10, 15, 20 and 30 Mbit/s. The system uses 48 subcarriers which are modulated using Differential Binary or Differential Quadrature Phase Shift Keying (DBPSK/DQPSK), or 16-Quadrature Amplitude Modulation (16-QAM). Forward error correction coding (convolutional coding) is used with a coding rate of 1/2 or 3/4.

## 1.1.1.Scope

This clause describes the physical layer services provided to the 802.11 wireless LAN MAC by the 5 GHz (bands) OFDM system. The OFDM PHY layer consists of two protocol functions:

- a) A physical layer convergence function which adapts the capabilities of the physical medium dependent system to the Physical Layer service. This function shall be supported by the Physical Layer Convergence Procedure (PLCP) which defines a method of mapping the 802.11 MAC sublayer Protocol Data Units (MPDU) into a framing format suitable for sending and receiving user data and management information between two or more stations using the associated physical medium dependent system.
- b) A Physical Medium Dependent (PMD) system whose function defines the characteristics and method of transmitting and receiving data through a wireless medium between two or more stations each using the OFDM system.

## 1.1.2. OFDM Physical Layer Functions

The 5 GHz OFDM PHY architecture is depicted in the reference model shown in Figure 11. The OFDM physical layer contains three functional entities: the physical medium dependent function, the physical layer convergence function and the layer management function. Each of these functions is described in detail in the following subclauses.

The OFDM Physical Layer service shall be provided to the Medium Access Control through the physical layer service primitives described in clause 12.

#### 1.1.2.1. Physical Layer Convergence Procedure Sublayer

In order to allow the 802.11 MAC to operate with minimum dependence on the PMD sublayer, a physical layer convergence sublayer is defined. This function simplifies the physical layer service interface to the 802.11 MAC services.

#### 1.1.2.2. Physical Medium Dependent Sublayer

The physical medium dependent sublayer provides a means to send and receive data between two or more stations. This clause is concerned with the 5 GHz band using OFDM.

#### 1.1.2.3. Physical Layer Management Entity (LME)

The Physical LME performs management of the local Physical Layer Functions in conjunction with the MAC Management entity.

#### 1.1.3. Service Specification Method and Notation

The models represented by figures and state diagrams are intended to be illustrations of the functions provided. It is important to distinguish between a model and a real implementation. The models are optimized for simplicity and clarity of presentation, the actual method of implementation is left to the discretion of the 802.11 OFDM PHY compliant developer.

The service of a layer or sublayer is the set of capabilities that it offers to a user in the next higher layer (or sublayer). Abstract services are specified here by describing the service primitives and parameters that characterize each service. This definition is independent of any particular implementation.

# 1.2. OFDM PHY Specific Service Parameter Lists

#### 1.2.1. Introduction

The architecture of the 802.11 MAC is intended to be physical layer independent. Some physical layer implementations require medium management state machines running in the medium access control sublayer in order to meet certain PMD requirements. These physical layer dependent MAC state machines reside in a sublayer defined as the MAC subLayer Management Entity (MLME). The MLME in certain PMD implementations may need to interact with the Physical LME (PLME) as part of the normal PHY SAP primitives. These interactions are defined by the Physical Layer Management Entity parameter list currently defined in the PHY Service Primitives as TXVECTOR and RXVECTOR. The list of these parameters and the values they may represent are defined in the specific physical layer specifications for each PMD. This subclause addresses the TXVECTOR and RXVECTOR for the OFDM PHY.

#### 1.2.2. TXVECTOR Parameters

The following parameters are defined as part of the TXVECTOR parameter list in the PHY-TXSTART.request service primitive.

Parameter	Associate Primitive	Value
LENGTH	PHY-TXSTART.request (TXVECTOR)	1-65535
DATATRATE	PHY-TXSTART.request (TXVECTOR)	30, 20, 15, 10 and 5
SERVICE	PHY-TXSTART.request (TXVECTOR)	null
TXPWR_LEVEL	PHY-TXSTART.request (TXVECTOR)	1-8

Table 75,	TXVECTOR	Parameters
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## 1.2.2.1. TXVECTOR LENGTH

The LENGTH parameter has the value from 1 to 65535. This parameter is used to indicate the number of octets in the MPDU which the MAC is currently requesting the PHY to transmit. This value is used by the PHY to determine the number of octet transfers which will occur between the MAC and the PHY after receiving a request to start the transmission.

## 1.2.2.2. TXVECTOR DATARATE

The DATARATE parameter describes the bit rate at which the PLCP should transmit the PSDU. Its value can be any of the rates as defined in Table 75, TXVECTOR Parameters, and supported by the OFDM PHY.

## 1.2.2.3. TXVECTOR SERVICE

The SERVICE parameter should be reserved for future use.

## 1.2.2.4. TXVECTOR TXPWR\_LEVEL

The TXPWR\_LEVEL parameter has the value from 1 to 8. This parameter is used to indicate the number of TxPowerLevel attributes defined in the MIB for the current MPDU transmission.

#### 1.2.3. RXVECTOR Parameters

The following parameters are defined as part of the RXVECTOR parameter list in the PHY-RXSTART.indicate service primitive.

Parameter	Associate Primitive	Value
LENGTH	PHY-RXSTART.indicate (RXVECTOR)	1-65535
RSSI	PHY-RXSTART.indicate (RXVECTOR)	0 - RSSI Max
DATARATE	PHY-RXSTART.request (RXVECTOR)	30, 20, 15, 10 and 5
SERVICE	PHY-RXSTART.request (RXVECTOR)	null

#### Table 76, RXVECTOR Parameters

#### 1.2.3.1. RXVECTOR LENGTH

The LENGTH parameter has the value from 1 to 65535. This parameter is used to indicate the value contained in the LENGTH field which the PLCP has received in the PLCP Header. The MAC and PLCP will use this value to determine the number of octet transfers that will occur between the two sublayers during the transfer of the received PSDU.

#### 1.2.3.2. RXVECTOR RSSI

The Receive Signal Strength Indicator (RSSI) is a parameter takes a value from 0 through RSSI Max. This parameter is a measure by the PHY sublayer of the energy observed at the antenna used to receive the current PPDU. RSSI shall be measured during the reception of the PLCP Preamble. RSSI is intended to be used in a relative manner. Absolute accuracy of the RSSI reading is not specified.

# **1.3. OFDM Physical Layer Convergence Procedure Sublayer**

## 1.3.1.Introduction

This clause provides a convergence procedure in which MPDUs are converted to and from PPDUs. During transmission, the MPDU shall be provided with a PLCP preamble and header to create the PPDU. At the receiver, the PLCP preamble and header are processed to aid in demodulation and delivery of the MPDU.

## 1.3.2. Physical Layer Convergence Procedure Frame Format

Figure 107 shows the format for the PPDU including the OFDM PLCP preamble, the OFDM PLCP header and the MPDU. The PLCP preamble contains the Synchronization (SYNC). The PLCP header contains the following fields: signaling (SIGNAL), service (SERVICE), length (LENGTH), and CCITT CRC-16. Each of these fields is described in detail in clause 1.3.3.



Figure 107, PLCP Frame Format

#### 1.3.3.PLCP Field Definitions

The entire PLCP preamble and header shall be transmitted using the 20 Mbit/s DQPSK-OFDM modulation (uncoded) described in clause 1.5.6.5. All transmitted bits except for PLCP preamble and SIGNAL shall be scrambled using the feedthrough scrambler described in clause 1.3.5. and encoded using the convolutional encoder described in clause 1.3.3.6.

## 1.3.3.1. PLCP Synchronization (SYNC)

The synchronization field consists of short and long OFDM training symbols.

Three of the subcarriers are dedicated to pilot signals in order to make the coherent detection robust against frequency offsets and phase noise when 16-QAM is selected as a base band modulation. These pilot signals are put in subcarrier #3, 26 and 47 with values of  $\{1, 1, -1\}$  respectively. The data supposed to be sent on these subcarriers are stolen and punctured.

A short OFDM training symbol consists of 12 subcarriers, which are phase modulated by the elements of the sequence *S*, given by:

(1)

The IFFT output contains of four short training symbols that can be produced by using the same 64-points IFFT when the data vector (1) is used.

For one short training symbol starting at  $t=t_s$ , the signal can be written as:

$$r_{t}(t) = \operatorname{Re}\left\{w_{t}(t-t_{s})\sum_{\substack{i=-\frac{N_{s}}{2}\\i\neq0}}^{\frac{N_{s}}{2}}S_{i+\frac{N_{s}}{2}}\exp(j2p(f_{c}-\frac{i}{T_{t}})(t-t_{s}-\frac{bT_{t}}{2}))\right\}, t_{s} \le t \le t_{s}+T_{t}+bT$$

$$(2)$$

$$r_{t}(t) = 0, t < t_{s} \land t > t_{s} + T_{t} + bT$$

The symbol interval  $T_t$  is exactly 1/4 of the FFT duration T of a data symbol, which is equal to  $((64/76)/4) \cdot 4.8 \,\mu$ s, or approximately 1.01  $\mu$ s.

The training symbols are windowed by the window function  $w_t(t)$  to ensure a sharp spectrum roll-off outside the band.

$$w_{t}(t) = \begin{cases} 0.5 + 0.5 \cos\left(p + \frac{tp}{bT}\right) & 0 \le t \le bT \\ 1.0 & bT \le t \le T_{t} \\ 0.5 + 0.5 \cos\left(\frac{(t - T_{t})p}{bT}\right) & T_{t} \le t \le T_{t} + bT \end{cases}$$
(3)

A long OFDM training symbol consists of 48 subcarriers, which are phase modulated by the elements of the sequence K, given by:

The 48 elements of *K* are used to phase rotate 48 OFDM subcarriers. A long OFDM training symbol can be written in the same way as an OFDM data symbol (5), with  $d_i$  replaced by  $K_{i+Ns/2}$ .

Figure 108 shows the OFDM training structure, where  $t_1$  to  $t_{11}$  denote short training symbols and T1 and T2 are long training symbols. The total training length is <u>20.6 µs</u>, including the SIGNAL field, which indicates the type of coding and modulation used in the OFDM data symbols.



## Figure 108, Training Structure

## 1.3.3.2. Signal Field (SIGNAL)

At the end of the OFDM training, two short OFDM training symbols are sent which contain information about the type of modulation and the coding rate as used in the rest of the packet. A total of 4 bits are encoded by using QPSK on the entire short training symbol, so all subcarriers are modulated by the same phase. Table lists the contents of the Signal field, with the corresponding QPSK phases between brackets.

	coding rate	3/4	1/2
16 QAM	Data Rate	30 Mbit/s	20 Mbit/s
	Signal Field	10 10	10 01
DQPSK	Data Rate	15 Mbit/s	10 Mbit/s
	Signal Field	01 10	01 01
DBPSK	Data Rate		5 Mbit/s
	Signal Field		00 01

## 1.3.3.3. PLCP 802.11 Service Field (SERVICE)

The first 7 bits of the service field are used to synchronize the descrambler. The remaining 8 bit 802.11 service field shall be reserved for future use. The value of 00h signifies 802.11 device compliance. The LSB shall be transmitted first in time. This field shall be protected by the CCITT CRC-16 frame check sequence described in clause 1.3.3.5.

## 1.3.3.4. PLCP Length Field (LENGTH)

The PLCP length field shall be an unsigned 16 bit integer which indicates the number of octets in the MPDU which the MAC is currently requesting the PHY to transmit. This value is used by the PHY to determine the number of octet transfers that will occur between the MAC and the PHY after receiving a request to start transmission. The transmitted value shall be determined from the LENGTH parameter in the TXVECTOR issued with the PHY-TXSTART.request primitive described in clause 12.3.5.4. The LSB (least significant bit) shall be transmitted first in time. This field shall be protected by the CCITT CRC-16 frame check sequence described in clause 1.3.3.5. This field shall be encoded by the convolutional encoder described in clause 1.3.3.6.

## 1.3.3.5. PLCP CRC Field (CCITT CRC-16)

The 802.11 SIGNAL, 802.11 SERVICE, and LENGTH fields shall be protected with a CCITT CRC-16 FCS (frame check sequence). The CCITT CRC-16 FCS shall be the ones complement of the remainder generated by modulo 2 division of the protected PLCP fields by the polynomial:

$$x^{16} + x^{12} + x^5 + 1$$

The protected bits shall be processed in transmit order. All FCS calculations shall be made prior to data scrambling.





## 1.3.3.6. Convolutional encoder

The 802.11 SIGNAL, SERVICE, LENGTH, CRC and MPDU shall be coded with a convolutional encoder of r=1/2 as shown in Figure 110. The encoded two bits out of six bits shall be stolen in order to change the coding rate to 3/4 (punctured). This bit stealing procedure is described in Figure 111. As the figure shows, three bits of the source data are encoded to six bits by the encoder and two of the six bits are taken out by the bit-stealing function. In the reception, the stolen bits are substituted by dummy bits. Decoding by the Viterbi algorithm is recommended.



Figure 110, Convolutional Encoder



Figure 111, An example of bit-stealing and bit-insertion procedure (r=3/4)

# 1.3.3.7. Bit stuff

The coded MPDU length shall be multiples of an OFDM symbol (192, 96 or 48 bits). In case the coded MPDU length is not a multiple of bits in one OFDM symbol, appropriate length bits are stuffed by any bits in order to make the length a multiple of bits in one OFDM symbol.

# 1.3.4. Clear Channel Assessment (CCA)

PLCP shall provide the capability to perform CCA and report the result to the MAC. CCA shall report a busy medium (frequency) upon detecting the RSSI, which is reported by the primitive PMD\_RSSI.indicate, above the TIThreshold which is given by "aTIThreshold". This medium status report is indicated by the primitive PHY\_CCA.indicate.

# 1.3.5. PLCP / OFDM PHY Data Scrambler and Descrambler

The polynomial  $G(z) = z^{-7} + z^{-4} + 1$  shall be used to scramble all bits transmitted by the OFDM PHY, starting from the SERVICE field in the PLCP header. The feedthrough configuration of the scrambler and descrambler is self synchronizing which requires no prior knowledge of the transmitter initialization of the scrambler for receive processing. Figure 112 and Figure 113 show typical implementations of the data scrambler and descrambler. Other implementations are possible.

The scrambler should be initialized to any state except all ones when transmitting. The first 7 output bits are used at the receiver to synchronize the descrambler.

Scrambler Polynomial; G(z)=Z<sup>-7</sup> +Z<sup>-4</sup> +1



Figure 112, Data Scrambler

Descrambler Polynomial; G(z)=Z -7+Z -4 +1



Figure 113, Data Descrambler

#### 1.3.6. PLCP Data Modulation and Modulation Rate Change

The PLCP preamble shall be transmitted using the uncoded 20 Mbit/s DQPSK-OFDM modulation. The 802.11 SIGNAL field shall indicate the modulation and coding rate that shall be used to transmit the MPDU. The transmitter and receiver shall initiate the modulation, demodulation and the coding rate indicated by the 802.11 SIGNAL field. The MPDU transmission rate shall be set by the DATARATE parameter in the TXVECTOR issued with the PHY-TXSTART.request primitive described in clause 1.2.2.

#### 1.3.7. PLCP Transmit Procedure

The PLCP transmit procedure is shown in Figure 114.

In order to transmit data, PHY-TXSTART.request shall be enabled so that the PHY entity shall be in the transmit state. Further, the PHY shall be set to operate at the appropriate frequency through Station Management via the PLME. Other transmit parameters such as DATARATE and TX power are set via the PHY-SAP with the PHY-TXSTART.request(TXVECTOR) as described in clause 1.2.2.

Based on the status of CCA indicated by PHY-CCA.indicate, the MAC will assess that the channel is clear. A clear channel shall be indicated by PHY-CCA.indicate(IDLE). If the channel is clear, transmission of the PPDU shall be initiated by issuing the PHY-TXSTART.request (TXVECTOR) primitive. The TXVECTOR elements for the PHY-TXSTART.request are the PLCP header parameters SIGNAL (DATARATE), SERVICE and LENGTH and the PMD parameter of TXPWR\_LEVEL. The PLCP header parameter LENGTH is indicated by the TXVECTOR.

The PLCP shall issue PMD\_TXPWRLVL and PMD\_RATE primitives to configure the PHY. The PLCP shall then issue a PMD TXSTART.request and transmission of the PLCP preamble and PLCP header based on the parameters passed in the PHY-TXSTART.request primitive. Once PLCP preamble transmission is started, the PHY entity shall immediately initiate data encoding and data scrambling. The encoded and scrambled data shall be then exchanged between the MAC and the PHY by a series of PHY-DATA.request(DATA) primitives issued by the MAC and PHY-DATA.confirm primitives issued by the PHY. The modulation rate change, if any, shall be initiated from the SERVICE field data of the PLCP header as described in clause 1.3.6. The PHY proceeds with MPDU transmission through a series of data octet transfers from the MAC. The PLCP header parameters, SERVICE, LENGTH, CRC and MPDU are encoded by the convolutional encoder with the bit-stealing function described in clause 1.3.3.6. At the PMD layer, the data octets are sent in LSB to MSB order and presented to the PHY layer through PMD DATA.request primitives. Transmission can be prematurely terminated by the MAC through the primitive PHY-TXEND.request. PHY-TXSTART shall be disabled by the issuance of the PHY-TXEND.request. Normal termination occurs after the transmission of the final bit of the last MPDU octet according to the number supplied in the OFDM PHY preamble LENGTH field. The packet transmission shall be completed and the PHY entity shall enter the receive state (i.e. PHY-TXSTART shall be disabled). Each PHY-TXEND.request is acknowledged with a PHY-TXEND.confirm primitive from the PHY. In case that the coded MPDU (CMPDU) is not multiples of OFDM symbol, bits shall be stuffed to make the CMPDU length multiples of OFDM symbol.

In the PMD, the Guard Interval (GI) shall be inserted in every OFDM symbol as a countermeasure against the severe delay spread.



## Figure 114, PLCP Transmit Procedure

A typical state machine implementation of the PLCP transmit procedure is provided in Figure 115.



# A At any stage in the above flow diagram, if a PHY\_TXEND.request is received

# Figure 115, PLCP Transmit State Machine

## 1.3.8. PLCP Receive Procedure

The PLCP receive procedure is shown in Figure 116.

In order to receive data, PHY-TXSTART.request shall be disabled so that the PHY entity is in the receive state. Further, through Station Management via the PLME, the PHY is set to the appropriate frequency. Other receive parameters such as RSSI and indicated DATARATE may be accessed via the PHY-SAP.

Upon receiving the transmitted PLCP preamble, PMD\_RSSI.indicate shall report a significant received signal strength level to the PLCP. This indicates activity to the MAC via PHY\_CCA.indicate. PHY\_CCA.indicate (BUSY) shall be issued for reception of a signal prior to correct reception of the PLCP frame. The PMD primitive PMD\_RSSI is issued to update the RSSI and parameter reported to the MAC.

After PHY-CCA.indicate is issued, the PHY entity shall begin receiving the training symbols and searching for the SIGNAL in order to set the demodulation type and decoding rate. Once the SIGNAL is detected, FEC decode and CCITT CRC-16 processing shall be initiated and the PLCP 802.11 SERVICE and LENGTH fields are received, decoded (Viterbi decoder is recommended) and checked by CCITT CRC-16 FCS. If the CCITT CRC-16 FCS check fails, the PHY receiver shall return to the RX Idle state as depicted in Figure 117. Should the status of CCA return to the IDLE state during reception prior to completion of the full PLCP processing, the PHY receiver shall return to the RX Idle state.

If the PLCP header reception is successful (and the SIGNAL field is completely recognizable and supported), a PHY-RXSTART.indicate(RXVECTOR) shall be issued. The RXVECTOR associated with this primitive includes the SIGNAL field, the SERVICE field, the MPDU length in bytes and RSSI.

The received MPDU bits are assembled into octets, decoded and presented to the MAC using a series of PHY-DATA.indicate(DATA) primitive exchanges. The rate change indicated in the 802.11 SIGNAL field shall be initiated from the SERVICE field data of the PLCP header as described in clause 1.3.6. The PHY proceeds with MPDU reception. After the reception of the final bit of the last MPDU octet indicated by the PLCP preamble LENGTH field, the receiver shall be returned to the RX Idle state as shown in Figure 117. A PHY-RXEND.indicate(NoError) primitive shall be issued.

In the event that a change in RSSI would cause the status of CCA to return to the IDLE state before the complete reception of the MPDU as indicated by the PLCP LENGTH field, the error condition PHY-RXEND.indicate(CarrierLost) shall be reported to the MAC. The OFDM PHY will ensure that the CCA will indicate a busy medium for the intended duration of the transmitted packet.

If the indicated rate in the SIGNAL field is not receivable, a PHY-RXSTART.indicate will not be issued. The PHY shall issue the error condition PHY-RXEND.indicate(UnsupportedRate). If the PLCP header is successful, but the SERVICE field is out of 802.11 OFDM specification, a PHY-RXSTART.indicate will not be issued. The PHY shall issue the error condition PHY-RXEND.indicate(FormatViolation). Also, in this case, the OFDM PHY will ensure that the CCA shall indicate a busy medium for the intended duration of the transmitted frame as indicated by the LENGTH field. The intended duration is indicated by the LENGTH field.

Even if data is received after exceeding the indicated data length, the data should be the stuffed bits for a consistent OFDM symbol.





A typical state machine implementation of the PLCP receive procedure is provided in Figure 117.



Figure 117, PLCP Receive State Machine

# 1.4. OFDM Physical Layer Management Entity (PLME)

## 1.4.1. PLME\_SAP Sublayer Management primitives

Table 78 lists the MIB attributes which may be accessed by the PHY sublayer entities and intra layer of higher Layer Management Entities (LME). These attributes are accessed via the PLME-GET, PLME-SET and PLME-RESET primitives defined in clause 10.

## 1.4.2. OFDM Physical Layer Management Information Base

All OFDM Physical Layer Management Information Base attributes are defined in clause 13 with specific values defined in Table 78.

Managed Object	Default Value / Range	Operational Semantics
agPhyOperationGroup		
аРНҮТуре	OFDM-5. (04)	Static
аТетрТуре	implementation dependent	Static
aCWmin	15	Static
aCWmax	1023	Static
aRegDomainsSupported	implementation dependent	Static
aCurrentRegDomain	implementation dependent	Static
aSlotTime	6 µs	Static
aCCATime	$=4 \ \mu s$	Static
aRxTxTurnaroundTime	implementation dependent	Static
aTxPLCPDelay	implementation dependent	Static
aRxTxSwitchTime	implementation dependent	Static

aTxRFDelay	implementation dependent	Static
aSIFSTime		
	13 μs	
aRxRFDelay	4 µs	Static
aRxPLCPDelay	7 μs	Static
aMACProcessingDelay	implementation dependent	Static
aPreambleLength	19 μs	Static
aPLCPHeaderLength	$12 \ \mu s$ (for 5 Mbit/s)	Static
	7 $\mu$ s (for 10 Mbit/s)	
	6 μs (for 15 Mbit/s) 5 μs (for 20 Mbit/s)	
	$4 \ \mu s$ (for 30 Mbit/s)	
	$4 \mu\text{s}$ (10F 30 WIDIUS)	
agPhyRateGroup		
aSupportedDataRatesTx	5, 10, 15, 20, 30 Mbit/s	Static
aSupportedDataRatesRx		Static
aMPDUMaxLength	5, 10, 15, 20, 30 Mbit/s 65535	Static
amrDOMaxLength	05555	Static
agPhyAntennaGroup		
aDiversitySupport	implementation dependent	Static
agPhyTxPowerGroup		
aNumberSupportedPowerLevels	implementation dependent	Static
aTxPowerLevel1	implementation dependent	Static
aTxPowerLevel2	implementation dependent	Static
aTxPowerLevel3	implementation dependent	Static
aTxPowerLevel4	implementation dependent	Static
aTxPowerLevel5	implementation dependent	Static
aTxPowerLevel6	implementation dependent	Static
aTxPowerLevel7	implementation dependent	Static
aTxPowerLevel8	implementation dependent	Static
aCurrentTxPowerLevel	implementation dependent	Dynamic
agPhyOFDMGroup		
aCurrentFrequency	implementation dependent	Dynamic
aTIThreshold	implementation dependent	Dynamic
agPhyPwrSavingGroup		
aDozeTurnonTime	implementation dependent	Static
aCurrentPowerState	implementation dependent	Dynamic
agAntennasListGroup		
aDiversitySelectRx	implementation dependent	Dynamic

## Table 78, MIB Attribute Default Values / Ranges

Notes: The column titled Operational Semantics contains two types: static and dynamic. Static MIB attributes are fixed and cannot be modified for a given PHY implementation. MIB Attributes defined as dynamic can be modified by some management entity.

# 1.5. OFDM Physical Medium Dependent Sublayer

## 1.5.1. Scope and Field of Application

This clause describes the PMD services provided to the PLCP for the OFDM Physical Layer. Also defined in this clause are the functional, electrical and RF characteristics required for interoperability of implementations conforming to this specification. The relationship of this specification to the entire OFDM PHY Layer is shown in Figure 118.



#### Figure 118, PMD Layer Reference Model

#### 1.5.2. Overview of Service

The OFDM Physical Medium Dependent Sublayer accepts Physical Layer Convergence Procedure sublayer service primitives and provides the actual means by which data shall be transmitted or received from the medium. The combined function of OFDM PMD sublayer primitives and parameters for the receive function results in a data stream, timing information, and associated received signal parameters being delivered to the PLCP sublayer. A similar functionality shall be provided for data transmission.

#### 1.5.3. Overview of Interactions

The primitives associated with the 802.11 PLCP sublayer to the OFDM PMD falls into two basic categories:

- a) Service primitives that support PLCP peer-to-peer interactions.
- b) Service primitives that have local significance and support sublayer-to-sublayer interactions.

#### 1.5.4. Basic Service and Options

All of the service primitives described in this clause are considered mandatory unless otherwise specified.

#### 1.5.4.1. PMD\_SAP Peer-to-Peer Service Primitives

The following table indicates the primitives for peer-to-peer interactions.

Primitive	Request	Indicate	Confirm	Response
PMD_DATA	Х	Х		

#### Table 79, PMD\_SAP Peer-to-Peer Service Primitives

#### 1.5.4.2. PMD\_SAP Sublayer-to-Sublayer Service Primitives

The following table indicates the primitives for sublayer-to-sublayer interactions.

Primitive	Request	Indicate	Confirm	Response
PMD_TXSTART	Х			
PMD_TXEND	Х			
PMD_TXPWRLVL	Х			
PMD_RATE	Х			
PMD_RSSI		Х		

#### Table 80, PMD\_SAP Sublayer-to-Sublayer Service Primitives

#### 1.5.4.3. PMD\_SAP Service Primitive Parameters

The following table shows the parameters used by one or more of the PMD\_SAP Service Primitives.

Parameter	Associate Primitive	Value
TXD_UNIT	PMD_DATA.request	One(1), Zero(0): one OFDM symbol value
RXD_UNIT	PMD_DATA.indicate	One(1), Zero(0): one OFDM symbol value
TXPWR_LEVEL	PMD_TXPWRLVL.request	1, 2, 3, 4 (max of 4 levels)
RATE	PMD_RATE.request	10 Mbit/s (for DBPSK) 20 Mbit/s (for DQPSK) 40 Mbit/s (for 16QAM)
RSSI	PMD_RSSI.indicate	0-8 bits of RSSI

#### Table 81, List of Parameters for the PMD Primitives

#### 1.5.5. PMD\_SAP Detailed Service Specification

The following clause describes the services provided by each PMD primitive.

#### 1.5.5.1. PMD\_DATA.request

#### Function

This primitive defines the transfer of data from the PLCP sublayer to the PMD entity.

#### Semantic of the Service Primitive

The primitive shall provide the following parameters:

#### PMD\_DATA.request(TXD\_UNIT)

The TXD\_UNIT parameter shall be the n-bit combination of "0" and "1" for one symbol of OFDM modulation. If the length of a C-MPDU is shorter than n bits, "0" bits are added to be a OFDM symbol. This parameter represents a single block of data which in turn shall be used by the PHY to be encoded into OFDM transmitted symbol.

#### When Generated

This primitive shall be generated by the PLCP sublayer to request transmission of one OFDM symbol. The data clock for this primitive shall be supplied by PMD layer based on the OFDM symbol clock.

#### Effect of Receipt

The PMD performs the differential encoding, interleaving and transmission of the data.

#### 1.5.5.2. PMD\_DATA.indicate

#### Function

This primitive defines the transfer of data from the PMD entity to the PLCP sublayer.

#### Semantic of the Service Primitive

The primitive shall provide the following parameters:

#### PMD\_DATA.indicate(RXD\_UNIT)

The RXD\_UNIT parameter shall be the n-bit combination of "0" and "1" for one symbol of OFDM modulation. This parameter represents a single symbol which has been demodulated by the PMD entity.

#### When Generated

This primitive generated by the PMD entity, forwards received data to the PLCP sublayer. The data clock for this primitive shall be supplied by PMD layer based on the OFDM symbol clock.

#### **Effect of Receipt**

The PLCP sublayer interprets the bits which are recovered as part of the PLCP convergence procedure or passes the data to the MAC sublayer as part of the MPDU.

#### 1.5.5.3. PMD\_TXSTART.request

#### Function

This primitive, generated by the PHY PLCP sublayer, initiates PPDU transmission by the PMD layer.

#### Semantic of the Service Primitive

The primitive shall provide the following parameters:

#### PMD\_TXSTART.request

#### When Generated

This primitive shall be generated by the PLCP sublayer to initiate the PMD layer transmission of the PPDU. The PHY-TXSTART.request primitive shall be provided to the PLCP sublayer prior to issuing the PMD\_TXSTART command.

#### **Effect of Receipt**

PMD\_TXSTART initiates transmission of a PPDU by the PMD sublayer.

#### 1.5.5.4. PMD\_TXEND.request

Function

This primitive, generated by the PHY PLCP sublayer, ends PPDU transmission by the PMD layer.

#### Semantic of the Service Primitive

The primitive shall provide the following parameters:

PMD\_TXEND.request

#### When Generated

This primitive shall be generated by the PLCP sublayer to terminate the PMD layer transmission of the PPDU.

#### **Effect of Receipt**

PMD\_TXEND terminates transmission of a PPDU by the PMD sublayer.

#### 1.5.5.5. PMD\_TXPWRLVL.request

#### Function

This primitive, generated by the PHY PLCP sublayer, selects the power level used by the PHY for transmission.

#### Semantic of the Service Primitive

The primitive shall provide the following parameters:

#### PMD\_TXPWRLVL.request(TXPWR\_LEVEL)

TXPWR\_LEVEL selects which of the transmit power levels should be used for the current packet transmission. The number of available power levels shall be determined by the MIB parameter aNumberSupportedPowerLevels. Clause 1.5.7.1 provides further information on the OFDM PHY power level control capabilities.

#### When Generated

This primitive shall be generated by the PLCP sublayer to select a specific transmit power. This primitive shall be applied prior to setting PMD\_TXSTART into the transmit state.

#### **Effect of Receipt**

PMD\_TXPWRLVL immediately sets the transmit power level to that given by TXPWR\_LEVEL.

#### 1.5.5.6. PMD\_RATE.request

#### Function

This primitive, generated by the PHY PLCP sublayer, selects the modulation rate which shall be used by the OFDM PHY for transmission.

#### Semantic of the Service Primitive

The primitive shall provide the following parameters:

## PMD\_RATE.request(RATE)

RATE selects which of the OFDM PHY data rates shall be used for MPDU transmission. Clause 1.5.6.5 provides further information on the OFDM PHY modulation rates. The OFDM PHY rate change capability is fully described in clause 1.3.

## When Generated

This primitive shall be generated by the PLCP sublayer to change or set the current OFDM PHY modulation rate used for the MPDU portion of a PPDU.

#### **Effect of Receipt**

The receipt of PMD\_RATE selects the rate which shall be used for all subsequent MPDU transmissions. This rate shall be used for transmission only. The OFDM PHY shall still be capable of receiving all the required OFDM PHY modulation rates.

## 1.5.5.7. PMD\_RSSI.indicate

#### Function

This primitive, generated by the PMD sublayer, provides to the PLCP and MAC entity the Received Signal Strength.

## Semantic of the Service Primitive

The primitive shall provide the following parameters:

#### PMD\_RSSI.indicate(RSSI)

The RSSI shall be a measure of the RF energy received by the OFDM PHY. RSSI indications of up to 8 bits (256 levels) are supported.

## When Generated

This primitive shall be generated by the PMD when the OFDM PHY is in the receive state. It shall be continuously available to the PLCP which in turn provides the parameter to the MAC entity.

## Effect of Receipt

This parameter shall be provided to the PLCP layer for information only. The RSSI may be used as part of a Clear Channel Assessment scheme.

## 1.5.6. PMD Operating Specifications General

The following clauses provide general specifications for the 16-QAM-OFDM, DQPSK-OFDM and DBPSK-OFDM Physical Medium Dependent sublayer. These specifications apply to both the receive and the transmit functions and general operation of the OFDM PHY.

## 1.5.6.1. Outline description

The general block diagram of transmitter and receiver for the OFDM PHY is shown in Figure 119. Major specifications for OFDM PHY are listed in Table 82.



Figure 119, An Example of Transmitter and Receiver Block Diagram for OFDM PHY

Information data rate	30, 20, 15, 10 and 5 Mbit/s
Modulation	16-QAM-OFDM
	DQPSK-OFDM
	DBPSK-OFDM
Coding rate	3/4, 1/2
Number of subcarriers	48
OFDM symbol duration	4.8 μs
Guard interval	0.758 μs
	* (TGI+ Tprefix+ Tpostfix)
Occupied Bandwidth	12 MHz
	(* Refer to clause 1.5.6.5)

 Table 82, OFDM PHY Major Parameters of OFDM PHY

#### 1.5.6.2. Operating Frequency Range

The OFDM PHY shall operate in the frequency ranges of 5.15 to 5.35 and 5.725 to 5.825 GHz as allocated by regulatory bodies in the USA and Europe.

#### 1.5.6.3. Operating Frequency Range

The OFDM PHY shall operate in the 5 GHz band as allocated by regulatory bodies in the USA and Europe.

#### 1.5.6.4. Channelization

Figure 120 shows a channelization scheme for a total bandwidth of 100 MHz. Five channels can be accommodated for an out-of-band spectral density that shall meet the local regulation. A guard band of 13.875 MHz is secured at the both ends. The outer channels may have to amplified by an HPA which has more backoff than the inner channels. This issue depends on the local regulation and HPA characteristics.



15 MHz se paration / 5 c arriers  $\,$ 



#### Figure 120, OFDM PHY Frequency Channel Plan

In a multiple cell network topology, overlapping and/or adjacent cells using different channels can operate simultaneously.

#### 1.5.6.5. Modulation

The OFDM subcarriers can be modulated by using phase shift keying or Quadrature amplitude modulation. Binary input data is converted into DBPSK, DQPSK, or 16-QAM, according to Gray code mappings, which are listed in the following tables:

Dibit pattern $(x_{I,k}, y_{I,k})$ $x_{I,k}$ is first in time	Phase Change $q_c(x_{l,k}, y_{l,k})$ (+j $\omega$ )
0	0
1	$+\pi$ (- $\pi$ )

Dibit pattern $(x_{l,k}, y_{l,k})$ $x_{l,k}$ is first in time	Phase Change $q_c(x_{l,k}, y_{l,k})$ (+j $\omega$ )
00	0
01	$\pi/2$
11	π
10	$3\pi/2$ (- $\pi/2$ )

Table 83, BPSK Encoding Table

Table 84, QPSK Encoding Table

Input data	I-out	Q-out
1010	3	3
1011	3	1
1001	3	-1
1000	3	-3
1110	1	3
1111	1	1
1101	1	-1
1100	1	-3
0110	-1	3
0111	-1	1
0101	-1	-1
0100	-1	-3
0010	-3	3
0011	-3	1
0001	-3	-1
0000	-3	-3

Table 85, 16-QAM Encoding Table

Notice the I/Q values are not normalized; in practice, all mappings should have the same average power, which is possible by dividing all values by the average constellation power. This average power is given in Table 86.

BPSK	1
QPSK	$\sqrt{2}$
16-QAM	<b>√</b> 10

Table 86, Average Power of Constellations

Starting at time  $t=t_s$ , an OFDM symbol  $r_k(t)$  is defined as:

$$r_{k}(t) = \operatorname{Re}\left\{w(t-t_{s})\sum_{\substack{i=-\frac{N_{s}}{2}\\i\neq 0}}^{\frac{N_{s}}{2}} d_{i+(N_{s}+1)(k+1/2)} \exp(j2p(f_{c}-\frac{i}{T})(t-t_{s}-T_{prefix}))\right\}, t_{s} \leq t \leq t_{s} + T + T_{prefix} + T_{postfix}$$

$$r_{k}(t) = 0, t < t_{s} \land t > t_{s} + T + T_{prefix} + T_{postfix}$$

(5)

A packet of *K* OFDM symbols can now be written as:

$$r(t) = \sum_{k=0}^{K} r_k (t - kT_s)$$
(6)

In (5),  $d_i$  is the *i*th QAM value, which is obtained by mapping input bits according to the method described in 1.5.6.5.

w(t) is the raised cosine pulse shaping function with a roll-off factor b of 0.025. It is defined as:

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$$w(t) = \begin{cases} 0.5 + 0.5 \cos\left(p + \frac{tp}{bT}\right) & 0 \le t \le bT \\ 1.0 & bT \le t \le T_s \\ 0.5 + 0.5 \cos\left(\frac{(t - T_s)p}{bT}\right) & T_s \le t \le T_s + bT \end{cases}$$
(7)

The OFDM signal can be generated as follows: first, groups of 48 data symbols  $d_i$  are padded with zeros to get blocks of 64 input samples which are used to calculate an Inverse Fast Fourier Transform (IFFT). Then, the last  $T_{prefix}$  samples of the IFFT output are inserted at the start of the OFDM symbol, and the first  $T_{postfix}$  samples are appended at the end. The OFDM symbol is then multiplied by a raised cosine window w(t) to reduce the power of out-of-band subcarriers. The OFDM symbol is then added to the output of the previous OFDM symbol with a delay of  $T_s$ , such that there is an overlap region of b  $T_s$ , where b is the roll-off factor the raised cosine window. The time structure of OFDM symbols is depicted in Figure 121. Relevant OFDM parameters are listed in Table 87.



$N_s$ : Number of subcarriers	48
$T_s$ : Symbol interval	4.8 μs
T : IFFT/FFT period	$4.042 \mu s  (T_s \cdot 64/76)$
$T_G$ : Guard time	758 ns $(T_s-T)$
$T_{prefix}$ : Pre-guard interval	758 ns $(T_s-T)$
$T_{postfix}$ : Post-guard interval	101 ns (0.025 <i>T</i> )
b : Roll-off factor	0.025

#### Table 87, OFDM parameters

#### 1.5.6.6. Data Interleaving

All data bits shall be interleaved with an interleaving depth of one OFDM symbol. This means that the interleaving depth is 48, 96 or 192 bits for DBPSK, DQPSK and 16-QAM, respectively. For the various interleaving depths d, the *i*th interleaved bit is equal to the *k*th input bit, where *k* is given by:

k=8i-(d-1)floor(8i/d)

## 1.5.6.7. Differential coding

Differential coding shall be performed for DQPSK and DBPSK modulation. In a reception end, coherent detection or differential detection can be used. The first data symbol that comes after the SIGNAL shall be encoded by the difference between T2 (second long training symbol) and the first data symbol.

## 1.5.6.8. Orthogonal Frequency Division Multiplexing (OFDM)

Orthogonal Frequency Division Multiplexing (OFDM) shall be performed as per the following Inverse Discrete Fourier Transform (IDFT) operation.

$$a_{k}(t) = \sum_{n=-N/2+1}^{N/2} A'_{n,k} e^{j^{2p} \frac{n}{T}t}$$

$$A'_{n,k} = \begin{cases} 0 & (24 < n \le N/2) \\ A & (1 \le n \le 24) \\ 0 & (n = 0) \\ 0 & (n = 0) \\ A_{n+23,k} & (-24 \le n \le -1) \\ 0 & (-N/2+1 \le n < -24) \end{cases}$$
(8)

where  $N = 2^m$ , *m* is integer and  $m \ge 6$ .

The subcarrier frequency allocation is shown in Figure 122. To avoid difficulties in D/A and A/D converter offsets and carrier feedthrough in the RF system, the subcarrier falling at D.C. (n = 0) is not used.



Figure 122, Subcarrier Frequency Allocation

## 1.5.6.9. Transmit and Receive In Band and Out of Band Spurious Emissions

The OFDM PHY shall conform to in-band and out-of-band spurious emissions as set by regulatory bodies. For the USA, refer to FCC 15.407.

## 1.5.6.10. TX RF Delay

The TX RF Delay time shall be defined as the time between the issuance of a PMD.DATA.request to the PMD and the start of the corresponding symbol at the air interface.

## 1.5.6.11. Slot Time

The slot time for the OFDM PHY shall be 6  $\mu$ s, which is the sum of the RX to TX turnaround time, MAC processing delay and the RSSI detect time (<4  $\mu$ s). The propagation delay shall be regarded as being included in the RSSI detect time.

## 1.5.6.12. Transmit and Receive Antenna Port Impedance

The transmit and receive antenna port(s) impedance shall be  $50\Omega$  if the port is exposed.

## 1.5.6.13. Transmit and Receive Operating Temperature Range

Three temperature ranges for full operation compliance to the OFDM PHY are specified in clause 13. Type 1, defined as  $0^{\circ}$ C to  $40^{\circ}$ C, is designated for office environments. Type 2, defined as  $-20^{\circ}$ C to  $+50^{\circ}$ C, and Type 3, defined as  $-30^{\circ}$ C to  $+70^{\circ}$ C, are designated for industrial environments.

## 1.5.7. PMD Transmit Specifications

The following portion of these subclauses describes the transmit functions and parameters associated with the Physical Medium Dependent sublayer. In general, these are specified by primitives from the PLCP and the Transmit PMD entity provides the actual means by which the signals required by the PLCP primitives are imposed onto the medium.

## 1.5.7.1. Transmit Power Levels

The maximum allowable output power is shown in Table 88.

Frequency Band	Maximum Output Power with up to 6 dBi antenna gain
5.15 - 5.25 GHz	30 mW (2.5 mW/MHz)
5.25 - 5.35 GHz	150 mW (12.5 mW/MHz)
5.725 - 5.825 GHz	600 mW (50 mW/MHz)

#### **Table 88, Transmit Power Levels**

#### 1.5.7.2. Transmit Spectrum Mask

The transmitted spectrum shall have a -20 dBr (dB relative to the spectral density at the carrier frequency) bandwidth not exceeding 20 MHz. The transmitted spectral density of the transmitted signal shall fall within the spectral mask as shown in 123. The measurements shall be made using 100 kHz resolution bandwidth and a 30 kHz video bandwidth.



Figure 123, Transmit Spectrum Mask

## 1.5.7.3. Transmission Spurious

The transmission spurious from compliant device shall conform to the local geographic regulations.

## 1.5.7.4. Transmit Center Frequency Tolerance

The transmitted center frequency tolerance shall be +/- 40 ppm maximum.

## 1.5.7.5. Transmit Modulation Accuracy

It is the actual value of the error of the signal point vector (the square root of the result of dividing the sum of the squares of the errors of the signal point vectors by the number of phase identification points within the frame). It shall be measured when only one OFDM subcarrier is used and no other subcarriers are used. It is to be 10.0 % or less.

## 1.5.7.6. Symbol Clock Frequency Tolerance

The symbol clock frequency tolerance shall be better than +/- 40 ppm maximum.

#### 1.5.8. PMD Receiver Specifications

The following clauses describe the receive functions and parameters associated with the Physical Medium Dependent sublayer.

#### 1.5.8.1. Receiver Minimum Input Level Sensitivity

The Packet Error Rate (PER) shall be less than 10% at an MPDU length of 1000 bytes for an input level of -77 dBm for 30 Mbit/s (coherent detection), -81 dBm for 20 Mbit/s (coherent detection), -83 dBm for 15 Mbit/s (differential detection), -86 dBm for 10 Mbit/s (differential detection) and -89 dBm for 5 Mbit/s (differential detection) measured at the antenna connector. (Nf of 10 dB is assumed)

#### 1.5.8.2. Receiver Maximum Input Level

The receiver shall provide a maximum PER of 10% at an MPDU length of 1000 bytes for a maximum input level of -25 dBm measured at the antenna.

## 1.5.8.3. Receiver Adjacent Channel Rejection

Adjacent channel rejection is defined between any two channels defined in clause 1.5.6.4.

The adjacent channel rejection shall be equal to or better than 11 dB for 30 Mbit/s, 15 dB for 20 Mbit/s, 17 dB for 15 Mbit/s, 21 dB for 10 Mbit/s and 23 dB for 5 Mbit/s dB with an PER of 10% at an MPDU length of 1000 bytes.

#### 1.5.8.4. Reception Level Detection

The OFDM PHY shall provide the capability to detect the reception level. The reception level detection values (RF level predicted values) for RF input level of  $-89 \text{ dBm} \sim -30 \text{ dBm}$  have monotonically increasing characteristics, and absolute accuracy is  $\pm 6 \text{ dB}$ .