Project	IEEE 802.16 Broadband Wireless Access Working Group < <u>http://ieee802.org/16</u> >				
Title	Corrections to definitions of Downlink MIMO in OFDMA PHY				
Date Submitted	2004-12-27				
Source(s)	Ran Yaniv, Tal Kaitz, Naftali Chayat, Vladimir Yanover, Danny Stopler	danny.stopler@alvarion.com ran.yaniv@alvarion.com			
	Alvarion Ltd.	tal.kaitz@alvarion.com			
	Wonil Roh, Geunhwi Lim, Jiho Jang, Yong Chang, Seungju Maeng, JeongTae Oh, Chan-Byoung Chae, Kyunbyoung Ko, Jaeho Jeon, Jaeyeol Kim, Soonyoung Yoon	wonil.roh@samsung.com			
	Samsung Electronics Co. Ltd.				
	Young-Ho Jung, Seung Hoon Nam , Jaehak Chung, Yungsoo Kim, Sung-Jin Kim, Hojin Kim				
	Samsung Advanced Institute of Technology				
	Samsung Auvanceu Institute of Technology				

Re:	Call for comments, maintenance task group
Abstract	
Purpose	
Notice	This document has been prepared to assist IEEE 802.16. It is offered as a basis for discussion and is not binding on the contributing individual(s) or organization(s). The material in this document is subject to change in form and content after further study. The contributor(s) reserve(s) the right to add, amend or withdraw material contained herein.
Release	The contributor grants a free, irrevocable license to the IEEE to incorporate material contained in this contribution, and any modifications thereof, in the creation of an IEEE Standards publication; to copyright in the IEEE's name any IEEE Standards publication even though it may include portions of this contribution; and at the IEEE's sole discretion to permit others to reproduce in whole or in part the resulting IEEE Standards publication. The contributor also acknowledges and accepts that this contribution may be made public by IEEE 802.16.
Patent Policy and Procedures	The contributor is familiar with the IEEE 802.16 Patent Policy and Procedures <http: 16="" ieee802.org="" ipr="" patents="" policy.html="">, including the statement "IEEE standards may include the known use of patent(s), including patent applications, provided the IEEE receives assurance from the patent holder or applicant with respect to patents essential for compliance with both mandatory and optional portions of the standard." Early disclosure to the Working Group of patent information that might be relevant to the standard is essential to reduce the possibility for delays in the development process and increase the likelihood that the draft publication will be approved for publication. Please notify the Chair <mailto:chair@wirelessman.org> as early as possible, in written or electronic form, if patented technology (or technology under patent application) might be incorporated into a draft standard being developed within the IEEE 802.16 Working Group. The Chair will disclose this notification via the IEEE 802.16 web site <http: 16="" ieee802.org="" ipr="" notices="" patents="">.</http:></mailto:chair@wirelessman.org></http:>

# Corrections to definitions of Downlink MIMO in OFDMA PHY

## Ran Yaniv, Tal Kaitz, Naftali Chayat, Vladimir Yanover, Danny Stopler Alvarion Ltd.

Wonil Roh, Geunhwi Lim, Jiho Jang, Yong Chang, Seungju Maeng, JeongTae Oh, Chan-Byoung Chae, Kyunbyoung Ko, Jaeho Jeon, Jaeyeol Kim, Soonyoung Yoon

## Samsung Electronics Co. Ltd.

Young-Ho Jung, Seung Hoon Nam , Jaehak Chung, Yungsoo Kim, Sung-Jin Kim, Hojin Kim

## Samsung Advanced Institute of Technology

# 1 Problem Statement

Several ambiguities exist in the definitions of downlink MIMO in 802.16REVd/D5, specifically:

- 1. Since not all different combinations of MIMO DL IE parameters are allowed, a table of the allowed combinations should be added. Otherwise there is no basis to discuss the SS requirements and the capability bits.
- 2. MIMO\_DL\_Basic\_IE() and MIMO\_DL\_Enhanced\_IE() both describe DL allocations. This is similar in concept to the regular DL-MAP\_IE. The first paragraph in the section is therefore not correct as it refers to a subsequent allocation and mentions ongoing relevance until the end of the frame.
- 3. The number of bits used in the MIMO DL IEs for 'No. of subchannels', 'Subchannel offset', etc., is not correct and does not support AMC 1x6 and 2x3 subchannels.
- 4. 'Boosting' is a burst-specific field, and as such should be specified per each burst in the MIMO DL IEs.
- 5. Padding and alignment bits are missing from the two MIMO DL IEs.
- 6. Definition of downlink MIMO capability negotiation is missing.

# 2 Detailed Text Changes

1. Section 8.4.5.3.8:

[Modify text from page 528 line 49 to page 529 line 3 as follows]

----- BEGIN ------

In the DL-MAP, a MIMO-enabled BS may transmit DIUC=15 with the MIMO\_DL\_Basic\_IE() to indicate the MIMO configuration of the subsequent downlink allocation to a specific MIMO-enabled SS CID describe downlink allocations assigned to MIMO-enabled SSs. The MIMO mode indicated in the MIMO\_DL\_Basic\_IE() shall only apply to the subsequent downlink allocations described in the IE until the end of frame. The allowed combinations of number of antennas, matrices, number of layers, and CIDs are listed in Table XXX.

----- END ------

[Modify table 281 as follows]

----- BEGIN ------

Syntax	Size	Notes
Extended DIUC	4 bits	MIMO = 0x05
Length	4 bits	Length of the message in bytes (variable)
Num_Region	4 bits	
for ( i = 0; i< Num_Region; i++) {		
OFDMA Symbol offset	<u>8</u> <del>10</del> bits	
If (Permutation = $0b11$ and (AMC type is		
<u>2x3 or 1x6)) {</u>		
Subchannel offset	<u>8 bits</u>	
No. OFDMA triple symbol	<u>5 bits</u>	
No. subchannels	<u>6 bits</u>	
Else {		
Subchannel offset	<u>6</u> <del>5</del> bits	
Boosting	<del>3 bits</del>	
No. OFDMA Symbols	<u>7</u> 9 bits	
No. subchannels	<u>6</u> <del>5</del> bits	
1		
Matrix_indicator	2 bits	STC matrix (see 8.4.8.1.4.) <u>STC</u> Transmit_diversity = <u>STC</u> Transmit_diversity mode indicated in the latest <u>STC</u> TD_Zone_IE(). if ( <u>STC</u> Transmit_diversity == 0b01) { 00 = Matrix A 01 = Matrix B 10 - 11 = Reserved } elseif ( <u>STC</u> Transmit_diversity == 0b10) { 00 = Matrix A 01 = Matrix B 10 = Matrix C 11 = Reserved }
Num_layer	2 bits	,
Reserved	<u>1 bit</u>	Shall be set to zero
for ( Layer_Index = 0; Layer_Index <		
Num_layer; Layer_Index ++) {		

if (INC_CID == 1) {		
CID	16 bits	
}		
Layer_index	2 bits	
DIUC	4 bits	
Boosting	<u>3 bits</u>	<u>000: normal (not boosted); 001: +6dB; 010: -</u> <u>6dB; 011: +9dB; 100: +3dB; 101: -3dB; 110:</u> <u>-9dB; 111: -12dB;</u>
Reserved	<u>1 bit</u>	Shall be set to zero
}		
If (! Byte boundary) {		
Padding	<u>4 bit</u>	Shall be set to zero
1		
}		

[Add the following text before the end of section 8.4.5.3.8]

----- BEGIN ------

The following table defines the modes of operation specified by MIMO DL Basic IE() and MIMO DL Enhanced IE(). For each it details: the number of antennas (as indicated by the latest TD ZONE IE()), the type of matrix, the number of layers, the number of different CIDs stated in the Num\_layer "for" loop; the implicit type and rate of coding. The cases of either broadcast CID or (INC CID == 0), correspond to "Single CID" rows, but should be decoded by all users on a best effort basis. An SS that does not support decoding of multiple overlapping bursts shall attempt to decode the first burst relevant to it, according to the layer ordering.

<u>Number of</u> <u>Antennas</u>	<u>Matrix</u> indicator	Num Layer	Number of different CIDs	Coding Type	<u>Rate</u>	<u>Remark</u>
<u>2</u>	<u>A</u>	<u>1</u>	1	Alamouti	<u>1</u>	
<u>2</u>	<u>B</u>	<u>1</u>	<u>1</u>	Vertical coding	<u>2</u>	
2	B	2	1	Horizontal coding for a single user	2	Two overlapping bursts
2	B	2	<u>2</u>	Horizontal coding for two different users	<u>2</u>	Two overlapping bursts
<u>4</u>	<u>A</u>	<u>1</u>	<u>1</u>	Alamouti	<u>1</u>	
<u>4</u>	B	<u>1</u>	<u>1</u>	Vertical coding	<u>2</u>	
4	<u>B</u>	2	<u>1</u>	Horizontal coding for a single user	2	<u>Two overlapping</u> <u>bursts</u>
4	B	2	2	Horizontal coding for two different users	2	Two overlapping bursts
<u>4</u>	<u>C</u>	1	<u>1</u>	Vertical coding	<u>4</u>	
<u>4</u>	<u>C</u>	<u>4</u>	<u>1</u>	Horizontal coding for a single user	<u>4</u>	Four overlapping bursts
<u>4</u>	<u>C</u>	<u>4</u>	<u>&gt;1</u>	Horizontal coding for two or more different users	<u>4</u>	Four overlappingbursts

#### Table XXX – DL MIMO operation modes

<u>Vertical coding – Indicates transmitting a single coded stream over multiple antennas. The number of layer is always 1.</u>

Horizontal coding – Indicates transmitting multiple separately coded streams over multiple antennas. The number of layer is more than 1.

Rate - The number of QAM symbols signaled per array channel use.

----- END ------

2. Section 8.4.5.3.9:

[Modify text on page 530 lines 15-20 as follows]

----- BEGIN ------

In the DL-MAP, a MIMO-enabled BS may transmit DIUC=15 with the MIMO\_DL\_Enhanced\_IE() to indicate the MIMO mode of the subsequent downlink allocation to a specific MIMO-enabled SS describe downlink allocations assigned to MIMO-enabled SSs, each identified by the CQICH\_ID previously assigned to it the SS. The MIMO mode indicated in the MIMO\_DL\_Enhanced\_IE() shall only apply to the subsequent downlink allocations described in the IE until the end of frame. The allowed combinations of number of antennas, matrices, number of layers, and CID's are listed in Table XXX, section 8.4.5.3.8.

----- END ------

#### [Modify table 282 as follows]

----- BEGIN ------

Syntax	Size	Notes
Extended DIUC	4 bits	$EN_MIMO = 0x06$
Length	4 bits	Length of the message in bytes (variable)
Num_Region	4 bits	
for ( i = 0; i< Num_Region; i++) {		
OFDMA Symbol offset	<u>8</u> <del>10</del> bits	
If (Permutation = 0b11 and (AMC type is		
2x3 or 1x6)) {		
Subchannel offset	<u>8 bits</u>	
No. OFDMA triple symbol	<u>5 bits</u>	
No. subchannels	<u>6 bits</u>	
Else {		
Subchannel offset	<u>6</u> <del>5</del> bits	
Boosting	<del>3 bits</del>	
No. OFDMA Symbols	<u>7</u> 9 bits	
No. subchannels	<u>6</u> <del>5</del> bits	
<u>}</u>		
Matrix_indicator	2 bits	STC matrix (see 8.4.8.1.4.) <u>STCTransmit_diversity</u> = <u>STCTransmit_diversity</u> mode indicated in the latest <u>STCTD_</u> Zone_IE(). if ( <u>STCTransmit_diversity</u> == 0b01) { 00 = Matrix A 01 = Matrix B 10 - 11 = Reserved } elseif ( <u>STCTransmit_diversity</u> == 0b10) { 00 = Matrix A 01 = Matrix B 10 = Matrix C 11 = Reserved
Num_layer	2 bits	
<u>Reserved</u>	<u>1 bit</u>	Shall be set to zero
For ( <u>j Layer_Index</u> = 0; <u>j Layer_Index</u> <		

Num_layer; <u>+ Layer Index</u> ++) {		
$if (INC_CID == 1) $		
CQICID	variable	Index to uniquely identify the CQICH resource assigned to
		the SS. The size of this field is dependent on system
		parameter defined in DCD.
}		
Layer_index	<del>2 bits</del>	
DIUC	4 bits	
Boosting	<u>3 bits</u>	000: normal (not boosted); 001: +6dB; 010: -
		<u>6dB; 011: +9dB; 100: +3dB; 101: -3dB; 110:</u>
		<u>-9dB; 111: -12dB;</u>
Reserved	<u>1 bit</u>	Shall be set to zero
}		
If (! Byte boundary) {		
Padding	<u>4 bit</u>	Shall be set to zero
}		
}		

----- END ------

3. Add section 11.8.3.7.6: define downlink MIMO capability negotiation.

[Add new section 11.8.3.7.6]

----- BEGIN ------

### **11.8.3.7.6 OFDMA SS Demodulator for MIMO support**

This field indicates the different MIMO options supported by a WirelessMAN-OFDMA PHY SS in the downlink. This field is not used for other PHY specifications. A bit value of 0 indicates "not supported" while 1 indicates "supported."

Type	Length	Value	Scope
155	<u>2</u>	Bit #0: 2-antenna STC matrix A.	<u>SBC-REQ (see 6.3.2.3.23)</u>
		Bit #1: 2-antenna STC matrix B, vertical coding	<u>SBC-RSP (see 6.3.2.3.24)</u>
		Bit #2: 2-antenna STC matrix B, horizontal coding	
		Bit #3: 4-antenna STC matrix A	
		Bit #4: 4-antenna STC matrix B vertical coding	
		Bit #5: 4-antenna STC matrix B, horizontal coding	
		Bit #6: 4-antenna STC matrix C, vertical coding Bit #7: 4-antenna STC matrix C, horizontal coding	
		Bit #8-16: reserved	

----- END ------