| Project           | IEEE 802.16 Broadband Wireless Access Working Group <a href="http://ieee802.org/16">http://ieee802.org/16</a> >  |
|-------------------|--|
| Title             | MAC messages encapsulation   |
| Date<br>Submitted | 2007-09-07   |
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| Re:               | IEEE 80216h-07/019   |
| Abstract          | During meeting #50, LE TG have agreed to encapsulate all inter system communications messages into CX-MAC/RSP MAC message. This contribution provides text remedy on intersystem communication MAC messages encapsulation.   |
| Purpose           | Accept.  |
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# MAC messages encapsulation

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#### Introduction

During meeting #50, LE TG have agreed to encapsulate all inter system communications messages into CX-REQ/RSP MAC message.

Inter-system communication messages are exchanged between peers, e.g. BS and BSIS or BS and BS or BS and SS. They can be transmitted over the air or over the backhaul. They can be encapsulated into two CX messages, CX-REQ message and CX-RSP message. All inter-system communication messages from source BS to destination BS are encapsulated into CX-REQ message and all inter-system communication messages from destination BS to source BS are encapsulated into CX-RSP message.

Inter-system communication messages can be categorize to broadcast messages, multicast messages and dedicated messages. Broadcast messages are transmitted by broadcast CID. Multicast messages are transmitted by multicast CID. Dedicated messages are transmitted by basic CID.

Messages mainly transmitted over the air interface are listed in table 14 of section 6.3.2.3. Messages mainly transmitted over the backhaul are listed in table h9 of section 15.5. The main work of this document is harmonizing these two sections, removing duplicated messages and simplifying the implementation.

## **Proposed Solution**

- 1. Rename the CXP-REQ/RSP MAC message to CX-REQ/RSP MAC message.
- 2. CX-REQ/RSP MAC messages can be transmitted by broadcast CID, multicast CID or basic CID.
- 3. Modify the CX-REQ/RSP MAC message format so that it is suitable to transmission both over the air and over the backhaul.
- 4. Give every intersystem communication message of table 14 a message code and add them into table h9, including BSD, SSURF, BS\_CCID\_RSP, BS\_CCID\_REQ, all CT-CX messages, ACCESS-NBS-REQ, ACCESS-NBS-RSP and FORWARD\_END\_REQ.
- 5. Move section 6.3.2.3.62~6.3.2.3.72, 6.3.2.3.75, 6.3.2.3.76 to section 15.5. And harmonize two parts.
- 6. Add a column in table h9 to indicate which CID to be used.

# **Proposed Text**

# 6.3.2.3 MAC management messages

[Insert the following rows into Table-14 MAC management messages as indicated]

Table 14 MAC management message

| Type   | Message Name             | Message Description                      | Connection                |
|--------|--------------------------|--|---------------------------|
| 67     | Reserved BSD             | Base Station Descriptor                  | Broadcast                 |
| 68     | Reserved SSURF           | SS Uplink RF Descriptor                  | <del>Basic</del>          |
| 69     | BS_CCID_REQ              | Base Station Co-Channel Interference     | Basic                     |
|        |                          | Detection Indication                     |                           |
| 70     | BS_CCID_RSP              | Base Station Co-Channel Interference     | Basic                     |
|        |                          | Detection Response                       |                           |
| 71     | CX <del>P</del> -REQ-MAC | Coexistence Protocol Request MAC message | Broadcast/Basic/Multicast |
| 72     | CX <del>P</del> -RSP-MAC | Coexistence Protocol Response MAC        | Broadcast/Basic/Multicast |
|        |                          | message                                  |                           |
| 73     | ACCESS-NBS-REQ           | Access neighbor BS requirement message   | Basic                     |
| 74     | ACCESS-NBS-RSP           | Access neighbor BS response message      | Basic                     |
| 75     | FORWARD-END-             | Forward end request message              | Basic                     |
|        | REQ                      |  |                           |
| 76     | OCSI-MNTR-REQ            | CSI monitoring request message           | Broadcast                 |
| 77     | OCSI-MNTR-RSP            | CSI monitoring response message          | Basic                     |
| 78-255 | Reserved                 |  |                           |

[Move section 6.3.2.3.62~6.3.2.3.63, 6.3.2.3.64~6.3.2.3.70 to section 15.5 with following updated text.] [Replace section 6.3.2.3.73~6.3.2.3.74 with following paragraphs.]

## 6.3.2.3.6273 Coexistence Protocol Request MAC message (CX-REQ-MAC)

This message encapsulates the Coexistence Protocol request MAC messages inter-system communication messages from source BS to destination BS. For downlink, based on the number of forwarding SS, CX-REQ-MAC messages may be transmitted using broadcast CID, multicast CID or basic CID. For uplink, CX-REQ-MAC messages should be transmitted using forwarding SS's basic CID allocated by destination BS.

CX-REQ MAC management message shall include the following parameters:

**CX Message code:** The Code is one byte and identifies the type of coexistence message packet. When a packet is received with an invalid Code, it shall be silently discarded. The code values are defined in Table h 9 of section 15.5.

**TLV Encoded Attributes:** Coexistence message attributes carry the specific authentication, coexistence resolution, and coexistence negotiation data exchanged between peers. Each coexistence message packet type has its own set of required and optional attributes. Unless explicitly stated, there are no requirements on the ordering of attributes within a CX message. The end of the list of attributes is indicated by the LEN field in the MAC PDU header. The TLV encoded attributes values are defined in Table h10 of section 15.5.

| Syntax                      | <u>Size</u> | <u>Notes</u> |
|-----------------------------|-------------|--------------|
| CX-REQ_message_Format() {   |             |              |
| Management Message Type =XX | 8bits       |              |
| CX Message code             | 8bits       |              |

| TLV Encoded Attributes | variable | TLV Specific |
|------------------------|----------|--------------|
| <u>}</u>               |          |              |

## 6.3.2.3.6374 Coexistence Protocol Response MAC message (CX-RSP-MAC)

This message encapsulates the Coexistence Protocol request MAC messages inter-system communication messages from destination BS to source BS. For downlink, based on the number of forwarding SS, CX-RSP-MAC messages may be transmitted using broadcast CID, multicast CID or basic CID allocated by destination BS. For uplink, CX-RSP-MAC messages should be transmitted using forwarding SS's basic CID allocated by source BS.

CX-RSP MAC management message shall include the following parameters:

**CX Message code:** The Code is one byte and identifies the type of coexistence message packet. When a packet is received with an invalid Code, it shall be silently discarded. The code values are defined in Table h 9 of section 15.5.

**TLV Encoded Attributes:** Coexistence message attributes carry the specific authentication, coexistence resolution, and coexistence negotiation data exchanged between peers. Each coexistence message packet type has its own set of required and optional attributes. Unless explicitly stated, there are no requirements on the ordering of attributes within a CX message. The end of the list of attributes is indicated by the LEN field in the MAC PDU header. The TLV encoded attributes values are defined in Table h10 of section 15.5.

| <u>Syntax</u>                        | Size     | <u>Notes</u> |
|--------------------------------------|----------|--------------|
| <pre>CX-RSP message Format() {</pre> |          |              |
| Management Message Type =XX          | 8bits    |              |
| CX Message code                      | 8bits    |              |
| TLV Encoded Attributes               | variable | TLV Specific |
| 1                                    |          |              |

#### 6.3.2.3.x Forward end request message (FORWARD-END-REQ)

This message is encapsulated as a FORWARD-END-REQ MAC message.

This message will end Inter-system communication procedures via SS forward. This message is transmitted by source BS to forward SS and/or from forward SS to destination BS.

Code: X

Attributes are shown in *Table hx*.

Table hx – FORWARD END REQ message attributes

| <u>Attribute</u>    | <u>Contents</u>  |
|---------------------|--|
| BSID of Destination | The BSID of BS which response to the inter-system communication request. |
| BSID of source BS   | The BSID of BS which initiates the Inter-system communication procedure. |

# 11.20 BSD and SSURF Message and Encodings

IP\_Proxy\_Address\_IE Encoding:

| Name              | <del>Type</del> | Length        | Value                                  |
|-------------------|-----------------|---------------|--|
|                   | (1 byte)        | (1 byte)      |  |
| ProxyIPv4 Address | 2               | 4             | Proxy IP address if IPv4 is supported. |
| ProxyIPv6 Address | 2               | <del>16</del> | Proxy IP address if IPv6 is supported. |

There can be one and only one information element in an IP Address IE.

# 15.5 Messages for WirelssMAN-CX

## 15.5.1 Coexistence Protocol (CXP) message (CXP-REQ/RSP)

The Coexistence Protocol employs two message types: CXP Request (CXP-REQ) and CXP Response (CXP-RSP), as described in *Table h* 7

Table h7 CX—P message

| Type            | Message Name         | Message Description                             |
|-----------------|----------------------|---|
| <del>0</del> 71 | CX <del>₽</del> -REQ | Coexistence Resolution and Negotiation Request  |
| <del>1</del> 72 | CX <del>P</del> -RSP | Coexistence Resolution and Negotiation Response |

These CXP messages can be encapsulated as MAC Messages, over the 802.16 air interface, or as Internet Protocol messages (TCP/IP or UDP). The CXP management messages are exchanged between peers, e.g. BS and BSIS or BS and BS or BS and SS., and distinguish between CXP requests (BS -> BS/BSIS/SS or SS-> BS) and CXP responses (BS/BSIS/SS -> BS or SS->BS). Each MAC/IP message encapsulates one CXP message in the Management Message Payload. Coexistence Protocol messages exchanged between the BS and BS or between BS and BSIS or between BS and SS shall use the form shown in *Table h 8section 6.3.2.3.62 and 6.3.2.3.63*.

[Delete text from Page 144 Line 1 to P145 Line 25.]

[Insert following paragraph before table h9.]

Coexistence messages may be encapsulated to CX-REQ/RSP MAC messages. CX message codes are used to identify the type of coexistence message packet. The code values are defined in table h9.

[Update table h9 of subclause 15.5.1 as described below.]

Table h9 CXP message codes

| Code | CX Message Name | CX      | Protocol | Direction | Connection |
|------|-----------------|---------|----------|-----------|------------|
|      |                 | Message | Type     |           |            |

|    |   | Туре                   | over the backhaul |            |           |
|----|---|------------------------|-------------------|------------|-----------|
| 0  | Reserved                                  |                        |                   |            |           |
| 1  | Identify Coexistence Request              | CX <del>P</del> -REQ   | ТСР               | BSIS->BSIS | Basic     |
| 2  | Identify Coexistence Response             | CX <del>P</del> -RSP   | ТСР               | BSIS->BSIS | Basic     |
| 3  | Reserved BSD                              | CX-REQ                 | <u>n/a</u>        | BS->SS     | Broadcast |
| 4  | Reserved SSURF                            | CX-REQ                 | <u>n/a</u>        | SS->BS     | Basic     |
| 5  | Reserved                                  |                        |                   |            |           |
| 6  | Reserved                                  |                        |                   |            |           |
| 7  | Reserved                                  |                        |                   |            |           |
| 8  | Reserved                                  |                        |                   |            |           |
| 9  | Leaving Neighborhood Indication           | CX <del>P</del> -REQ   | ТСР               | BS->BSIS   | Basic     |
| 10 | Leaving Neighborhood Reply                | CX <del>P</del> -RSP   | ТСР               | BSIS->BS   | Basic     |
| 11 | Add Coexistence Neighbor Request          | CX <del>P</del> -REQ   | TCP               | BS->BS     | Basic     |
| 12 | Add Coexistence Neighbor Reply            | CX <del>P</del> -RSP   | TCP               | BS->BS     | Basic     |
| 13 | Reserved                                  |                        |                   |            |           |
| 14 | Reserved                                  |                        |                   |            |           |
| 15 | Delete Coexistence Neighbor<br>Request    | CX <del>P</del> -REQ   | TCP               | BS->BS     | Basic     |
| 16 | Delete Coexistence Neighbor Reply         | CX <del>P</del> -RSP   | ТСР               | BS->BS     | Basic     |
| 17 | Get_Param_For_Radio_Signature_<br>Request | CX <del>P</del> -REQ   | UDP               | BS->BS     | Basic     |
| 18 | Get_Param_For_Radio_Signature_<br>Reply   | CX <mark>P</mark> -RSP | UDP               | BS->BS     | Basic     |
| 19 | Evaluate_Interference_Request             | CX <del>P</del> -REQ   | UDP               | BS->BS     | Basic     |
| 20 | Evaluate_Interference_Reply               | CX <del>P</del> -RSP   | UDP               | BS->BS     | Basic     |
| 21 | Work_In_Parallel_Request                  | CX <del>P</del> -REQ   | UDP               | BS->BS     | Basic     |
| 22 | Work_In_Parallel_Reply                    | CX <del>P</del> -RSP   | UDP               | BS->BS     | Basic     |
| 23 | Reduce_Power_or_Quit_Sub_Fram e_Request   | CX <del>P</del> -REQ   | UDP               | BS->BS     | Basic     |
| 24 | Reduce_Power_or_Quit_Sub_Fram e_Reply     | CX <mark>P</mark> -RSP | UDP               | BS->BS     | Basic     |
| 25 | Reserved                                  |                        |                   |            |           |
| 26 | Reserved                                  |                        |                   |            |           |
| 27 | SS_CCID_IND                               | CX <del>P</del> -REQ   | UDP               | BS->BS     | Basic     |
| 28 | SS_CCID_RSP                               | CX <del>P</del> -RSP   | UDP               | BS->BS     | Basic     |

| 29 | PSD_REQ   | CX <del>P</del> -REQ   | UDP | BS->BS     | <u>Basic</u> |
|----|---|------------------------|-----|------------|--------------|
| 30 | PSD_RSP   | CX <del>P</del> -RSP   | UDP | BS->BS     | Basic        |
| 31 | Channel Switch Negotiation<br>Request                                 | CX <del>P</del> -REQ   | ТСР | BS->BS     | Basic        |
| 32 | Channel Switch Negotiation Reply                                      | CX <del>P</del> -RSP   | ТСР | BS->BS     | Basic        |
| 33 | Channel Switch Request  | CX <del>P</del> -REQ   | ТСР | BS->BS     | Basic        |
| 34 | Channel Switch Reply  | CX <mark>P</mark> -RSP | ТСР | BS->BS     | Basic        |
| 35 | CT-CXP Advertisement Request (CT-CX-ADV-REQ)                          | CX <del>P</del> -REQ   | ТСР | BS->BS     | Basic        |
| 36 | CT-CXP Advertisement Reply (CT-CX-ADV-RSP)                            | CX <del>P</del> -RSP   | ТСР | BS->BS     | Basic        |
| 37 | CT-CXP Negotiation Request (CT-CX-NEG-REQ)                            | CX <del>P</del> -REQ   | ТСР | BS->BS     | Basic        |
| 38 | CT-CXP Negotiation Reply (CT-CX-NEG-RSP)                              | CX <del>P</del> -RSP   | ТСР | BS->BS     | Basic        |
| 39 | CT-CXP Resource Allocation Request (CT-CX-RA-REQ)                     | CX <del>P</del> -REQ   | ТСР | BS->BS     | Basic        |
| 40 | CT-CXP Resource Allocation Reply (CT-CX-RA-RSP)                       | CX <del>P</del> -RSP   | ТСР | BS->BS     | Basic        |
| 41 | Reserved CT-CX Advertisement Discovery Policy Descriptor (CT-CX-ADPD) | <u>CX-RSP</u>          | TCP | BS->BS     | Basic        |
| 42 | Reserved CT-CX Acknowledgement (CT-CX-ACK)                            | <u>CX-RSP</u>          | TCP | BS->BS     | Basic        |
| 43 | Reserved CT-CX Notification (CT-CX-NOT)                               | <u>CX-RSP</u>          | TCP | BS->BS     | Basic        |
| 44 | Reserved  |                        |     |            |              |
| 45 | Reserved  |                        |     |            |              |
| 46 | Reserved  |                        |     |            |              |
| 47 | Reserved  |                        |     |            |              |
| 48 | Reserved  |                        |     |            |              |
| 49 | Reserved  |                        |     |            |              |
| 50 | Reserved  |                        |     |            |              |
| 51 | Reserved  |                        |     |            |              |
| 52 | Reserved  |                        |     |            |              |
| 53 | Regulatory Authority Request  | CX <del>P</del> -REQ   | ТСР | RAIS->BSIS | Basic        |
| 54 | Regulatory Authority Response   | CX <del>P</del> -RSP   | ТСР | BSIS->RAIS | Basic        |
| 55 | FREQ_AVOIDANCE Request  | CX <del>P</del> -REQ   | ТСР | BSIS->BS   | Basic        |

| 56         | FREQ_AVOIDANCE Response        | CX <del>P</del> -RSP | TCP | BS->BSIS | Basic |
|------------|--------------------------------|----------------------|-----|----------|-------|
| 57         | Master Subframe Switch Request | CX <del>P</del> -REQ | TCP | BS->BS   | Basic |
| 58         | Master Subframe Switch Reply   | CX <del>P</del> -RSP | TCP | BS->BS   | Basic |
| 59         | OCSI backoff request message   | CX <del>P</del> -REQ | TCP | BS->BS   | Basic |
| 60         | OCSI backoff response message  | CX <del>P</del> -RSP | TCP | BS->BS   | Basic |
| 61-<br>255 | Reserved                       |                      |     |          |       |
|            |                                |                      |     |          |       |
|            |                                |                      |     |          |       |
|            |                                |                      |     |          |       |

# [Update table h10 of subclause 15.5.1 as described below.]

# Table h10 CXP message codes

| Type      | Parameter Description                 | Length (bytes)  | Comment   |
|-----------|---------------------------------------|-----------------|---|
| 01        | BSID of source BS                     | 6               |   |
| 02        | GPS Coordinates                       | 2               |   |
| 03        | BS IP <u>of source BS</u>             | 1<br>Variable   | 4 bytes if IPv4 is supported<br>16 bytes if IPv6 is supported |
| 04        | MAC Frame duration                    | 1               |   |
| 05        | Reserved Type of sub-frame allocation | 1               |   |
| 06        | Sub-frame number                      | 1               |   |
|           |                                       |                 |   |
| 63        | Number of Structures                  | 1               | Number of structures to be listed in continuations            |
| 64        | Number of TLVs in a structure         | 1               | Used in conjunction with the Number of structures             |
| <u>65</u> | ID of the destination forwarding SS   | <u>6</u>        |   |
| <u>66</u> | Notification Bit Flag (NBF)           | 1               |   |
|           | BSID of destination                   | <u>6</u>        |   |
|           | BS IP of destination BS               | <u>Variable</u> | 4 bytes if IPv4 is supported<br>16 bytes if IPv6 is supported |

| BS EIRP         | 1        | The BS EIRP is signed in units of 1 dBm.   |
|-----------------|----------|--|
| BS_GPS_LOC      | <u>4</u> | 16 MSB for BS Lat<br>16 LSB for BS long  |
| BS_HGHT         | <u>2</u> | Height of BS antenna above sea level in meters.  |
| BS_RF_Sector ID | <u>4</u> | ID: bits 0-3 gain (dBi): bits 4-7, 2dB resolution azimuth: bits 8-15, 2 degree resolution -3dB aperture: bits 16-23, 2 degrees resolution polarization: bits 24-25, with: 00 - vertical, 01- horizontal, 11: circular beam-forming: bit 26, 1=yes bits 27-31: reserved |
| <u>SSID</u>     | <u>6</u> |  |
| SS EIRP         |          | he SS EIRP is signed in units of 1 dBm.  |
| SS_RF_Sector ID | 4        | ID: bits 0-3 gain (dBi): bits 4-7, 2dB resolution azimuth: bits 8-15, 2 degree resolution -3dB aperture: bits 16-23, 2 degrees resolution polarization: bits 24-25, with: 00 - vertical, 01- horizontal, 11: circular beam-forming: bit 26, 1=yes bits 27-31: reserved |
| DFS_LE_PWR_FRQ  | 4        | Bits 0-3: Device Type Bits 4-15: Device detection specific Bits 16-23: 8 bit mean RSSI Bits 24-31: TBD   |
|                 |          |  |
|                 |          |  |
|                 |          |  |
|                 |          |  |
|                 |          |  |
|                 |          |  |
|                 |          |  |
|                 |          |  |
| <br>            |          |  |

[Remove subclause 6.3.2.3.65]

[Update text of subclause 15.5.1.25 as indicate:]

## 15.5.1.25 CT-CX Advertisement Request (CT-CX-ADV-REQ)

In case of CT CX operations over the air, tThe CT-CX-ADV-REQ message is encapsulated as a CX-REQ MAC message. This message specifies the advertisement discovery information sent out by the offeror BS towards the forwarding SSs (associated to requester BSs and located in the overlapping area of this offeror system and the surrounding requester systems) in case of CT-CX operation over the air. The CT-CX-ADV-REQ message is sent by the offeror BS within the mechanisms specified in subclause 15.6. If the CT-CX-ADV-REQ message content meets the CT-CX-ADPD requirements, the forwarding SS forwards the CT-CX-ADV-REQ message towards its serving BS followed up the mechanisms specified in subclause 15.6. CT-CX-ADV-REQ message provides the necessary information to these forwarding SSs to enable them then to inform their home BS (requester) about radio resources sharing opportunities proposed by the offeror BS.

In case of CT-CX operations over the backhaul, the offerer sends this broadcast message to advertise to the surrounding future potential requester candidates that it offers temporally resource for renting.

CT-CX-ADV-REQ message shall include the following parameters that are applicable for both over the air and backhaul based inter system communications:

**BSID** of the source BS: BSID of the offeror

T renting subframe: Total amount of time per master subframe rented out by the offeror BS.

**Renting\_out\_start\_time**: The starting time of the renting out period proposed by the offeror on that channel. Absolute time based on UTC time stamp following the format HH:MM:SS:ms (Table h1).

**Renting\_out\_end\_time**: The ending time of the renting out period proposed by the offeror on that channel Absolute time based on UTC time stamp following the format HH:MM:SS:ms (Table h1).

MNCT: Minimum number of credit tokens per RRU required per requester's bid.

LC: List of other channels (frequency domain) proposed by the offeror BS for renting.

CT-CX-ADV-REQ message shall include the following parameters that are applicable only for backhaul based inter system communications:

Negotiation Mode Bit Flag (NMBF): This flag indicates which of negotiation mode of CT-CX is used:

- 0 non-negotiation mode is active
- 1 negotiation mode is active

Start\_negotiation\_time: If NMBF == 1, this field specifies the starting time of the negotiation between the offerer and the competing requesters.

End\_negotiation\_time: If NMBF == 1, this field specifies the ending time of the negotiation between the offerer and the competing requesters.

Pricing\_Bit\_Flag (PBF): If NMBF == 1, PBF specifies the CT-CX pricing method applicable to the negotiation mode for the selected requesters:

- 0 CTs are transferred from the requester's ownership to the offeror's one
- 1 No CTs transfer ownership from the requester to offeror. However, selected requester's CTs are not usable by this requester for a given time period (the freezing time period) before reuse.

Code: 35
Attributes are shown in *Table h27*.

Table h27—CT-CX Advertisement Request (CT-CX-ADV-REQ) message attributes

| Attribute                             | Contents   |
|---------------------------------------|--|
| BSID of the source BS                 | BSID of the offer or   |
| Renting_out_start_time                | The starting time of the renting out period proposed by the offeror on that channel Absolute time based on UTC time stamp following the format HH:MM:SS:ms   |
| Renting_out_end_time                  | The ending time of the renting out period proposed by the offeror on that channel. Absolute time based on UTC time stamp following the format HH:MM:SS:ms  |
| T_renting_subframe                    | Total amount of time per master subframe rented out by the offer or  |
| Minimum number of Credit Token (MNCT) | Minimum number of credit tokens per RRU required per requester's bid.  |
| List of channels (LC)                 | List of other channels (frequency domain) proposed by the offeror BS for renting   |
| Negotiation_Mode_Bit_Flag (NMBF)      | This field is used only with backhaul based inter BS communications. This flag indicates which of negotiation mode of CT-CX is used:  0 - non-negotiation mode is active  1 - negotiation mode is active |
| Start_negotiation_time                | This field is used only with backhaul based inter BS communications. If NMBF == 1, this field specifies the starting time of the negotiation between the offerer and the competing requesters.           |
| End_negotiation_time                  | This field is used only with backhaul based inter BS communications. If NMBF == 1, this field specifies the ending time of the negotiation between the offerer and the competing                         |

|                        | requesters.  |
|------------------------|--|
| Pricing_Bit_Flag (PBF) | This field is used only with backhaul based inter BS communications. If NMBF == 1, PBF specifies the CT-CX pricing method applicable to the negotiation mode for the selected requesters:  0 – CTs are transferred from the requester's ownership to the offeror's one  1 – No CTs transfer ownership from the requester to offeror. However, selected requester's CTs are not usable by this requester for a given time period (the freezing time period) before reuse. |

[Remove subclause 6.3.2.3.67]

[Update text of subclause 15.5.1.26 as indicate:]

#### 15.5.1.26 CT-CX Advertisement Reply (CT-CX-ADV-RSP)

In case of CT-CX operations over the air, the CT-CX-ADV-RSP message is encapsulated as a CX-RSP MAC message. In response to the CT-CX-ADV-REQ message, and if the forwarding SS has been selected to complete the CT-CX operations (specified in the CT-CX-NOT message), the forwarding SS responds to the offeror with an CT-CX-ADV-RSP message mentioning its interest to rent totally or a fraction of the resource offered by the offeror for the total or a portion of the proposed renting period [Renting\_out\_start\_time, Renting\_out\_send\_time]. CT-CX-ADV-RSP message content is aligned with renting requirements specified within CT-CX-ADPD message. The CT-CX-ADV-RSP message is sent by the forwarding SS within the time interval and with mechanisms specified in subclause 15.6.

In case of CT-CX operations over the backhaul: in response to CT-CX-ADV-REQ message, each requester can respond to the offeror with an CT-CX-ADV-RSP message mentioning its interest to rent totally or a fraction of the resource offered by the offeror for the total or a portion of the proposed renting out period [Renting out start time, Renting out end time], and its Requester bid.

CT-CX-ADV-RSP message shall include the following parameters that are applicable for both over the air and backhaul based inter system communications:

**BSID of the source BS**: BSID of the requester BS (associated to the forwarding SS in case of over the air inter BS communications).

**BSID** of the destination **BS**: BSID of the offeror BS.

**Requester\_bid**: Number of credit tokens per resource unit bidded by the requester in response to the offeror advertisement.

**Rented\_resource\_amount**: Fraction (scalar) of T\_renting\_subframe the requester is interested in and bidding for.

**Renting\_in\_start\_time**: Starting time of the period from which the requester is interested to rent in within [Renting out start time, Renting out end time], and for which the requester's bid applies for.

**Renting\_in\_end\_time**: Ending time of the period the requester is interested to rent in within [Renting\_out\_start\_time, Renting\_out\_end\_time], and for which the requester's bid applies for.

CT-CX-ADV-RSP message shall include the following parameters that are applicable only for over the air based inter system communications:

**ID** of the source forwarding SS: ID of the forwarding SS

Code: 36

Attributes are shown in *Table h28*.

Table h28- The CT CX Advertisement Reply (CT-CX-ADV-RSP) message attributes

| Attribute                      | Contents  |
|--------------------------------|---|
| BSID of the source BS          | BSID of the requester   |
| BSID of the destination BS     | BSID of the offeror   |
| Requester_bid                  | Number of credit tokens per RRU bidded by the requester in response to the offeror advertisement  |
| Rented_resource_amount         | Fraction (scalar) of T_renting_subframe the requester is interested in and bidding for  |
| Renting_in_start_time          | Starting time of the period from which the requester is interested to rent in within [Renting_out_start_time, Renting_out_end_time], and for which the requester's bid applies for. Absolute time based on UTC time stamp following the format HH:MM:SS:ms. |
| Renting_in_end_time            | Ending time of the period the requester is interested to rent in within [Renting_out_start_time, Renting_out_end_time], and for which the requester's bid applies for. Absolute time based on UTC time stamp following the format HH:MM:SS:ms               |
| ID of the source forwarding SS | This field is used only with over the air based inter BS communications. ID of the forwarding SS.   |

[Update text of subclause 15.5.1.27 as indicate:]

#### 15.5.1.27 CT-CX Negotiation Request (CT-CX-NEG-REQ)

This message is used only for CT-CX operations over the backhaul. This message is used only if NMBF == 1.

The CT-CX-NEG-REQ message is sent out by the offerer only when the NMBF mode flag is set to 1 in the CT-CX-ADV-REQ message, i.e. when the CT-CX negotiation mode is active. At each iteration of the negotiation, the decision making algorithm applied by the offeror derives a minimum and maximal payoff based on the requesters' bids. At each of these iterations, updated values of these payoffs are provided by the offeror to the

requesters still bidding for the renting.

Code: 37

Attributes are shown in *Table h29*.

Table h29 - CT-CX Negotiation Request (CT-CX-NEG-REQ) message attributes

| Attribute                  | Contents  |
|----------------------------|---|
| BSID of the source BS      | BSID of the offeror   |
| BSID of the destination BS | BSID of the requester   |
| Minimal_payoff             | Minimal derived payoff corresponding to the lower selected bid at the n <sup>th</sup> iteration of the negotiation  |
| Maximal_payoff             | Maximal derived payoff corresponding to the higher selected bid at the n <sup>th</sup> iteration of the negotiation |

[Update text of subclause 15.5.1.28 as indicate:]

#### 15.5.1.27 CT-CX Negotiation Reply (CT-CX-NEG-RSP)

This message is used only for CT-CX operations over the backhaul. Based on the minimal and maximal payoff information, the CT-CX-NEG-RSP message is sent out by the requester in response to CT-CX-NEG-REQ message in case the requester is willing to make a new bid proposal to be part of the selected requesters.

Code: 38

Attributes are shown in *Table h30*.

Table h30 - CT-CX Negotiation Reply (CT-CX-NEG-RSP) message attributes

| Attribute                  | Contents   |
|----------------------------|--|
| BSID of the source BS      | BSID of the requester  |
| BSID of the destination BS | BSID of the offer or   |
| Requester_bid_update       | Updated number of credit tokens per RRU bidded by the requester in response to CT-CX-NEG-REQ message |

[Remove subclause 6.3.2.3.68]

[Update text of subclause 15.5.1.29 as indicate:]

#### 15.5.1.29 CT-CX Resource Allocation Request (CT-CX-RA-REQ)

In case of CT-CX operations over the air, the CT-CX-RA-REQ message is encapsulated as a CX-REQ MAC message. The CT-CX-RA-REQ message informs each requester whether he is granted with the resource he bidded for. Each granted requester is informed about the credit token price. Detailed process is described within

clause 15.4.2.4. The CT-CX-RA-REQ message is sent by the offeror BS with mechanisms specified in subclause 15.6.

In the case of backhaul based inter system communication: after the negotiation is complete, the CT-CX-RA-REQ message informs each requester whether he is granted with the resource he bidded for. Each granted requester is informed about the credit token clearing price necessary to complete the CT-CX operations. Derived from the selection process, the clearing price corresponds to the number of credit tokens per RRU that has to be considered by the selected renter to derive the total number of credit tokens to be considered in the pricing method specified within PBF flag of CT-CX-ADV-REQ message.

CT-CX-RA-REQ message shall include the following parameters that are applicable for both over the air and backhaul based inter system communications:

**BSID** of the source BS: BSID of the offeror BS

**BSID** of the destination BS: BSID of the requester BS associated to the forwarding SS

**Resource\_Granting\_Bit\_Flag (RGBF)**: This flag indicates whether the offeror supplies the resource requested by the requester or not.

**Renting\_subframe\_start\_time**: This field is useful only when RGBF = 1. This field specifies the starting time of transmission of the selected requester within T renting subframe.

**Renting\_subframe\_end\_time**: This field is useful only when RGBF = 1. This field specifies the ending time of transmission of the selected requester within T renting subframe.

CT-CX-RA-REQ message shall include the following parameters that are applicable only for backhaul based inter system communications:

**Clearing\_price**: This field is useful only when RGBF = 1. Derived from the selection process, clearing price is the number of credit tokens per RRU the requester has to freeze to acquire the granted resource.

CT-CX-RA-REQ message shall include the following parameters that are applicable only for over the air based inter system communications:

**ID** of the destination forwarding SS: ID of the forwarding SS

Code: 39

Attributes are shown in *Table h31*.

Table h31 - CT CX Resource Allocation Request (CT-CX-RA-REQ) message attributes

| Attribute             | Contents             |
|-----------------------|----------------------|
| BSID of the source BS | BSID of the offer or |

| BSID of the destination BS          | BSID of the requester  |
|-------------------------------------|--|
| Resource_Granting_Bit_Flag (RGBF)   | This flag indicates whether the offeror supplies the resource requested by the requester or not:  1 – resource allocation is granted  0 – resource allocation is rejected  |
| Renting_subframe_start_time         | This field is useful only when RGBF == 1. This field specifies the starting time of transmission of the selected requester within T_renting_subframe.  |
| Renting_subframe_end_time           | This field is useful only when RGBF == 1. This field specifies the ending time of transmission of the selected requester within T_renting_subframe.  |
| Clearing_price                      | This field is useful only when RGBF == 1.  In case of backhaul based inter BS communications: Derived from the selection process, the clearing price corresponds to the number of credit tokens per RRU that has to be considered by the selected renter to derive the total number of credit tokens to be considered in the pricing method specified within PBF flag of CT-CX-ADV-REQ message.  In case of over the air based inter BS communications: Derived from the selection process, clearing price is the number of credit tokens per RRU the requester has to freeze to acquire the granted resource. |
| ID of the destination forwarding SS | This field is used only with over the air based inter BS communications. ID of the forwarding SS.  |

[Remove subclause 6.3.2.3.69]

[Update text of subclause 15.5.1.30 as indicate:]

## 15.5.1.30 CT-CX Allocation Reply (CT-CX-RA-RSP)

In case of CT-CX operations over the air, the CT-CX-RA-RSP message is encapsulated as a CX-RSP MAC message. In response to the CT-CX-RA-REQ message, the CT-CX-RA-RSP message indicates whether the requester accepts the granting at the proposed clearing price. The CT-CX-RA-RSP message is sent by the forwarding SS with mechanisms specified in subclause 15.6.

In the case of backhaul based inter system communication: In response to the CT-CX-RA-REQ message, the CT-CX-RA-RSP message indicates whether the requester accepts the granting at the proposed clearing price.

CT-CX-RA-REQ message shall include the following parameters that are applicable for both over the air and backhaul based inter system communications:

**BSID** of the source **BS**: BSID of the requester BS (associated to the forwarding SS in the case of over the air inter system communications).

**BSID** of the destination **BS**: BSID of the offeror BS.

CT-CX-RA-REQ message shall include the following parameters that are applicable only for backhaul based inter system communications:

**Acceptation\_Bit\_Flag (ABF)**: In case RGBF =1, this flag indicates that the requester accepts the granting at the proposed clearing price.

CT-CX-RA-REQ message shall include the following parameters that are applicable only for over the air based inter system communications:

**ID** of the source forwarding SS: ID of the forwarding SS.

Code: 40

Attributes are shown in *Table h32*.

Table h32- CT-CX Resource Allocation Reply (CT-CX-RA-RSP) message attributes

| Attribute                      | Contents  |
|--------------------------------|---|
| BSID of the source BS          | BSID of the requester   |
| BSID of the destination BS     | BSID of the offer or  |
| Acceptation_Bit_Flag (ABF)     | This field is used only with backhaul based inter BS communications. In case RGBF == 1, this flag indicates whether the requester accepts the granting at the proposed clearing price:  1 – acceptation 0 – rejection |
| ID of the source forwarding SS | This field is used only with over the air based inter BS communications; ID of the forwarding SS;   |

[Remove subclause 6.3.2.3.64]

[Create new section 15.5.1.x in subclause 15.5.1 as indicate:]

#### 15.5.1.x CT-CX Advertisement Discovery Policy Descriptor (CT-CX-ADPD)

CT-CX-ADPD message (CT CX Advertisement Discovery Policy Descriptor) is only used for the over the air operations of CT-CX. In case of these CT-CX operations over the air, the CT-CX-ADPD message is encapsulated as a CX-REQ-MAC message. CT-CX-ADPD message is sent from the home requester BS to its associated forwarding SSs as a regular multicast data message for the CT-CX operations. Purpose of CT-CX-ADPD is to instruct the attitude of each forwarding SS when the forwarding SS receives CT-CX-ADV-REQ message. CT-CX-ADPD specifies whether the forwarding SS has to forward CT-CX-ADV-REQ message toward it serving BS (requester BS).

CT-CX-ADPD message shall include the following parameters:

**BSID** of the source **BS**: BSID of the requester BS.

**ID** of the forwarding SS: ID of the forwarding BS.

**Renting\_in\_start\_time**: Starting time of the period from which the requester BS is interested to rent in some resources. For values received below this specified time, the forwarding SS associated BS is not allowed to report CT CX-ADV-REQ message content to its home BS (requester). This starting time is identified by a UTC time stamp following the format HH:MM:SS:ms (*Table h1*) after the transmission of the message.

**Renting\_in\_end\_time**: Ending time of the period the requester BS is interested to rent in some resources. For values received below this specified time, the forwarding SS is not allowed to report CT CX-ADV-REQ message content to its home BS (requester). This ending time is identified by a UTC time stamp following the format HH:MM:SS:ms (Table h1) after the transmission of the message.

**MNCT**: Maximum admissible number of credit tokens per RRU the requester BS will provide to get the radio resources proposed by the offeror BS. Above this number of tokens, the forwarding SS is not allowed to report CT CX Advertisement Request message content to this home BS (requester).

Attributes are shown in *Table h33*.

Code: 41

Table h33 - CT-CX-ADPD message attributes

| Attribute                             | Contents  |
|---------------------------------------|---|
| BSID of the source BS                 | BSID of the offeror   |
| ID of the forwarding SS               | ID of the forwarding SS   |
| Renting_in_start_time                 | Starting time of the period from which the requester is interested to rent in within [Renting_out_start_time, Renting_out_end_time], and for which the requester's bid applies for. Absolute time based on UTC time stamp following the format HH:MM:SS:ms. |
| Renting_in_end_time                   | Ending time of the period the requester is interested to rent in within [Renting_out_start_time, Renting_out_end_time], and for which the requester's bid applies for. Absolute time based on UTC time stamp following the format HH:MM:SS:ms               |
| Minimum number of Credit Token (MNCT) | Minimum number of credit tokens per RRU required per requester's bid.   |

[Remove subclause 6.3.2.3.70]

[Create new section 15.5.1.x in subclause 15.5.1 as indicate:]

#### 15.5.1.x CT-CX Acknowledgement (CT-CX-ACK)

CT-CX-ACK message (CT CX Acknowledgement) is only used for the over the air operations of CT-CX. In case of these CT-CX operations over the air, the CT-CX-ACK message is encapsulated as a CX-REQ MAC

message. The offeror BS acknowledges the reception of the CT-CX-RA-REQ message with the CT-CX-ACK message. The CT-CX-ACK message is sent by the offeror BS with mechanisms specified in subclause 15.6. The forwarding SS forwards this message to its serving BS (requester) with regular data message to confirm that the requester BS can actually use the rented resources for the agreed renting period with the offeor BS.

Code: 42

Attributes are shown in *Table h34*.

Table h34 - CT-CX-ACK message attributes

| Attribute                  | Contents   |
|----------------------------|--|
| BSID of the source BS      | BSID of the offer or                                     |
| ID of the forwarding SS    | ID of the forwarding SS associated to the destination BS |
| BSID of the destination BS | BSID of the requester associated to the forwarding SS    |

[Remove subclause 6.3.2.3.66]

[Create new section 15.5.1.x in subclause 15.5.1 as indicate:]

## 15.5.1.x CT-CX Notification (CT-CX-NOT)

CT-CX-NOT message (CT CX Notification) is only used for the over the air operations of CT-CX. In case of these CT-CX operations over the air, the CT-CX-NOT message is encapsulated as a CX-RSP MAC message. In order to ensure the CT-CX-ADV-REQ is appropriately received by the requester BS, CT-CX-ADV-REQ can be sent out by several forwarding SSs for the CT-CX operations. If multiple CT CX-ADV-REQ messages are received from different forwarding SSs, the offeror BS selects only one forwarding SS to complete the remaining CT-CX operations (CT-CX-ADV-RSP message, CT-CX-RA-REQ, CT-CX-RA-RSP). For that, the offeror BS notifies (through CT-CX-NOT) each of the forwarding SS whether or not it should complete the remaining CT-CX operations. CT-CX-NOT message is a regular data message.

CT-CX-NOT message shall include the following parameters:

**BSID** of the source BS: BSID of the offeror BS

**ID** of the forwarding SS: ID of the forwarding SS associated to the destination BS

**Notification Bit Flag (NBF)**: This flag indicates whether the forwarding SS is selected to complete the CT-CX operations or not.

Code: 43

Attributes are shown in Table h35.

Table h35 - CT-CX-NOT message attributes

| Attribute                   | Contents   |
|-----------------------------|--|
| BSID of the source BSs      | BSID of the offer or   |
| ID of the forwarding SS     | ID of the forwarding SS associated to the destination BS   |
| Notification Bit Flag (NBF) | This flag indicates whether the forwarding SS is selected to complete the CT-CX operations or not:  1: forwarding SS is selected  0: forwarding SS is not selected |

[Remove subclause 6.3.2.3.62]

[Create new section 15.5.1.x in subclause 15.5.1 as indicate:]

### 15.5.1.x Base Station Descriptor (BSD) message

The base station descriptor (BSD) message is encapsulated as a CX-REQ MAC message. The base station descriptor (BSD) message contains the identification, and other information about the Base Station. This message is sent only in the CX\_CMI\_D(n) slot of the CXCC (see 15.3.3.1) claimed by the Base Station and it is intended to be decoded as intelligible interference by subscriber stations associated to other systems (see 15.3.3.4).

The BSD contains pertinent information related to the base station, allowing foreign (interfered-with) subscriber stations to identify it as interference. BSD is transmitted over the radio medium only.

Code: X
Attributes are shown in *Table hx*.

Table hx - BSD message attributes

| Attribute         | Contents   |
|-------------------|--|
| BSID of source BS | The BSID of BS sending BSD message.  |
| IP_Proxy address  | The Coexistence Proxy IP address information provides the IP address of the Coexistence Proxy Server.  |
| BS EIRP           | The EIRP at which the BSD message was sent; usually the maximum allowable EIRP for the operation of this Base Station.   |
| BS_RF_Sector_ID   | The RF antenna sector ID is used to identify the RF transmitting antenna at the base station where multiple RF antennas may be used or a Beam Forming AAS antenna is used. This contains information about:  - the ID of RF transmitting antenna at the base station |

|            | <ul> <li>- the antenna gain (dBi)</li> <li>- the azimuth direction of transmission relative to the true North</li> <li>- the antenna aperture at 3dB attenuation</li> <li>- the antenna polarization (Vertical, Horizontal, Circular)</li> </ul> |
|------------|--|
| BS_GPS_LOC | The GPS location of the Base Station emitting the BSD  |

[Remove subclause 6.3.2.3.63]

[Create new section 15.5.1.x in subclause 15.5.1 as indicate:]

### 15.5.1.x Subscriber Station Uplink Radio Frequency (SSURF) message

<u>Subscriber Station uplink radio frequency (SSURF) message is encapsulated as a CX-REQ MAC message.</u> The Subscriber Station uplink radio frequency (SSURF) message is the complement to the BSD message except it is sent on the uplink during the CMI interval claimed by the Base Station to which the SS is registered.

This message, if received by foreign (interfered-with) Base Stations, will identify the SS as being an interferer. (15.3.3.5). SSURF is transmitted over the radio medium only.

Code: X
Attributes are shown in *Table hx*.

Table hx - SSURF message attributes

| Attribute         | Contents   |
|-------------------|--|
| BSID of source BS | BSID of Serving Base Station associated with the SS.   |
| SSID              | Subscriber station identifier, in the context of this message, identifies the transmitting SS.   |
| IP_Proxy address  | The BS IP address information uniquely identifies an associated base station.  |
| SS EIRP           | The EIRP at which the SSURF message was sent; usually the maximum allowable EIRP for the operation of this station.  |
| SS_RF_Sector_ID   | The RF antenna sector ID is used to identify the RF transmitting antenna at the subscriber station. It contains information about - the ID of RF transmitting antenna at the |

|  | subscriber station - the antenna gain (dBi) - the azimuth direction of transmission relative to the true North - the antenna aperture at 3dB attenuation - the antenna polarization (Vertical, Horizontal, Circular) |
|--|--|
|--|--|