

Project	IEEE 802.16 Broadband Wireless Access Working Group < <a href="http://ieee802.org/16">http://ieee802.org/16</a> >	
Title	Improved CTC Performance	
Date Submitted	2005-01-13	
Source(s)	T. Keith Blankenship Yufei Blankenship Brian Classon Motorola	keith.blankenship@motorola.com yufei.blankenship@motorola.com brian.classon@motorola.com
Re:	IEEE 802.16maint-04/10 (12-21-04)	
Abstract	This contribution demonstrates that the convolutional turbo code (CTC) interleavers for block sizes 120 bytes and above have performance deficiencies. By selecting different interleaver parameters for these block sizes, the deficiencies can be corrected. The performance improvement in AWGN at $10^{-4}$ FER with the new parameters is at least 0.5 dB and in some cases up to 1.3 dB.	
Purpose	To provide improved CTC channel coding interleaver parameters when supporting H-ARQ.	
Notice	This document has been prepared to assist IEEE 802.16. It is offered as a basis for discussion and is not binding on the contributing individual(s) or organization(s). The material in this document is subject to change in form and content after further study. The contributor(s) reserve(s) the right to add, amend or withdraw material contained herein.	
Release	The contributor grants a free, irrevocable license to the IEEE to incorporate material contained in this contribution, and any modifications thereof, in the creation of an IEEE Standards publication; to copyright in the IEEE's name any IEEE Standards publication even though it may include portions of this contribution; and at the IEEE's sole discretion to permit others to reproduce in whole or in part the resulting IEEE Standards publication. The contributor also acknowledges and accepts that this contribution may be made public by IEEE 802.16.	
Patent Policy and Procedures	The contributor is familiar with the IEEE 802.16 Patent Policy and Procedures < <a href="http://ieee802.org/16/ipr/patents/policy.html">http://ieee802.org/16/ipr/patents/policy.html</a> >, including the statement "IEEE standards may include the known use of patent(s), including patent applications, provided the IEEE receives assurance from the patent holder or applicant with respect to patents essential for compliance with both mandatory and optional portions of the standard." Early disclosure to the Working Group of patent information that might be relevant to the standard is essential to reduce the possibility for delays in the development process and increase the likelihood that the draft publication will be approved for publication. Please notify the Chair < <a href="mailto:chair@wirelessman.org">mailto:chair@wirelessman.org</a> > as early as possible, in written or electronic form, if patented technology (or technology under patent application) might be incorporated into a draft standard being developed within the IEEE 802.16 Working Group. The Chair will disclose this notification via the IEEE 802.16 web site < <a href="http://ieee802.org/16/ipr/patents/notices">http://ieee802.org/16/ipr/patents/notices</a> >.	

### Current CTC Performance

The convolutional turbo code (CTC), a parallel concatenation of two duo-binary tail-biting recursive systematic codes, is an optional error control coding mode in 802.16-2004. The CTC interleaver, defined in 8.4.9.2.3.1 and 8.4.9.2.3.2, uses an “almost regular” permutation (ARP) [1],

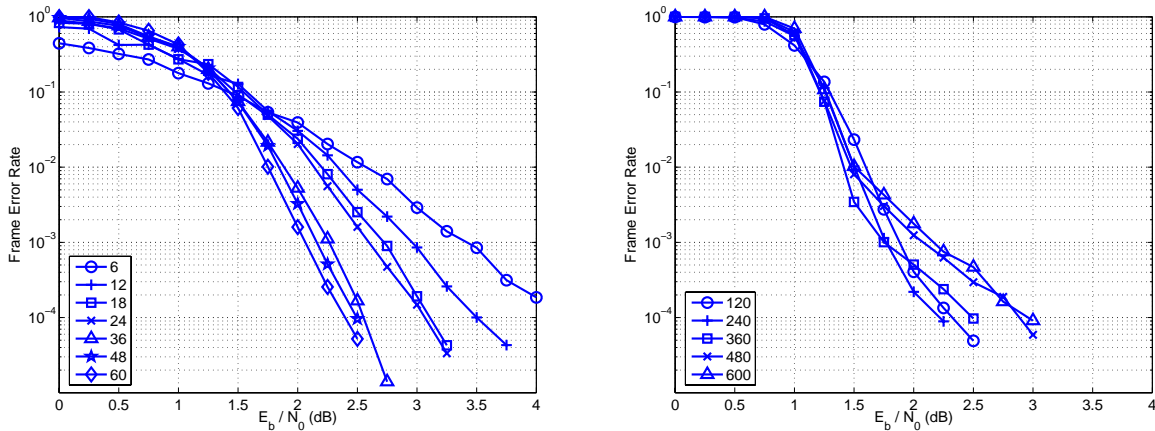
$$\pi(i) = (iP_0 + d(i)) \bmod N \tag{1}$$

where  $0 \leq i \leq N-1$  is the sequential index,  $\pi(i)$  is the permuted index,  $N$  is the information block size in bit couples,  $P_0$  is a number that is relatively prime to  $N$ , and  $d(i)$  is a “dither” vector. For all 802.16 block sizes,  $d(i)$  assumes the form

$$d(i) = \begin{cases} 1, & i \bmod 4 = 0 \\ 1 + N/2 + P_1 & i \bmod 4 = 1 \\ 1 + P_2 & i \bmod 4 = 2 \\ 1 + N/2 + P_3 & i \bmod 4 = 3 \end{cases} \tag{2}$$

for  $0 \leq i \leq N-1$ . The values of  $P_0, P_1, P_2,$  and  $P_3$  depend on  $N$ , and are listed in Tables 324 and 325. Henceforth, this document only considers block sizes contained in Table 325.

Figure 1 plots the simulated frame error rate (FER) versus  $E_b/N_0$  using the current 802.16 CTC interleaver specification. The results assume a rate-1/2 code, binary modulation over a static additive white Gaussian noise (AWGN) channel, 7.5 decoding iterations, and perfect “genie” knowledge by the decoder of the encoder circulation states. Sub-figure (a) plots results for  $6n$ -byte data block sizes ( $n = 1, 2, 3, 4, 6, 8, 10$ ), and sub-figure (b) plots results for the larger  $120n$ -byte data block sizes ( $n = 1, 2, 3, 4, 5$ ).



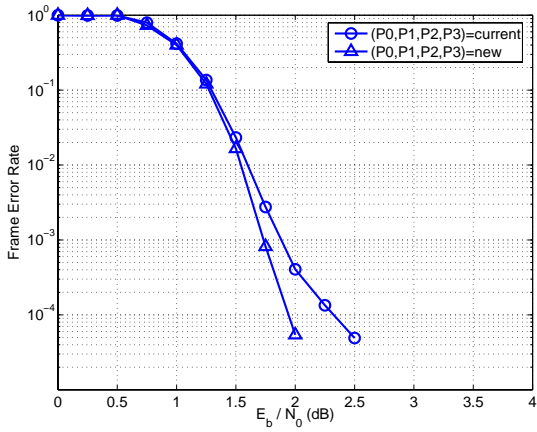
(a)  $6n$ -byte block sizes,  $n = 1, 2, 3, 4, 6, 8, 10$       (b)  $120n$ -byte block sizes,  $n = 1, 2, 3, 4, 5$

Figure 1. FER performance for currently specified CTC interleavers.

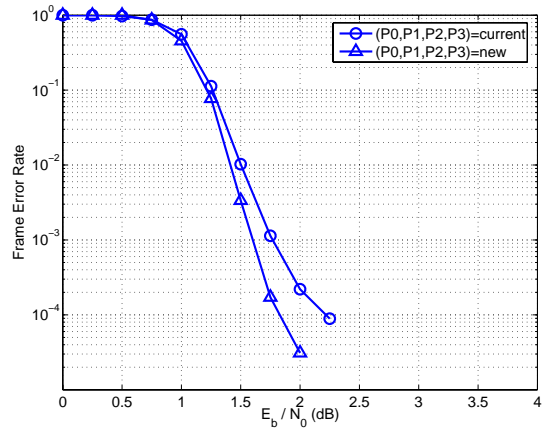
The performance of the  $6n$ -byte block sizes displays the expected turbo code behavior of improving performance with increasing block size. Furthermore, no error floor is discernable down to a FER of  $10^{-4}$ . However, the performance of the  $120n$ -byte block sizes displays the opposite. Here, the performance degrades with increasing block size (above 240-byte) and a distinct error floor is present.

## **CTC Performance with New Interleaver Parameters**

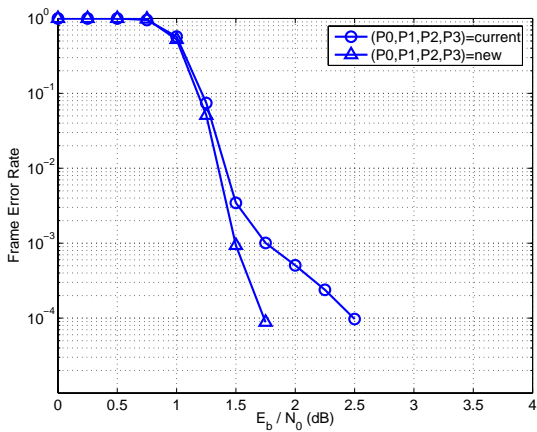
A new set of CTC interleaver parameters was designed to correct the performance deficiencies of the  $120n$ -byte block sizes. The new parameters were selected according to guidelines prescribed in [1]. The FER performance (rate-1/2, binary modulation, static AWGN channel, 7.5 decoding iterations, and “genie” circulation state knowledge) with the new parameters is plotted in Figure 2. The figure shows that the new parameters correct the performance deficiencies of the current parameters. At  $\text{FER} = 10^{-4}$  the performance with the new parameters is at least 0.5 dB and in some cases up to 1.3 dB better than with the current parameters.



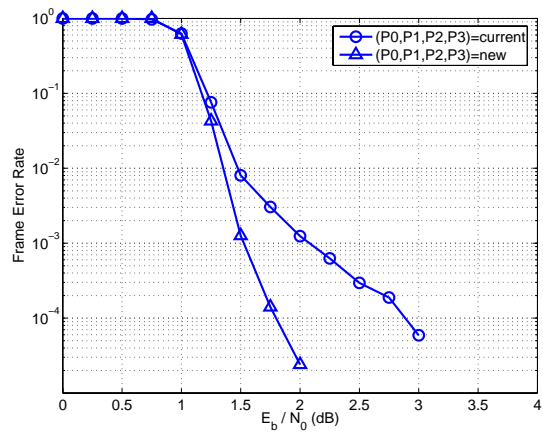
(a) 120-byte block



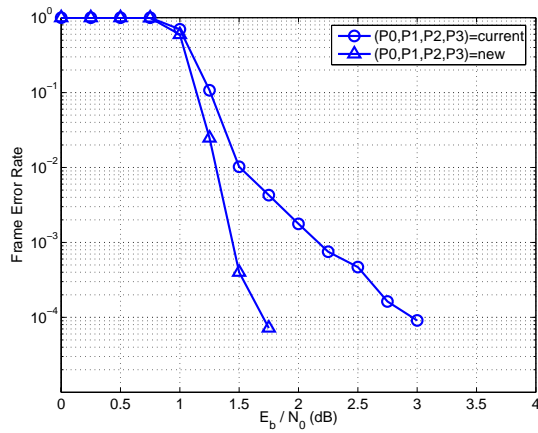
(b) 240-byte block



(c) 360-byte block



(d) 480-byte block



(e) 600-byte block

Figure 2. Performance with new CTC interleaver parameters.

## References

- [1] C. Berrou *et al.*, "Designing good permutations for turbo codes: towards a single model," in *Proceedings of the 2004 IEEE International Conference on Communications*, vol. 1, pp. 341-345.

## Recommended Text Changes:

Add the following table to IEEE 802.16maint-04/10 (12-21-2004), adjusting the numbering as required.

<Insert new section 8.4.9.2.3.1 on p. 79, line 49>

<Add the revised Table 327 to new section 8.4.9.2.3.1 on p. 79. Table 327 appears on p. 598 on 802.16-2004.>

Table 327 – Optimal CTC channel coding per modulation when supporting H-ARQ

Data block size (bytes)	N	P0	P1	P2	P3
6	24	5	0	0	0
12	48	13	24	0	24
18	72	11	6	0	6
24	96	7	48	24	72
36	144	17	74	72	2
48	192	11	96	48	144
60	240	13	120	160	180
120	480	13 53	240 62	120 12	360 2
240	960	13 43	480 64	240 300	720 824
360	1440	17 43	720	360	540
480	1920	17 31	960 8	480 24	1440 16
600	2400	17 53	1200 66	600 24	1800 2