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Re:	This document accompanies a comment on IEEE 802.16e/D5a		
Abstract	The document contains changes suggested for Scanning Request and Response message to improve Scanning procedure		
Purpose	The contribution accompanies comment to be considered within the P802.16e/D5a Ballot Resolution Committee Recirculation		
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Optimization of Scanning procedure

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1. Background

Section 6.3.20.1.2 "MSS Scanning of available BS" of 802.16e/D5a says:

"In the MOB_SCN-REQ MAC management message the MSS, and in the MOB_SCN-RSP MAC management message the BS shall indicate either Scanning, Scan type = 0, or Association, Scan type = 1, as the intended MSS activity during the Scanning Interval. If Scan type = 1, Association, then the MSS and BS may include, in their respective messages, one or more Association Test BSID. The BS may send over the backbone to the Association Test BS request to allocate non-contention based ranging opportunity, at the appropriate timing interval, for MSS to conduct Association ranging with the Association Test BS. When conducting initial ranging to Association Test BS, MSS shall use allocated non-contention based ranging opportunity, if available. Regardless of value of Scan type and the presence of one or more Association Test BSID, MSS may determine and perform any scanning or ranging or Association activities during Scanning Interval at its own discretion."

There are several problems with this procedure.

1. How they neighbor BS may predict when MSS arrives to their channel? Even MSS itself does not know precisely as worst case of DL synchronization latency is large: is it product of (# of frequencies to scan) x (# of GIs to try) x (# of preambles to try etc.) x (estimated # of frames to get synchronized to DL at proper frequency). For the first BS in the list of Test BSs it may be disputable, but certainly not for next BSs where latency of ranging is added by each previous BS.

2. Why should MSS request (and BS approve) for either scanning-only for all BSs or scanning-and-ranging for all BSs?

2. Addition: Problem with Fast Ranging

Fast Ranging IE (8.4.5.4.20) may be placed in the UL-MAP message by a BS to provide a non-contention based initial-ranging opportunity. Additional problem discovered during analysis of Association option is that Fast Ranging IE is not consistent with later updates on OFDMA UL subframe structure where allocations typically are not rectangular and not necessarily fit definitions of Ranging subchannel in 8.4.7. This is why OFDMA UL-MAP IE format (Table 285) considers (CDMA) ranging allocation a special case. Such allocation (UIUC=12) is provided in terms of OFDMA Symbol offset + Subchannel offset + No. OFDMA Symbols + No. Subchannels rather than in terms of Duration (number of OFDMA slots). In both Network reentry and Association initial transmission of MSS must be transmission of CDMA code, so Fast Ranging IE should provide allocation in same terms as regular IE for UIUC=12.

<u>2.3.</u> Suggested remedy for Scanning procedure

[Change Table 106g and following text]

Syntax	Size	Notes
MOB_SCN-REQ_Message_Format() {		
Management Message Type = 54	8 bits	
Scan duration	8 bits	Units are frames
	1 bit	0: Scanning
		1: Association
Reserved	3 bites	Shall be set to zero
$-If (Scan type = 0) \{$	8 bits	
Interleaving interval	8 bits	Units are frames
Scan Iteration	8 bits	
Else { N_Recommended_BS_ Scanning	<u>4 bits</u>	
For (j=0; j <n_recommended_bs<u>Scanning; j++) {</n_recommended_bs<u>		
<u> </u>	<u>1 bit</u>	0: Scanning
		1: Association
Reserved	<u>7 bit</u>	
Association <u>Recommended Test</u> BS ID <u>Scanning</u>	48 bits	
}		
N_Recommended BS_Associations	4 bits	
For (j=0; j <n_recommended_bs_association; j++)="" td="" {<=""><td></td><td></td></n_recommended_bs_association;>		
Recommended BS ID Association	<u>48 bits</u>	
}		
HMAC Tuple	21 bytes	
}		

The following parameters shall be included in the MOB_SCN-REQ message,

Scan duration

Duration (in units of frames) of the requested scanning period.

Scan type

Operation that a MSS intends during Scanning Interval (0) Scanning (1) Association.

HMAC Tuple (see 11.1.2)

The HMAC Tuple Attribute contains a keyed Mmessage digest (to guarantee the origin and integrity of the message).

If Scan type is set to '0', the following parameters shall be included in the MOB-SCN-REQ message:

Interleaving Interval

The period of MSS's Normal Operation which is interleaved between Scanning Durations.

Scan Iteration

The requested number of iterating scanning interval by an MSS

<u>N_Recommended_BS_Scanning</u> Number of BSs which the MSS plans to scan only

Recommended BS ID Scanning BS IDs of those BSs the MSS plans to scan

If Scan type is set to '1', the following parameters may be included in the MOB-SCN-REQ message:

Association Test BS ID

N_Recommended_BS_Association

Number of BSs which the MSS plans to scan and try association

Recommended BS ID Association

BS IDs of those BSs the MSS plans to scan and try association

Association Test <u>Recommended This</u>-BS ID field may be included only if an MSS has a candidate available BS. <u>It means that MSS calls Serving BS for assistance to make appointment with the Recommended BS for non-</u> <u>contention based ranging opportunity to perform association-</u><u>to associate</u>. <u>Multiple Association Test</u> <u>Recommended BS IDs may be included in the MOB-SCN-REQ message</u>.

[Change Table 106h and following text]

Syntax	Size	Notes
MOB_SCN-RSP_Message_Format() {		
Management Message Type = 55	8 bits	
Scan duration	8 bits	Units are frames
<u>if (Scan Duration ==0) {</u>		
HMAC Tuple	<u>21 bytes</u>	
<u>}</u>		
<u>else {</u>		
Start frame	4 bits	
	1 bit	0: Scanning
		1: Association
- reserved	7 bites	Shall be set to zero
$- If (Scan type = 0) \{$		
Interleaving interval	8 bits	Units are frames

	1	
Scan iteration	8 bits	
Report mode	2 bits	
Scan report period	8 bits	
Reserved	2 bits	
Else [<u>N_Recommended_BS_Scanning</u>	<u>4 bits</u>	
For (j=0; j <n_recommended_bs<u>Scanning; j++) {</n_recommended_bs<u>		N_Recommended_BS can be derived from the known length of the MAC message
Association Test BS ID Recommended BS ID Scanning	48 bits	
}		
N_Recommended BS_Association	<u>4 bits</u>	
For (j=0; j <n_recommended_bs_associations; j++)="" td="" {<=""><td></td><td></td></n_recommended_bs_associations;>		
Recommended BS ID Association	<u>48 bits</u>	
Rendevouz_time	16 bits	
	16 bits	
HMAC Tuple		
1		
}		

Scan duration

Duration (in units of frames) where the MSS may perform scanning or association for Available BS. If the BS sets this field to be zero to disapprove the MSS's request, all other parameters except HMAC Tuple shall be omitted in the message.

Start Frame

Measured from the frame in which this message was received. A value of zero means that <u>it first Scanning</u> <u>Interval starts will start</u> in the next frame. <u>If Scan type=1 and multiple Association Test BS IDs are included in</u> <u>MOB-SCN-RSP message. The MSS may perform Association with the first Association Test</u> <u>BS at Start Frame and sequentially perform Association with each following Association Test</u> <u>BS in the message.</u>

Start type

0 : BS approval of requested/directed Scanning operation 1 : BS approval of requested/directed Association operation

The Scan type field in MOB-SCN-RSP message shall have the same value as Scan type in MOB-SCN-REQ.

If Scan type is set to '0', the following parameters shall be included in the MOB-SCN-REQ message:

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Interleaving interval

The period interleaved between Scanning Intervals when MSS may shall perform Normal Operation.

Scan iteration

The number of iterating scanning intervals

Report mode

Action code for an MSS's report of CINR measurement:
00: The MSS measures channel quality of the Available BSs without reporting.
01: The MSS reports the result of the measurement to Serving BS periodically. The period of reporting is different from that of scanning.
10: The MSS reports the result of the measurement to Serving BS after each measurement.
11: reserved

Scan report period

The period of MSS's report of CINR measurement when the MSS is required to report the value periodically.

If Scan type is set to '1', the following parameters may be included in the MOB-SCN-REQ message,

<u>N_Recommended_BS_Scanning</u>

Number of BSs which the BS recommends to scan only

Recommended BS ID Scanning

BS IDs of those BSs the BS recommends to scan

N_Recommended_BS_Association

Number of BSs which the BS recommends to scan and try association

Recommended BS ID Association

BS IDs of those BSs the BS recommends to scan and try association It means that BS makes for MSS an appointment with the Recommended BS for non-contention based ranging opportunity to perform association.

Rendezvous time

This is offset, measured in units of frame duration (of Serving BS), when the corresponding Recommended BS is expected to provide non-contention based ranging opportunity for the MSS. The offset is calculated from the frame where MOB_SCN-REQ message is transmitted. In case Scan type = 0 the parameter is not applicable and shall be encoded as 0. The Recommended BS is expected to -provide non-contention based Ranging opportunity within 5 frames interval starting from the frame specified by Rendezvous time parameter.

Association Test BS ID Recommended BS ID

Recommended Association Test BS <u>Recommended BS ID</u> list for Association. If multiple Association Test BS IDs are included in the message, the MSS may perform Association in ascending order of the sequence of Association Test BS ID presented in the message. Serving BS may request, over the backbone, Association Test BS from Recommended BS allocation of non-contention based initial ranging opportunity for MSS Association

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activity. When conducting initial ranging to <u>Association Test Recommended</u> BS, MSS shall use allocated noncontention based initial ranging opportunity, if available.

[Change in 6.3.20.1.2, p. 128 line 43]

In the MOB_SCN-REQ MAC management message the MSS, and in the MOB_SCN-RSP MAC management message the BS shall indicate either Scanning, Scan type = 0, or Association, Scan type = 1, as the intended MSS activity during the Scanning Interval. If Scan type = 1, Association, then the MSS and BS may include, in their respective messages, one or more Association Test BSID Recommended BS IDs. The BS may negotiate send-over the backbone with to-the Association Test BS Recommended BS ID request to allocate allocation of non-contention based ranging opportunity, at the appropriate timing interval, for MSS to conduct Association ranging with the Association Test BS Recommended BS ID. When conducting initial ranging to Association Test BS Recommended BS ID. When conducting initial ranging opportunity, if available. Regardless of value of Scan type and the presence of one or more Association Test BSID Recommended BS IDs, MSS may determine and perform any scanning or ranging or Association activities during Scanning Interval at its own discretion.

4. Suggested Remedy for Fast Ranging IE

Syntax	Size	Notes
Fast_UL_Ranging_IE {		
Extended UIUC	4 bits	0x06
Length	4 bits	Length = $0x08$ variable
HO ID indicator	1 bit	0: MAC Address is present 1: HO ID is present
padding		Shall be set to zero
if (HO ID indicator == 1) {		
HO ID	8 bits	
} else {		
MAC address	48 bits	MSS MAC address as provided on the
		RNG_REQ message on initial system entry
}		
UIUC	4 bits	UIUC \neq 15. A four-bit code used to define the
		type of uplink access and the burst type
		associated with that access.
if (UIUC == 12) {		
OFDMA Symbol offset	<u>8 bits</u>	
Subchannel offset	<u>7 bits</u>	
No. OFDMA Symbols	<u>7 bits</u>	
No. Subchannels	<u>7 bits</u>	
Ranging Method	<u>2 bits</u>	0b00 - Initial Ranging over two symbols
		<u>0b01 - Initial Ranging over four symbols</u>

[Change in Table 298g and in the following text, p. 276 line 59]

		Ob10 - BW Request/Periodic Ranging over one symbolOb11 - BW Request/Periodic Ranging over three symbols
reserved	<u>1 bit</u>	Shall be set to zero
<u>} else {</u>		
Duration	10 bits	In OFDMA slots (see 8.4.3.1)
Repetition coding indication	2 bits	0b00 - No repetition coding
		0b01 - Repetition coding of 2 used
		0b10 - Repetition coding of 4 used
		0b11 - Repetition coding of 6 used
}		

<u>CID</u>

Basic CID of MSS to which ranging opportunity is allocated. In case UIUC = 12 allocation shall be used for CDMA code transmission as specified in 8.4.7

<u>UIUC</u>

UIUC used for the burst.

OFDMA Symbol offset

The offset of the OFDMA symbol in which the burst starts, the offset value is defined in units of

OFDMA symbols and is relevant to the Allocation Start Time field given in the UL-MAP message. Subchannel offset

The lowest index subchannel used for carrying the burst, starting from subchannel 0. When allocation of mini-subchannels is used this offset will always be even numbered and will point to the first subchannel of the couple splitted into mini-subchannels and used in the allocation.

No. OFDMA Symbols

The number of OFDMA symbols that are used to carry the uplink Burst.

No. subchannels

The number of subchannels with subsequent indices

Ranging Method

Specifies option of CDMA code transmission according to 8.4.7

Duration

Indicates the duration, in units of OFDMA slots, of the allocation.

Repetition coding indication

Indicates the repetition code used inside the allocated burst.

HO ID indicator

An indicator to indicate whether HO ID or MAC Address is being used to identify an MSS during HO.

HO ID

-- An identifier assigned to an MSS for use during initial ranging to the selected target BS.