Project	IEEE 802.16 Broadband Wireless Access Working Group	p < <u>http://ieee802.org/16</u> >		
Title	Corrections to CINR measurements and reports in OFD	МА РНУ		
Date Submitted	2005-07-10			
Source(s)	Ran Yaniv, Tal Kaitz, Danny Stopler, Yaron Alpert, Yonah Lasker	ran.yaniv@alvarion.com		
	Alvarion			
	Yuval Lomnitz, Uri Perlmutter, Nir Metzer	yuval.lomnitz@intel.com		
	Intel	uri.perlmutter@intel.com		
	Jaehee Cho			
	Samsung Electronics	jaehee1.cho@samsung.com		
	Dave Pechner			
	Arraycomm			
		dpechner@arraycomm.com		
Re:	Call for comments, 802.16maint task group			
Abstract				
Purpose	This document has been prepared to assist IEEE 802.16. It is offered as	a basis for discussion and is not hinding on		
Notice	the contributing individual(s) or organization(s). The material in this doc content after further study. The contributor(s) reserve(s) the right to add, herein.	cument is subject to change in form and		
Release	The contributor grants a free, irrevocable license to the IEEE to incorpor and any modifications thereof, in the creation of an IEEE Standards pub any IEEE Standards publication even though it may include portions of discretion to permit others to reproduce in whole or in part the resulting contributor also acknowledges and accepts that this contribution may be	lication; to copyright in the IEEE's name this contribution; and at the IEEE's sole IEEE Standards publication. The made public by IEEE 802.16.		
Patent Policy and Procedures	The contributor is familiar with the IEEE 802.16 Patent Policy and Procedures < <u>http://ieee802.org/16/ipr/patents/policy.html</u> >, including the statement "IEEE standards may include the known use of patent(s), including patent applications, provided the IEEE receives assurance from the patent holder or applicant with respect to patents essential for compliance with both mandatory and optional portions of the standard." Early disclosure to the Working Group of patent information that might be relevant to the standard is essential to reduce the possibility for delays in the development process and increase the likelihood that the draft publication will be approved for publication. Please notify the Chair < <u>mailto:chair@wirelessman.org</u> > as early as possible, in written or electronic form, if patented technology (or technology under patent application) might be incorporated into a draft standard being developed within the IEEE 802.16 Working Group. The Chair will disclose this notification via the IEEE 802.16 web site < <u>http://ieee802.org/16/ipr/patents/notices</u> >.			

# Corrections to CINR measurements and reports in OFDMA PHY

Ran Yaniv, Tal Kaitz, Danny Stopler, Yaron Alpert, Yonah Lasker

#### Alvarion Ltd.

Yuval Lomnitz, Uri Perlmutter, Nir Metzer

#### Intel

#### 1 Problem Statement

The current draft defines a CINR based mechanism for rate adaptation. This mechanism is incomplete and lacks important definitions.

1. The text does not specify to what the CINR measurement relates. Measurements on the preamble, on pilots, and even on data subcarriers of different zones, will result in different values due to varying boosting levels, cell loading, and reuse factor. Further, when adaptive beamforming is employed, CINR measurements will vary greatly depending on the allocation used for measurement.

The BS should specify the unique zone (by means of zone type and PRBS\_ID in order to differentiate between multiple zones), and subset of major groups (for PUSC reuse-1 zone) on which the SS shall measure average CINR. Specifying the subset of major groups is important since different major groups may be transmitted with different power level or antenna beam (for example with 'dedicated pilot mode').

- 2. The text states that CINR is measured on "messages". It is not clear to which "messages" the text refers, as the SS is not required to decode or be aware of all messages in the frame. Further, the time scale of the message time indices is not defined; as a result, the averaging parameter has no meaning.
- 3. The text should specify that the CINR measurement should refer to non-boosted data subcarriers; hence the boost level of the preamble and pilots should be compensated for.
- 4. CINR estimates derived for CQICH should be kept distinct from reports triggered by REP-REQ/RSP. For example, we would want the ability to configure the CQICH to periodically report CINR on a specific zone, while triggering a one-time measurement on a different zone using REP-REQ/RSP.
- 5. The "physical CINR" report does not reflect the ability of the SS to successfully decode data as it does not include effects of channel selectivity, colored interference, decoder implementation and other losses. An effective SINR that represents the SS's ability to decode data should be defined.

- 1. An effective SINR measure must pertain to a specific target error rate, which differs between applications (low-latency voice, data with ARQ or H-ARQ, etc). Hence, the BS must specify the target error rate for which the effective SINR shall be reported.
- 2. The SS should be instructed to trigger a non-periodic update of effective SINR in case the CQI interval is very large, otherwise consecutive downlink transmissions will fail for the duration remaining until the next CQI report arrives at the BS. This is especially important in applications that do not employ ARQ.

#### The following is an outline of the proposed changes:

- 1. Subsection 6.3.17.4 is modified to define the operation flow for physical CINR and effective CINR reports based on periodic CQI and non-periodic REP-REQ/RSP messages.
- 2. The CQICH\_Alloc\_IE is extended to include report configuration parameters.
- 3. REP-REQ/RSP TLVs are added to support the different CINR measurement modes.
- 4. Effective CINR report should correspond to a <u>specific target block error rate</u> prescribed reporting profile that defines the target block error rate (and assumed block size) for which the best effective CINR is to be reported.
- 5. "Effective CINR" encoding on the 4-bit CQI channel is defined.
- 6. Clarifications are added to section 8.4.11.3 on CINR measurement.

### 2 Detailed Text Changes

#### 6.3.2.3.43.5 CQICH Control IE

#### [Add the following text to page 28 line 65]

The format of CQICH Control IE is presented in Table 93. <u>The specific reporting value shall follow the directions indicated in the latest CQICH allocation IE (8.4.5.4.12).</u>

# [Change the sub-clause number as follows in Page 64 line 57 and reassign new sub-clause numbers for the subsequent sub-clauses]

#### 6.3.18 17.4 CQICH Operations DL CINR Report Operation

This section applies to OFDMA mode only. The SS transmits <u>either a physical CINR metric</u> or an effective <u>CINR metric</u> using the REP-RSP MAC message or fast-feedback (CQICH) channel.

The physical CINR is defined in section 8.4.11.3. The effective CINR is a function of physical CINR, varying channel conditions and implementation margin. The exact measurement method used to derive the effective CINR is implementation specific. The reported effective CINR feedback shall correspond to the MCS in table 298a with which the expected block error rate, with assuming block length of 60 bytess as specified by the BS, is closest to, but does not exceed, the a target average error rate of 10% (the target error rate may be overridden by profiles)specified by the BS. When HARQ is employed, the computed block error rate shall only pertain to the first H-ARQ transmission. The UCD message defines multiple 'effective CINR reporting profiles', which define a target block error rate and assumed block length for that error rate.

The metric can be reported for either the preamble or a permutation zone. The manner in which the metric is derived for a permutation zone is in general implementation specific, however the BS may explicitly instruct the SS to report the metric based on measurements from data or pilots.

The SS shall implement at least one measurement scheme and negotiate its capability.

#### 6.3.18.1 DL CINR report with REP-RSP MAC message

The REP-RSP message shall be sent by the SS in response to a REP-REQ message from the BS to report estimation of DL physical CINR or effective CINR.

REP-REQ shall indicate whether the reported metric shall apply to the preamble or to a specific permutation zone. For the report on the preamble, BS can request SS to report the CINR based on the measurement from the preamble for the different frequency reuse factors or band AMC configuration. For report on a specific permutation zone, the REP-REQ indicates the report type configuration, which includes the zone for which the CINR is to be estimated. The zone is identified by its permutation type (PUSC with 'use all SC=0', PUSC with 'use all SC=1', FUSC, Optional FUSC, AMC AAS zone, Safety channel), and PRBS ID. Also, the same permutation and PRBS ID can be differentiated by the STC or AAS

indication. In the case of physical CINR, tThe SS shall not perform a measurement in a frame in which the specified zone is not allocated, and shall retain the previous measurement. For PUSC permutation zones, the SS may be instructed to report CINR estimate for only a subset of the major groups. The SS may send a REP-RSP message in an unsolicited fashion.

In the case where the requested report configuration does not differ from the previous REP-REQ message in which CINR report was requested, the SS is required to send its response within 3 frames. A REP-REQ message shall not contain more than one TLV requesting any type of CINR report.

For the Band--AMC differential CINR reports, the effective CINR metric shall not be used.

If the BS instructs CINR reporting on an AAS zone, then the SS shall report the estimate of the physical or effective CINR measured from dedicated AAS preamble/pilot or data subcarriers that belong to slots allocated to it. For DL-PUSC in AAS mode, if major-group indication has been specified in the measurement configuration then the reported CINR shall be measured on all indicated major groups rather than on slots allocated to the SS.

#### [All existing text within 6.3.17.4 should go under the following title (6.3.18.2)]

#### 6.3.18.2 Periodic CINR report with fast-feedback (CQICH) channel

#### [Modify the text as follows in 6.3.17.4]

This section describes the operation scenarios and requirements of CQICH, which is designed for II-ARQ enabled SS. After an SS turns on its power, the only appropriate subchannels that can be allocated to the SS are all kinds of subchannels the SS can support except the band AMC subchannel. To determine the M/C level of normal subchannels, the average CINR measurement is enough for the BS to determine the M/C levels of uplink and downlink. As soon as the BS and the SS know the capabilities of both entities modulation and coding, the BS may allocate a CQICH subchannel using a CQICH IE (CQICH allocation IE or CQICH Control IE)a CQICH Control IE for periodic CINR reports (physical CINR or effective CINR).

CQICH Allocation IE may indicate whether the reported metric shall apply to preamble or to a specific permutation zone. For the report on the preamble, BS can request SS to report the CINR based on the measurement from the preamble for the different frequency reuse factors. For the report on the specific permutation zones, the CQICH Allocation IE indicates the report type configuration, which includes the zone for which the CINR is to be estimated. The zone is identified by its permutation type (PUSC with 'use all SC=0', PUSC with 'use all SC=1', AMC AAS zone, FUSC, Optional FUSC, Safety channel), and PRBS ID. Also, the same permutation and PRBS ID can be differentiated by the STC or AAS indication. In the ease of physical CINR, tThe SS shall not perform a measurement in a frame in which the specified zone is not allocated, and shall retain the previous measurement. For PUSC permutation zones, the SS may be instructed to report an estimate for only a subset of the major groups. The first CQICH Allocation IE sent to the SS shall indicate the report type configuration. Only a subsequent CQICH Allocation IE may update the report type configuration for CQI channel based reports. See sections 8.4.5.4.12 and 8.4.11.3. CQICH allocated through CQICH Control IE shall use the measurement configuration defined in the latest CQICH allocation IE. The quantization and encoding of physical CINR and effective CINR onto the Fast-Feedback channel is defined in section 8.4.5.4.10.

<u>A effective CINR reported on the CQI is interpreted as the SS's recommendation which best</u> meets the specified target error rate for the duration remaining until the next scheduled CQI report.

The SS shall-may send an unsolicited REP-REQ message if it decides that the last effective CINR report is no longer appropriate for the duration remaining until the next periodic CQI transmission. The message is used to specify the new effective CINR for the CQI channel. The CQI channel is identified by its CQICH\_ID or by the SS's CID if the CQI channel is allocated without a CQICH\_ID. The SS shall not send an unsolicited update to the effective CINR of a CQI channel if 'triggered update' is disabled in the CQICH\_Allocation IE that allocated the CQI channel.

An SS may support two concurrent CQI channels (not necessarily being scheduled in the same frame)-, one for for effective CINR reports and one for physical CINR reports, both of which refer to the same zone (or both refer to the preamble) but with a different effective CINR reporting profile. In such a case, both reported values shall be derived from the same underlying set of measurements. -The CQI channel is identified by the CQICH\_ID field in the CQICH Allocation IE. Support for more than one concurrent CQI channel is optional and negotiated in section 11.8.3.7.X.

For the Band-AMC differential CINR reports, the effective CINR scheme shall not be used.

At any time, the BS may de-allocate the SS' CQICH by putting another CQICH Control IE with Duration d = 0000. Before the CQICH life timer which is set at the receipt of the CQICH Control IE expires, sending another CQICH Control IE overwrites all the information related to the CQICH such as Allocation Index, Period, Frame offset, and Duration. Hence, unless the BS refreshes the timer, the SS should stop reporting as soon as the timer expires. However, in case of sending the MAP IE for re-allocation or deallocation, the BS should make sure if the previous CQICH is released before it is re-allocated to another SS.

The SS sends the REP-RSP message in an unsolicited fashion to BS to trigger Band AMC operation. The triggering conditions are given by TLV encodings in UCD messages. The REP-RSP (see 11.12 for the TLV encodings) includes the CINR measurements of five four best bands. Only when an SS reports its BS the CINR measurements of Band AMC channels, its logical definition is differently made as follows. If the number of bands is 48 (2048 FFT in 20 MHz), the two contiguous bands are paired and renumbered the same as a 24 band system. Then, if the LSB of an SS MAC address is 1, it only uses the odd-numbered bands. If not, it only uses the even-numbered bands. Hence, for example, the LSB of an SS MAC address is 1, (4m+2, 4m+3) bands are paired and the paired band is the m-th band of the SS. Similarly, for an evennumbered SS, (4m, 4m+1) bands are paired and the paired band is the m-th band of the SS.

The BS acknowledges the trigger by allocating Band AMC subchannels. From the next frame when the SS sent the REPRSP, the SS starts reporting the differential of CINR <u>from</u> <u>preamble for five four</u> selected bands (increment: 1 and decrement: 0 with a step of 1 dB) on its CQICH. <u>The CQICH shall then be used for differential Band-AMC reports,</u> <u>regardless of the report configuration specified in the CQICH IE that allocated the</u> <u>current CQI channel</u>. The CINR shall be measured as indicated in the REP-RSP message. If the BS does not allocate the Band AMC subchannels <u>or send REP-REQ to indicate reporting</u> <u>Band AMC CINR</u> within the specified delay (CQICH Band AMC Transition Delay) in the UCD message, the SS reports the updated average CINR as indicated in the latest CQICH IE. <u>of the preamble for normal subchannel allocations</u>. When the BS wants to trigger the transition to Band AMC mode or update the CINR reports, it sends the REP-REQ message (see 11.11 for the TLV encodings). When the SS receives the message, it replies with REP-RSP. When the BS receives the REP RSP, it should synchronize the selection of bands reported and their CINR. Unless the BS allocates normal subchannels <u>or the CQICH IE</u> <u>indicates to report CINR on a zone other than Band AMC zone</u>, the SS reports the differential increment compared to the most up-to date report from the next CQI reporting frame.

Syntax	Size	Notes
Duration (=d)	4 bits	A CQI feedback is transmitted on the CQI channels indexed by the (CQI Channel Index) by the SS for $2^{d-1}$ frames. If d is 0000, the CQICH is deallocated.
		If d is 1111, the SS should report until the BS
		command for the SS to stop.
Report configuration included	1 bit	Update to CINR report configuration is included.
If (report configuration included == 1) {	1010	
Feedback Type	2 bits	0b00 = physical CINR feedback
<u></u>		0b01 = effective CINR feedback
		0b10-0b11 = Reserved
Report type	<u>1 bit</u>	0: Report for preamble
		1: Report for specific permutation zone
<u>If (Report type== 0b0) {</u>		Report for preamble
CINR preamble report type	<u>1 bit</u>	The type of preamble-based CINR report
		<u>0 – Frequency reuse factor=1 configuration.</u>
		<u>1 – Frequency reuse factor=3 configuration.</u>
1		
Else {		report for permutation zone
Zone permutation	<u>3 bits</u>	The type of zone for which to report
		$\underline{0b \ 000 - PUSC \ with \ `use \ all \ SC = 0'}$
		$\frac{0b\ 001 - PUSC\ with\ `use\ all\ SC = 1'}{01\ 010\ 000\ 000\ 000\ 000\ 000\ 000\ $
		$\frac{0b\ 010 - FUSC}{010\ 011\ 0}$
		<u>Ob 011 – Optional FUSC</u>
		<u>Ob 100 – Safety Channel region</u>
		<u>0b 101 – AMC zone (only applicable to AAS mode)</u> 0b 110-111 – Reserved
STC-Zone type	<u>2+</u>	0b00 – non-STC zone
<u>510 Zone type</u>	$\frac{2T}{\text{bits}}$	0b00 = Holes i C 20he 0b01 = STC zone
	010	$\frac{0001 - 310}{0010} = 0.0000$
		0b11 - reserved
		$\theta$ – zone on which to report is not an STC zone
		$\frac{1}{1 - \text{zone on which to report is an STC zone}}$
AAS Zone	1 bit	$\theta$ – zone on which to report is not an AAS zone
		<u>1 – zone on which to report is an AAS zone</u>
Zone PRBS_ID	<u>2 bits</u>	The PRBS_ID of the zone on which to report
<u>If (Zone type == 0b000 or 0b001) {</u>		
Major group indication	<u>1 bit</u>	If '0' then the report may refer to any subchannel in
		the PUSC zone.
<u>If (Major group indication == 1) {</u>		

#### [Modify table 300 (CQICH\_Alloc\_IE), as follows:]

PUSC Major group bitmap	<u>6 bits</u>	Reported CINR shall only apply to the subchannels of PUSC major groups for which the corresponding bit is set. Bit #k refers to major group k.
}		
CINR zone measurement type	<u>1 bit</u>	0: measurement from pilot subcarriers and, if AAS zone, from AAS preamble. 1: measurement from data subcarriers
}		
If (feedback type == $0b00$ ) {		Physical CINR feedback
Averaging parameter included	<u>1 bit</u>	
<u>If (Averaging parameter included == 1) {</u>		
Averaging parameter	<u>4 bits</u>	Averaging parameter _avg used for deriving physical <u>CINR estimates reported through CQICH. This</u> value is given in multiples of 1/16 in the range of [1/16 16/16] in increasing order.
}		
}		
If (feedback type == 0b01) {		Effective CINR feedback
Effective CINR reporting profile index	<del>2 bits</del>	Index of effective CINR reporting profile, as defined in the UCD message.
Triggered update enabled	<u>1 bit</u>	0 - triggered update is disabled     1 - triggered update is enabled     See section 6.2.18.2
<u>}</u>		
}		

#### [Add the following text to the end of 8.4.5.4.12]

#### **Report configuration included**

Indicates whether an update to the report configuration exists in the IE. A value of '0' indicates that the SS shall use the configuration defined in the last received CQI configuration.

#### **<u>Report type</u>**

Indicates whether the CINR metric shall be reported on the preamble ('0') or on a permutation zone ('1').

#### **Effective CINR reporting profile**

The reporting profile to be used for determining the effective CINR to be transmitted on the CQI channel. See section 6.3.18.

#### Averaging parameter included

Indicate whether a new averaging parameter ave for physical CINR reports exists in the IE. A value of '0' indicates that the SS shall perform physical CINR measurements using the last known averaging parameter.

#### [Add new section 8.4.5.4.10.5]

#### 8.4.5.4.10.5 Effective CINR feedback for fast-feedback channel

When the feedback type field in the CQICH\_IE() is 0b01 or the effective CINR report is request by REP-REQ, the SS shall report the effective CINR, as defined in section 6.3.18, using a 4-bit encoding according to table 298a. The FEC type assumed for the MCS column is the SS's preferred FEC type, defined as the first FEC supported by both the SS and the BS (as determined during capability exchange) according to the following order:

- <u>1. CTC</u>
- 2. BTC 3. ZeroTail CC
- <u>4.</u> <u>CC</u>

Encoding	Effective CINR [dB]	MCS
<u>0b0000</u>	<u>-2.8<del>-2</del></u>	<u>QPSK <sup>1</sup>/<sub>2</sub>, repetition 6</u>
<u>0b0001</u>	<u>-10</u>	<u>QPSK <sup>1</sup>/<sub>2</sub>, repetition 4</u>
<u>0b0010</u>	<u>-1.3<del>2</del></u>	<u>QPSK <sup>3</sup>/<sub>4</sub>, repetition 6</u>
<u>0b0100</u>	<u>0.54</u>	<u>QPSK <sup>3</sup>/<sub>4</sub>, repetition 4</u>
<u>0b0101</u>	<u>26</u>	<u>QPSK <sup>1</sup>/<sub>2</sub>, repetition 2</u>
<u>0b0110</u>	<u>3.5<del>8</del></u>	<u>QPSK <sup>3</sup>/<sub>4</sub>, repetition 2</u>
<u>0b0111</u>	<u>5 <del>10</del></u>	<u>QPSK ½</u>
<u>0b1000</u>	<u>6.5 <del>12</del></u>	<u>QPSK <sup>3</sup>/4</u>
<u>0b1001</u>	<u>11 <del>14</del></u>	<u>16-QAM ½</u>
<u>0b1010</u>	<u>14 <del>16</del></u>	<u>16-QAM <sup>3</sup>/4</u>
<u>0b1011</u>	<u>16 <del>18</del></u>	<u>64-QAM <sup>1</sup>/2</u>
<u>0b1100</u>	<u>17.5 <del>20</del></u>	<u>64-QAM 2/3</u>
<u>0b1101</u>	<u>19 <del>22</del></u>	<u>64-QAM <sup>3</sup>/4</u>
<u>0b1110</u>	<u>21 <del>24</del></u>	<u>64-QAM 5/6</u>
<u>0b1111</u>		A decrease in CQICH duration is recommended
		(effective CINR has not changed from previous
		CQICH slot). This encoding shall not be
		repeated over consecutive CQI slots.

#### [Modify the text in section 8.4.11.3 as follows]

When **physical** CINR measurements are mandated by the BS, an SS shall obtain a CINR measurement (implementation-specific). From a succession of these measurements, the SS shall derive and update estimates of the mean and/or the standard deviation of the CINR, and report them via REP-RSP messages and/or report the estimate of the mean of the physical CINR via the fast-feedback channel (CQICH).

For the REP-RSP, the following encoding shall be used unless different encoding scheme is defined. Mean and standard deviation statistics for CINR shall be reported in units of dB. To prepare such reports, statistics shall be quantized in 1 dB increments, ranging from a minimum of -10 dB (encoded 0x00) to a maximum of 53 dB (encoded 0x3F). Values outside this range shall be assigned the closest extreme value within the scale.

The method used to estimate the CINR of a single message is left to individual implementation, but the relative and absolute accuracy of a CINR measurement shall be  $\pm 1$  dB and  $\pm 2$  dB, respectively. The specified accuracy shall apply to the range of CINR values starting from 3 dB below SNR of the most robust rate, to 10 dB above the SNR of the least robust rate. See Table 336.

If physical CINR report from the preamble was instructed, then the reported CINR shall be an estimate of the CINR over the subcarriers of the preamble. For the frequency reuse configuration=3 type, the reported CINR shall be the estimate of the CINR over the modulated subcarriers of the preamble. For the frequency reuse configuration=1, the reported CINR shall be the estimate of the average CINR over all subcarriers of the preamble except the guard subcarriers and the DC subcarriers. In other words, the signal on the unmodulated subcarriers (except the guard subcarriers and the DC subcarriers) shall also be considered as noise and interference for the CINR estimate of the frequency reuse configuration=1. The reported value shall represent the average CINR on non-boosted data subcarriers of the first zone in the frame; hence preamble boosting shall be compensated for in both desired signal and interference/noise calculation.

In case physical CINR report on specific permutation zone was instructed, then the reported value shall represent the average CINR on non-boosted data subcarriers of the zone on which measurement was requested; hence pilot boosting shall be compensated for in both desired signal and interference/noise calculation.

If the BS instructs CINR reporting on an AAS zone, then the SS shall report the estimate of the CINR measured from dedicated AAS preamble/pilot or data subcarriers that belong to slots allocated to it. In case physical CINR reporting on STC zone is instructed, the SS shall report the average post-combined CINR. For DL-PUSC in AAS mode, if major-group indication has been specified in the measurement configuration then the reported CINR shall be measured on all indicated major groups rather than on slots allocated to the SS.

#### [Modify the following text below eq. 144]

where r[k,n] received sample n within message <u>measured at time index k in frame units</u>; s [k,n]the corresponding detected or pilot sample (with channel state weighting) <del>corresponding</del> to received symbol. The message time index is incremented every frame. The SS shall maintain separate message time index counters and mean CINR estimates for REP-RSP-based reports and for Fast-Feedback-based reports. When the CINR configuration is changed

# (i.e. CINR report configuration in a CQICH IE or REP-REQ message differ from the previous CQICH\_IE or REP-REQ), the SS shall reset the corresponding message time index to zero.

#### [Modify the following text below eq. 146]

## k is the time index for the message (with the initial message being indexed by k=0, the next message by k=1, etc.);

#### [Add the following text at the end of section 8.4.11.3]

The averaging parameter ( avg) may be sent as a DCD message TLV. Unless specified otherwise, the default averaging parameter ( avg) is <sup>1</sup>/<sub>4</sub>. When the averaging parameter ( avg) is given to an SS through REP-REQ, this value shall only be used for deriving physical CINR estimates reported through REP-RSP, and can further only be changed through another REP-REQ message. When the averaging parameter is given to a SS through CQICH\_Allocation IE, this value shall only be used for deriving physical CINR estimates reported through fastfeedback channel (CQICH), and can further only be changed through another CQICH Allocation IE. An averaging parameter value sent through DCD shall not override the averaging parameter value sent in a dedicated REP-REQ message or a CQICH Allocation IE.

#### [Add the following section 8.4.11.4]

#### **<u>8.4.11.4 Optional Frequency Selectivity Characterization</u>**

In order to characterize the relationship between channel frequency selectivity and link performance in a compact form, the parameters of an effective CINR versus weighting parameter curve can be sent from the SS to the BS using an unsolicited REP-RSP TLV. When requested by the BS, the SS shall compute a quadratic approximation of an effective CINR (dB) vs.  $dB=10\log()$  curve. The quadratic approximation is represented as: effective-CINR dB(dB) $= a+b_{-dB}+c_{-dB^2}$ 

Where *a*, *b* and *c* are the Y-intercept, linear, and quadratic parameters, respectively, that are to be estimated by the SS. The quadratic approximation is derived by performing a curve fit to an experimentally derived effective CINR versus curve.

#### [Add the following entry to the end of table 353, section 11.3.1]

Effective CINR	<u>777</u>	<u>4</u>	Each byte corresponds to a reporting profile,
reporting profiles			starting from reporting profile #0, with the
			following structure:
			Bits #0-#2: (=n) Target block error rate,
			defined as 2 <sup>(-n-2)</sup> .
			Bits #3-#7: (=k) Target block length for
			computing block error rate, defined as 60*
			(k+1), in units of bytes.

[Add the following entry to the end of table 358, section 11.4.1]

Default RSSI and CINR averaging parameter	ZZZ	1	Bit #0~3: Default averaging parameteravg for physical CINR measurements, in multiples of 1/16 (range [1/16, 16/16], 0x0 for 1/16, 0xF for 16/16).	<u>OFDMA</u>
			Bit #4-#7: Default averaging parameterave for <u>RSSI measurements, in multiples of 1/16 (range</u> [1/16, 16/16], 0x0 for 1/16, 0xF for 16/16). The default value is 0x3.	

#### [Add the following new section]

#### 11.8.3.7.X OFDMA SS CINR measurement capability

[Add the table as follows at pp.135, line 27]

Туре	Length	Value	Scope
XXX	1	Bit #0: physical CINR measurement from the preamble	SBC-REQ (see
	-	Bit #1: physical CINR measurement for a permutation zone from pilot	<u>6.3.2.3.23)</u>
		subcarriers	SBC-RSP (see
		Bit #2: physical CINR measurement for a permutation zone from data	<u>6.3.2.3.24</u> )
		subcarriers	
		Bit #3: Effective CINR measurement from the preamble	
		Bit #4: Effective CINR measurement for a permutation zone from pilot	
		subcarriers	
		Bit #5: Effective CINR measurement for a permutation zone from data	
		subcarriers	
		Bit #6: Support for 2 concurrent CQI channels with effective CINR	
		reports.	
		Bit #7: Frequency selectivity characterization report	
		Bit #7: Reserved; shall be set to zero	

## [Add the following to the 2<sup>nd</sup> table in section 11.11 (REP-REQ) of 802.16-2004 as follows]

#### 11.11 REP-REQ management message encodings

Zone-specific physical CINR request	1.4	3	Bits #0-2: Type of zone on which CINR is to be reported     0b000: PUSC zone with 'use all SC=0'     0b001: PUSC zone with 'use all SC=1' / PUSC AAS zone     0b010: FUSC zone     0b101: Optional FUSC zone     0b101: AMC zone (only applicable to AAS mode)     0b110 - 0b111: Reserved     Bit #3: 1 if zone for which CINR should be estimated is STC zone, 0 otherwise.     Bit #4: 1 if zone for which CINR should be estimated is AAS zone, 0 otherwise.     Bits #5-6: PRBS_ID of the zone for which CINR should be estimated. Ignored for Safety Channel.     Bit #7: data/pilot-based CINR measurement:     0 - Report the CINR estimate from pilot subcarriers     1 - Report the CINR shall only be estimated for the subchannels of PUSC major groups for which the corresponding bit is set. Bit #(k+7) refers to major group k. Only applicable for CINR measurement on a PUSC zone     Bits #14-17: _avg in multiples of 1/16 (range is [1/16,16/16])     Bit #18: 0 - report only mean of CINR     1 - report both mean and standard deviation of CINR     Bits #19-23: reserved
---	-----	---	--

Preamble physical CINR request	<u>1.5</u>	1	Bits #0-1: Type of preamble physical CINR measurement     0b00 - Report the estimation of CINR measured from preamble for     frequency reuse configuration=1     0b01 - Report the estimation of CINR measured from preamble for     frequency reuse configuration=3     0b10 - Report the estimation of CINR measured from preamble for band     AMC     0b11 - Reserved     Bits #2-5:avg in multiples of 1/16 (range is [1/16,16/16])     Bit #6: 0 - report only mean of CINR     1 - report both mean and standard deviation of CINR     Bit #7: Reserved (shall be set to zero)
Zone-specific effective CINR request	1.6	2	Bits #0-2: Type of zone on which effective CINR is to be reported     0b000: PUSC zone with 'use all SC=0'     0b001: PUSC zone with 'use all SC=1' / PUSC AAS zone     0b010: FUSC zone     0b101: Optional FUSC zone     0b101: AMC zone (only applicable to AAS mode)     0b110 - 0b111: Reserved     Bit #3: 1 if zone for which effective CINR should be reported is STC zone, 0     otherwise.     Bit #4: 1 if zone for which effective CINR should be estimated is AAS zone, 0     otherwise.     Bits #5-6: PRBS_ID of the zone for which effective CINR should be reported.     Ignored for Safety Channel.     Bit #7: data/pilot-based effective CINR measurement:     0 - Report the CINR estimate from pilot subcarriers.     1 - Report the CINR estimate from data subcarriers     Bits #8-13: Reported effective CINR shall only be estimated for the     subchannels of PUSC major groups for which the corresponding bit is     set. Bit #(k+7) refers to major group k. Only applicable for CINR     measurement on a PUSC zone     Bit #14-15: Index of effective CINR reporting profile, as defined in the     UCD message. See section 6.3.18.reserved
Preamble effective CINR request	1.7	1	Bits #0-1: Type of preamble-based effective CINR measurement     0b00 - Report the estimation of effective CINR measured from preamble for     frequency reuse configuration=1     0b01 - Report the estimation of effective CINR measured from preamble for     frequency reuse configuration=3     0b10-11 - Reserved     Bit #2-3: Index of effective CINR reporting profile, as defined in the     UCD message. See section 6.3.18.     Bit #4-7: Reserved (shall be set to zero)
<u>Channel</u> <u>selectivity</u> <u>report</u>	<u>1.8</u>	1	Bit #0: if 1 – include frequency selectivity report Bit #1-#7: reserved

## [Add the following tables at the end of 11.12]

REP-REQ   Zone-specific   physical CINR   request	Type	Length	Value
---	------	--------	-------

				Bit #0-4: mean of physical CINR estimate for PUSC zone with 'use all SC=0' and PRBS_ID indicated in 'zone-specific physical CINR request'. Bit #5: Report type: 0 - CINR estimated from pilot subcarriers, 1- CINR estimated from data subcarriers
Bits #0-2 = 0b000	PUSC zone with 'use all SC=0'	3.1	1/2	Bit #6-7: reserved Bit #8-12: standard deviation of CINR estimate for PUSC zone with 'use all SC=0' and PRBS_ID indicated in 'zone-specific CINR request'. Bit #13-15: reserved
Bits #0-2 = 0b001	PUSC zone with 'use all SC=1'	3.2	1/2	Note: The 2 <sup>nd</sup> byte shall only be sent if length=2.Bit #0-4: mean of physical CINR estimate forPUSC zone with 'use all SC=1' and PRBS_IDindicated in 'zone-specific physical CINRrequest'. CINR reported corresponds to a subsetof major groups as specified in 'CINR typerequest'.Bit #5: Report type: 0 - CINR estimated frompilot subcarriers.Bit #6-7: reservedBit #8-12: standard deviation of CINR estimatefor PUSC zone with 'use all SC=1' andPRBS_ID indicated in 'zone-specific CINRrequest'.Bit #13-15: reservedNote: The 2 <sup>nd</sup> byte shall only be sent if length=2.
<u>Bits #0-2 =</u> 0b010	FUSC zone	3.3	1/2	Bit #0-4: mean of physical CINR estimate for     FUSC zone with PRBS_ID indicated in 'zone-     specific physical CINR request'.     Bit #5: Report type: 0 - CINR estimated from     pilot subcarriers, 1- CINR estimated from data     subcarriers     Bit #6-7: reserved     Bit #8-12: standard deviation of CINR estimate     for FUSC zone with PRBS_ID indicated in     'zone-specific CINR request'.     Bit #13-15: reserved     Note: The 2 <sup>nd</sup> byte shall only be sent if length=2.

<u>Bits #0-2 =</u> 0b011	Optional FUSC zone	<u>3.4</u>	<u>1/2</u>	Bit #0~4: mean of physical CINR estimate for Optional FUSC with PRBS_ID indicated in 'zone-specific physical CINR request'. Bit #5: Report type: 0 - CINR estimated from pilot subcarriers, 1- CINR estimated from data subcarriers Bit #6-7: reserved Bit #8~12: standard deviation of CINR estimate for Optional FUSC with PRBS_ID indicated in 'zone-specific CINR request'. Bit #13-15: reserved Note: The 2 <sup>nd</sup> byte shall only be sent if length=2.
<u>Bits #0-2 =</u> 0b100	Safety channel	<u>3.5</u>	5	The first 20 bits for the reported bin indices and the next 20 bits for CINR reports (5 bits for each bin).
Bits #0-2 = 0b101	AMC zone	<u>3.6</u>	<u>1/2</u>	Bit #0~4: mean of CINR estimate for AMC AAS     zone.     Bit #5: Report type: 0 - CINR estimated from     pilot subcarriers, 1- CINR estimated from data     subcarriers.     Bit #6-7: reserved     Bit #8~12: standard deviation of CINR estimate     for AMC AAS zone.     Bit #13-15: reserved     Note: The 2 <sup>nd</sup> byte shall only be sent if length=2.

REP-REQ Preamble physical CINR request	Name	<u>Type</u>	<u>Length</u>	Value
<u>Bits #0-1 =</u> 0b00	The estimation of physical CINR measured from preamble for frequency reuse configuration=1	<u>4.1</u>	<u>1/2</u>	Bit #0~4: The mean of physical CINR estimation measured from preamble for frequency reuse configuration=1. Bit #5~7: reserved. Bit #8~12: The standard deviation of CINR estimation measured from preamble for frequency reuse configuration=1. Bit #13-15: reserved Note: The 2 <sup>nd</sup> byte shall only be sent if length=2.
Bits #0-1 = 0b01	The estimation of physical CINR measured from preamble for frequency reuse configuration=3	4.2	1/2	Bit #0~4: The mean of physical CINR     estimation measured from preamble for     frequency reuse configuration=3.     Bit #5~7: reserved.     Bit #8~12: The standard deviation of CINR     estimation measured from preamble for     frequency reuse configuration=3.     Bit #13-15: reserved     Note: The 2 <sup>nd</sup> byte shall only be sent if     length=2.

<u>Bits #0-1 =</u> 0b10	The estimation of physical CINR measured from preamble for Band AMC zone.	<u>4.3</u>	<u>4</u>	The estimation of physical CINR measured from preamble for band AMC subchannel. First 12 bits for the band indicating bitmap and Next 20 bits for CINR reports (5 bits per each band).
----------------------------	---	------------	----------	--

REP-REQ     Zone specific     Effective     CINR     request	Name	<u>Type</u>	<u>Length</u>	Value
<u>Bits #0-2 =</u> 0b000	PUSC zone with 'use all SC=0'	<u>5.1</u>	1	Bit #0-3: Effective CINR for PUSC zone with 'use all SC=0' and PRBS_ID indicated by 'Effective CINR request'. Encoding is defined in 8.4.5.4.10.5. Bit #4: Report type: 0 – effective CINR estimated from pilot subcarriers, 1- effective CINR estimated from data subcarriers Bit #5-7: 3 least significant bits of CQICH_ID
Bits #0-2 = 0b001	PUSC zone with 'use all SC=1'/ PUSC AAS zone	5.2	1	Bit #0-3: Effective CINR for PUSC zone with 'use all SC=1' (or PUSC AAS zone) and PRBS_ID indicated by 'Effective CINR request'. Encoding is defined in 8.4.5.4.10.5. Bit #4: Report type: 0 – effective CINR estimated from pilot subcarriers, 1- effective CINR estimated from data subcarriers Bit #5-7: 3 least significant bits of CQICH_ID
<u>Bits #0-2 =</u> 0b010	FUSC zone	<u>5.3</u>	1	Bit #0-3: Effective CINR for FUSC zone with PRBS_ID indicated by 'Effective CINR request'. Encoding is defined in 8.4.5.4.10.5. Bit #4: Report type: 0 – effective CINR estimated from pilot subcarriers, 1- effective CINR estimated from data subcarriers Bit #5-7: 3 least significant bits of CQICH_ID
<u>Bits #0-2 =</u> 0b011	Optional FUSC zone	<u>5.4</u>	1	Bit #0-3: Effective CINR for Optional FUSC zone with PRBS_ID indicated by 'Effective CINR request'. Encoding is defined in 8.4.5.4.10.5. Bit #4: Report type: 0 – effective CINR estimated from pilot subcarriers, 1- effective CINR estimated from data subcarriers Bit #5-7: 3 least significant bits of COICH_ID

Bits #0-2 = 0b101	AMC AAS zone	<u>5.5</u>	1	Bit #0-3: Effective CINR for AMC AAS zone with PRBS_ID indicated by 'Effective CINR request'. Encoding is defined in 8.4.5.4.10.5. Bit #4: Report type: 0 – effective CINR estimated from pilot subcarriers, 1- effective CINR estimated from data subcarriers Bit #5-7: 3 least significant bits of CQICH_ID
----------------------	--------------	------------	---	---

## Note: CQICH ID applies to triggered update (see section 6.3.18.2) for CQI channel allocated with a CQICH ID, and shall be zero in all other cases.

REP-REQ Preamble Effective-CINR request	Name	<u>Type</u>	Length	Value
<u>Bits #0-1 = 0b00</u>	The estimation of effective <u>CINR measured from preamble</u> for frequency reuse configuration=1	<u>6.1</u>	1	Bit #0~3: Effective CINR based on measurement from preamble with frequency reuse configuration=1. Encoding is defined in 8.4.5.4.10.5. Bit #4-7: 4 least significant bits of CQICH_ID
Bits #0-1 = 0b01	The estimation of effective <u>CINR measured from preamble</u> for frequency reuse configuration=3	<u>6.2</u>	1	Bit #0~3: Effective CINR based on measurement from preamble with frequency reuse configuration=3. Encoding is defined in 8.4.5.4.10.5. Bit #4-7: 4 least significant bits of CQICH_ID

Note: CQICH ID applies to triggered update (see section 6.3.18.2) for CQI channel allocated with a CQICH ID, and shall be zero in all other cases.

REP-REQ Channel selectivity report	Name	Туре	Length	Value
$\underline{\text{Bits } \#0} = 1$	Frequency selectivity report	<u>6.3</u>	3	Bit #0~7: a Bit #8~15: b Bit #16~23: c

#### [Add the following text at the end of the last table in 11.2]

For the TLVs with type 3.x and 4.x, the following 5 bit physical CINR measurementencoding shall be used:0,0,CINR3dBPayload bitsn,(n 4)CINR(n 3),0n,CINR27