Project	IEEE 802.16 Broadband Wireless Access Working Group < <u>http://ieee802.org/16</u> >	
Title	Proposal on DLFP Format Change for 802.16 OFDM	
Date Submitted	2004-01-27	
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Re:	Supporting document for Letter Ballot #13a	
Abstract	The document suggests DLFP Format Change for 802.16 OFDM. Such change allows to fix proble of DL-MAP overhead (that also decreases penalties of certain STC solution), to eliminate special c when MAC PDU is crossing boundary between two PHY bursts and to relax real time problems in DL-MAP parsing	
Purpose	The document is intended for consideration within comments resolution process	
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# **Proposal on DLFP Format Change for 802.16 OFDM**

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## 1. <u>Rationale</u>

Similarly to what was mentioned in [2] for OFDMA PHY, current format of the DL-MAP message under certain conditions is inefficient and inconvenient for implementation also for OFDM PHY. According to the current version of the standard [1] (8.3.4 Frame structure), DL-MAP starts within FCH burst, immediately after DLFP (DL Frame Prefix, 3 bytes). FCH transmitted at QPSK ½ so the payload is 23 bytes (1 byte goes for FEC tail). Size of DL-MAP is 22 + 4N bytes where N = number of DL PHY bursts. Obviously the DL-MAP message cannot fit completely into FCH and spills out to the following PHY burst ("burst #1"). This is the only case when a MAC message crosses boundary of PHY burst and therefore in implementation it must be a special case design.

One more implementation problem is that information on modulation/coding for bursts #2 (following burst #1) is available only after DL-MAP completely received and processed. Processing delay in this case may be essential if software is involved in DL-MAP parsing, which is typically a case for all MAC messages. Burst #2 might start in the air before the processing of burst #1 and parsing of DL-MAP are finished. Then burst #2 information should be accumulated and stored for the whole period of DL-MAP reception and parsing, in the form of digital samples, which may require considerable amount of memory.

Essential part of DL-MAP message does not contain useful information. For example, Generic MAC Header contains the following fields:

Field	Value	Comment
HT	0	
EC	0	
Туре	000000	
CI	1	CRC is appended
EKS	00	
LEN	Variable	Message length = $22 + 4N$
CID	0xFFFF	Broadcast

It is clear from the above table that all fields except Length (and HCS) are constants so they don't carry any useful information. As FCH is using the lowest possible modulation, wasted airtime is expensive.

## 2. <u>New Format of DLFP</u>

Solution for abovementioned problems is to modify the format of DLFP. Currently DLFP contains the following information:

Field	Comment
Rate_ID	Field that defines the burst profile of the following burst.
	Encoding is specified in Table 195.
Length	Number of OFDM symbols (PHY payload) in the burst
	immediately following the FCH burst.
HCS	An 8-bit Header Check Sequence used to detect errors in the
	DL Frame Prefix

It is suggested to change format of DLFP to the following: DLFP contains Change Count; the rest of FCH is filled with DLFP IEs followed by HCS:

	Field	Size,	Comment
		bits	
	Base Station ID	4	4 LSBs of the Base Station ID
	Frame_Number	4	4 LSBs of Frame Number field as
			specified in Table 200
	Change_Count	4	4 LSBs of Change Count value, see
			definition of DCD 4 message
	Reserved	4	
N x DLFP IE			
	Rate_ID/DIUC	4	For the first information element it shall be Rate_ID encoded according to the Table 195. For following IEs this field is DIUC that defines the burst profile of the corresponding burst.
	Midamble present	1	If '1', midamble is placed before the burst.
	Length	11	Number of OFDM symbols in the burst.
	HCS	8	An 8-bit Header Check Sequence

#### Figure 1. Format of FCH Payload

FCH payload length is 23 bytes, so up to 9 DLFP IEs of above format can be placed. If in the future the FCH rate will be decreased to BPSK  $\frac{1}{2}$ , there still will be space for 4 elements of this format.

# 3. DLFP and Regular DL-MAP

DL-MAP message will still be used, but it will not cross the boundary between FCH and burst #1. Format of DL-MAP is not changed. Bursts specified by DLFP will not be specified in DL-MAP and opposite.

In most cases there will not be more than 4 bursts in the DL, then the only information left to DL-MAP is PHY Sync information (particularly frame number), BS ID etc.

# 4. STC Support in DLFP

One of possible solutions for STC is to transmit FCH at lower modulation (BPSK <sup>1</sup>/<sub>2</sub>) that makes it possible for reception even to those SSs that otherwise would need additional STC gain. Thus FCH is transmitted to all SSs including STC-enabled SSs.

One of DIUC values, for example DIUC = 0 may be permanently allocated to mean STC zone. So the corresponding FCH IE marks the start of STC zone. The STC zone ends at the end of the frame. STC zone starts from preamble and STC encoded FCH-STC burst (one symbol with the same payload format as specified in Figure 1). FCH-STC burst is followed by one or several STC encoded PHY bursts. The first burst in STC zone may contain DL-MAP and UL-MAP that are applicable only to STC zone.



Such implementation eliminates the need to transmit the whole DL-MAP at BPSK that would increase the overhead.

# 5. <u>Specific Changes in 802.16-REVd/D2-2003 to Change DLFP</u> <u>Format</u>

## [Change in 8.3.4.1]

A downlink PHY PDU starts with a long preamble, which is used for PHY synchronization. The preamble is followed by a FCH burst. The FCH burst is one OFDM symbol long and is transmitted using QPSK rate ½ with the mandatory coding scheme. The FCH contains DL\_Frame\_Prefix to specify the burst profile and length of one or several the downlink bursts immediately following the FCH #1. The Rate\_ID encoding is defined in Table 195. A DL-MAP message, if transmitted in the current frame, shall be the first MAC PDU in the burst following the FCH. immediately follow the DL\_Frame\_Prefix. An UL-MAP message shall immediately follow the DL-MAP

message. Note that in the case of the remainder of the FCH being smaller than the size of the two messages combined they will 'spill' over into downlink Burst #1. If UCD and DCD messages are be transmitted in the frame, they shall immediately following the DL-MAP and

UL-MAP messages. Although the downlink are burst #1 contains broadcast MAC control messages, it is not necessary to use the most robust well-know modulation/coding. A more efficient modulation/coding may be used if it is supported and applicable to all the SSs of a BS. With exception of the maps, no MAC PDUs shall be split over multiple consecutive bursts with different burst profiles.

The FCH is followed by one or multiple downlink bursts, each transmitted with different burst profiles. Each downlink burst consists of an integer number of OFDM symbols. Location and profile of the first downlink burst and optionally several following bursts are specified in Downlink Frame Prefix (DLFP). Location and profile of other bursts are specified in DL-MAP. and its burst pProfiles are specified by either a 4 bit Rate\_ID (for the first DL burst) or by DIUC in the DL-MAP. The DIUC encoding is defined in the DCD messages. HCS field occupies the last byte of FCH payload. If there are unused IEs in DLFP, the first unused IE must have all fields encoded as 0's.

Syntax	Size	Notes
DL_Frame_Prefix_Format() {		
Base_Station_ID	4 bits	4 LSBs of BS ID
Frame_Number	4 bits	4 LSBs of Frame Number field as
		specified in Table 200
Configuration_Change_Count	4 bits	4 LSBs of Change Count value as
		specified in 6.4.3.2.1
Reserved	4 bits	
for (n=0; n < 9; n++) {		
DL_Frame_Prefix_IE() {		
Rate_ID /DIUC	4 bits	For the first information element it
		shall be Rate_ID encoded
		according to the Table 195. For
		following IEs this field is DIUC
		that defines the burst profile of the
		corresponding burst.
Midamble present	1 bit	If '1', midamble is placed before
		the burst.
Length	12 bits	Number of OFDM symbols in the
	11 bits	burst.
}		
}		
HCS	8 bits	An 8-bit Header Check Sequence
}		

#### [Changes in Table 196]

[Changes at p. 420, line 1]

The following are the fields of DL Frame Prefix:

Base\_Station\_ID 4 LSBs of BS ID

Frame\_Number

4 LSBs of Frame Number field as specified in Table 200. This field shall secure reconstruction of PHY Synchronization field for purpose of encryption in the case DL-MAP is not transmitted in the current frame

#### **Configuration\_Change\_Count**

4 LSBs of Change Count value as specified in 6.4.3.2.1

#### Rate\_ID /DIUC

Field that defines the burst profile of the following burst. Encoding is specified in Table 195. For the first IE it shall be Rate\_ID encoded according to the Table 195. For following IEs this field is DIUC that defines the burst profile of the corresponding burst.

#### Length

Number of OFDM symbols (PHY payload and midamble if present) in the burst immediately following the FCH burst.

#### [Change in 8.3.4.4.]

DIUC value is used in the DL-MAP message and in DLFP to specify the Burst Profile to be used for a specific downlink burst.

#### [Change in 7.5.1]

The CBC IV shall be calculated as follows: in the downlink, the CBC shall be initialized with the exclusive-or (XOR) of (1) the IV parameter included in the TEK keying information, and (2) the content of the PHY Synchronization field (right justified) of the latest DL-MAP. In the uplink, the CBC shall be initialized with the XOR of (1) the IV parameter included in the TEK keying information, and (2) the content of the PHY Synchronization field of the DL-MAP that is in effect when the UL-MAP for the uplink transmission is created/received. In the case DL-MAP was not transmitted in the current frame, content of PHY Synchronization field (Frame Number and Frame Duration Code) shall be reconstructed using previously received DL-MAPs.

# 6. <u>Specific Changes in 802.16-REVd/D2-2003 to Support STC</u> with New DLFP Format

#### [It is assumed that all changes specified in Section 6 of this document are already done] [Change in 8.3.4.1]

A downlink PHY PDU starts with a long preamble, which is used for PHY synchronization. The preamble is followed by a FCH burst. The FCH burst is one OFDM symbol long and is transmitted using **QPSK** BPSK rate ½ with the mandatory coding scheme.

## [After changes specified above for 8.3.4.1]

The DIUC encoding is defined in the DCD messages.

DL Subframe may optionally contain an STC zone where all DL bursts are STC encoded. If STC zone is present, the last used IE in DLFP shall have DIUC = 0 (see Table 202). Then the IE contains information on start time of STC zone (see Table 196). The STC zone ends at the end of the frame.

STC zone starts from preamble (see NNN) and STC encoded FCH-STC burst, which is one symbol with the same payload format as specified in Table 196. FCH-STC burst is transmitted at QPSK <sup>1</sup>/<sub>2</sub>. It is followed by one or several STC encoded PHY bursts. The first

burst in STC zone may contain DL-MAP applicable only to STC zone. If DL-MAP is present, it shall be the first MAC PDU in the payload of the burst.

Syntax	Size	Notes
DL_Frame_Prefix_Format() {		
Base_Station_ID	4 bits	4 LSBs of BS SD
Frame_Number	4 bits	8 LSBs of Frame Number field as specified in Table 200
Configuration Change Count	4 bits	4 LSBs of Change Count value as specified in 6.4.3.2.1
Number_of_IEs	4 bits	
for (n=0; n < 4; n++) {		
DL_Frame_Prefix_IE() {		
Rate_ID /DIUC	4 bits	
If ( DIUC != 0) {		
Midamble present	1 bit	If '1', midamble is placed before the burst
Length	12 bits 11 bits	
} else {		
Start Time	12 bits	Start time of STC zone in units of symbol duration counted from the beginning of the frame
}		
}		
HCS	8 bits	
}		

#### [Changes in Table 196]

# [Change in Table 202]

DIUC	Usage
θ	Reserved
0	STC zone
<del>1-12</del>	Burst Profiles
1-11	
12	Reserved
13	Gap
14	End of Map
15	Extended DIUC

# 7. <u>References</u>

- [1] IEEE P802.16-REVd/D2-2003 Draft IEEE Standard for local and metropolitan area networks Part 16: Air Interface for Fixed Broadband Wireless Access Systems
- [2] IEEE C802.16d-03/63 Compressed DL-MAP format for OFDMA PHY by Itzik Kitroser et al.