#### Standards Breakthroughs to Lower BWA Costs and Spread Risk: The IEEE 802.16 WirelessMAN<sup>TM</sup> Standard

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To inform the Working Group concerning an address on IEEE 802.16 given by the Working Group Chair at the 2002 Technical
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# Standards Breakthroughs to Lower BWA Costs and Spread Risk: The IEEE 802.16 WirelessMAN™ Standard



#### http://WirelessMAN.org

#### Roger B. Marks

National Institute of Standards and Technology (U.S.) Chair, IEEE 802.16 Working Group

#### Have you heard the news?

- IEEE 802.16 is delivering what it promised!
- Approval for Publication (6 December 2001)

   IEEE Standard 802.16: Air Interface for Fixed Broadband Wireless Access Systems (10-66 GHz)
   Final draft complete and in catalog since October 2001
- Publication (10 September 2001)

   IEEE Standard 802.16.2: Recommended Practice-Coexistence of Fixed Broadband Wireless Access Systems (10-66 GHz)

#### IEEE 802.16 is still in business!

- Two follow-on projects, each an amendment to the base standard:
- IEEE Project P802.16a (amends 802.16)
  - Standard Air Interface
  - Enhancement to include 2-11 GHz (licensed or not)
  - Based on the MAC in IEEE Standard 802.16
  - Completion planned for August 2002
- IEEE Project P802.16.2a (amends 802.16.2)
  - Recommended Practice on Coexistence
  - Enhancement to include 2-11 GHz
  - New recommendation regarding point-to-point
  - Completion planned for March 2003

### **IEEE 802.16 Project Structure**

Air Interface (Standard) **Coexistence** (Recommended Practice)

IEEE Standard 802.16 (ratified) MAC 10-66 GHz PHY IEEE Standard 802.16.2 (published)

10-66 GHz

P802.16a 2-11 GHz PHY MAC enhancements **P802.16.2**a 2-11 GHz

in ballot Completion: August 2002

in development Completion: March 2003

#### **Steady Progress**

- Since 1998, we have steadily followed our timetable and carried out our plan.
  - IEEE Standard 802.16 was 8 months behind original project plan (written in January 1999)

Not bad. Delayed by adaptation for lower frequencies.

- We have heard many predictions of our failure.
- We have seen many critics come and go.
- Let's review their concerns:

Top Ten Reasons Why IEEE 802.16 Is Doomed to Failure

#### **#10:** It's too late to start

 Now, in 1998, it's too late to start working on BWA standards; the technology is too mature, and deployments are going ahead.

- Deployments did go ahead, but perhaps not as fast as the industry would have liked.
- Early technology was proven immature.
- The evidence shows that industry, and the currently risk-averse financial community behind it, wants standards.

#### **#9:** It's too early to start.

 It's too early to work on BWA standards; the technology is not yet mature or deployed. You will lock in an immature technology.

- Standards take time. If you can find people to work on them, then it's time to make them.
- IEEE Standard 802.16 was designed by a dedicated team of the world's top engineers.
  - It did not arrive on a platter.
  - It is more sophisticated than the starting technologies.
  - It is flexible and will allow great vendor differentiation.
  - It will evolve through amendments.
    - Examples: IEEE 802.3 (Ethernet ) and 802.11

### **#8: The Government will handle it.**

 This sounds like a lot of work. We can let the Government handle it.

- U.S. Government hasn't cared what technology you use (Homeland Security may change this).
- U.S. Government lacks the resources and lacks the expertise to make your business decisions.
- U.S. Government is mandated by law to follow private-sector standards.
- There are a lot of governments. A U.S.
   Government standard may not be the best basis of a global standard.

### **#7: We can't leave this to the Government!**

- I hear the Chair works for the U.S. Government. We don't want the Government to set our standards.
- **REALITY:**
- Technical decisions are made by the Working Group, not the Chair.
- Of 178 Members of IEEE 802.16, the Chair is the only U.S. Government employee.
- The U.S. Federal Government is mandated by law to support the development of private-sector standards.
- Steady support of the Chair in this position, since 1998, has aided progress.

#### #6: Interoperability doesn't matter.

- All we need is coexistence standards. Interoperability doesn't matter since the radios don't roam and require only local connectivity.
   REALITY:
- In 2001, the IEEE 802.16 Working Group completed BOTH a coexistence and an interoperability standard (10-66 GHz).
- Roaming aside, interoperability does matter.
- Standards have proven their merit in other networks with local connectivity (examples: IEEE 802.3 [Ethernet]; cable modems; ADSL).

#### **#5: ETSI is too far ahead.**

- ETSI HIPERACCESS has a big head start. REALITY:
- 802.16 caught up with and sped by HIPERACCESS long ago.
- HIPERACCESS is struggling to create a first draft.
- Last fall, ETSI HIPERMAN made formal decisions to embrace 802.16 as a baseline.

#4: It's only a bunch of human beings.
The IEEE process is based on individual, not corporate, members. What difference can a bunch of humans make?

- IEEE 802.16 Working Group members are humans acting as professional experts.
  - Membership earned by participation only; no "dues".
- Of course, people are sponsored by companies and generally act in company interest.
- Standards balance the business and technical concerns, but the process strengthens the technical side.
- We build teams & rely on human relationships.

#### **People and Relationships Matter**



Congratulations to Phil and Rebecca on their engagement!

### #3: No one will participate.

- Companies are too busy making product. They won't participate.
- **REALITY:**
- 178 Members
- 34 "Potential Members"
- 52 Official Observers
- >700 different individuals have attended a session
- Members and Potential Members from
  - 10 countries
  - >110 companies

### #2: Too many people will participate.

- You will attract so many people with different interests that you will never reach consensus.
   REALITY:
- In IEEE, Consensus is not unanimity.
   75% majority decides
- It's possible to make tough decisions.
  - 10-66 GHz effort began with 35 proposals
    - Consolidated into one unified result.
- IEEE Ballot process.
  - Opens Working Group output to all interested parties.
  - "Balanced" voting group.

# #1: My consortium will set the standard. Formal standards groups are SO Twentieth Century. Only consortia matter these days. REALITY:

- Wireless DSL Consortium closed in favor of backing IEEE 802.16 and WCA
- OFDM Forum and TDD Coalition support their positions within IEEE 802.16
- BWIF has retracted, particularly after HIPERMAN chose 802.16 instead
- Consortia have a place in supporting standards through interoperability testing, etc.
  - 802.11 has WECA
  - 802.16 has the WiMAX Forum

# WiMAX Forum

- WiMAX: Worldwide Interoperability for Microwave Access
- Mission: To promote deployment of BWA above 11 GHz by using a global standard and certifying interoperability of products and technologies.

#### • Principles:

- Support IEEE 802.16 above 11 GHz
- Propose access profiles for the IEEE 802.16 standard
- Guarantee known interoperability level
- Promote IEEE 802.16 standard to achieve global acceptance
- Open for everyone to participate

#### Info: WiMAX President, Mika Skarp (Nokia)

# 10-66 GHz PHY in IEEE Std 802.16

- Burst operation
  - earlier continuous mode option was deleted
- Duplex schemes
  - Time-Division Duplex (TDD)
  - Frequency-Division Duplex (FDD)
- Adaptive Burst Profiles on Uplink and Downlink
- Broadband Channels
- Multiple Access
  - TDM/TDMA

# **Physical Layer Adaptivity**



#### (burst-by-burst adaptivity not shown)

### **Adaptive Burst Profiles**

- Burst profile
  - Modulation and FEC
- Dynamically assigned
  - by Base Station
  - according to link conditions
  - burst by burst, per subscriber station
- Trade-off capacity vs. robustness – in real time
- Allows vendor to implement innovative schemes to efficiently use the spectrum while remaining interoperable.

#### **TDD Downlink Subframe**



#### **DIUC: Downlink Interval Usage Code**

# **FDD Framing**



#### Allows great scheduling flexibility

# Modulation (10-66 GHz)

- Single Carrier QAM, Gray coded – QPSK
  - -16QAM
  - Mandatory for Downlink, Optional for Uplink -64QAM
    - Optional for both Downlink & Uplink

# FEC

- Reed Solomon

   RS GF(256), t = 0...16
- For robust communications, the RS code is concatenated with a BCC
  - -No interleaving, suitable for burst
  - BCC is a rate 2/3 block code based on a tail-bite termination of the  $(7,5)_8$  Convolutional Code for every 16 data bits
- Shortening allowed
- Turbo Product Codes (TPC) are optional

# Baud Rates & Channel Size (10-66 GHz)

 Flexible plan - allows equipment manufactures to choose according to spectrum requirements

		QPSK	16-QAM	64-QAM
Channel	Symbol	Bit Rate	Bit Rate	Bit Rate
Width	Rate			
(MHz)	(Msym/s)	(Mbit/s)	(Mbit/s)	(Mbit/s)
20	16	32	64	96
25	20	40	80	120
28	22.4	44.8	89.6	134.4

# 802.16 MAC: Overview

- Connection-oriented
- Supports difficult user environments
  - High bandwidth on demand, hundreds of users per channel
  - Continuous and bursty traffic
  - Very efficient use of spectrum
- Protocol-Independent core (ATM, IP, Ethernet, ...)
  - ATP-based and Packet-based Convergence layers
- Balances between stability of contentionless and efficiency of contention-based operation
- Flexible QoS offerings
  - CBR, rt-VBR, nrt-VBR, BE, with granularity within classes
- Solid privacy and encryption
- Many options for vendor innovation and differentiation
   e.g., scheduling
- Built for support of multiple PHYs

# Key Features of 802.16a PHY (2-11 GHz)

#### • TDD or FDD

- OFDM/OFDMA

- Single-Carrier TDMA

with Frequency-Domain Equalization

# Key 802.16a MAC Enhancements

- OFDM/OFDMA Support
- ARQ
- Mesh Mode
  - Optional topology for Unlicensed Operation
  - Subscriber-to-Subscriber communications

### **International Impact**

"IEEE 802.16a Broadband Wireless Access (BWA) Standard Development and Internet Application": conference sponsored by the government of People's Republic of China on 24 August 2001 in Beijing "<u>on the specific topic of whether to</u> <u>use 802.16a as the Chinese national standard for fixed broadband wireless</u> <u>access at 3.5 GHz</u>"

- 240 people (100 from government; 80 from telecom operators)



# What's Next

 Complete 2-11 GHz work Enhance 10-66 GHz spec -Interoperability test protocols Develop and Publish Implement (WiMAX) Expand reach under 802.16 MAC -Higher millimeter waves, FSO, etc. Consider support for mobility Aim to be 4G

# **How to Participate**

-Attend meetings –Join us next week in Levi, Finland -> 100 miles North of Arctic Circle -or March in St. Louis **–Read reflector** -Read documents -Submit documents & comments **Join sponsor ballot pool** 

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# Conclusions

- With its steady progress, IEEE 802.16 is a BWA success story.
- The IEEE 802.16 MAC is a futurelooking platform for an array of services.
- The advanced IEEE 802.16 10-66 GHz PHY is coming soon to a chip set near you.
- WiMAX will support compliance tests.
  IEEE 802.16a (2-11 GHz) this summer.

# **IEEE 802.16 Resources**

#### IEEE 802.16 Working Group on Broadband Wireless Access

#### info, documents, email lists, etc:

#### http://WirelessMAN.org

