Project	IEEE 802.16 Broadband Wireless Access Working Group <a href="http://ieee802.org/16">http://ieee802.org/16</a> >					
Title	Improved CTC Performance					
Date Submitted	2005-01-10					
Source(s)	T. Keith Blankenship keith.blankenship@motorola.com Yufei Blankenship yufei.blankenship@motorola.com Brian Classon brian.classon@motorola.com Motorola					
Re:	IEEE P802.16-REVe/D5a, BRC recirc					
Abstract	This contribution demonstrates that the convolutional turbo code (CTC) interleavers for block sizes 120 bytes and above have performance deficiencies. By selecting different interleaver parameters for these block sizes, the deficiencies can be corrected. The performance improvement in AWGN at $10^{-4}$ FER with the new parameters is at least 0.5 dB and in some cases up to 1.3 dB.					
Purpose	To provide improved CTC channel coding interleaver parameters when supporting H-ARQ with 802.16e units.					
Notice	This document has been prepared to assist IEEE 802.16. It is offered as a basis for discussion and is not binding on the contributing individual(s) or organization(s). The material in this document is subject to change in form and content after further study. The contributor(s) reserve(s) the right to add, amend or withdraw material contained herein.					
Release	The contributor grants a free, irrevocable license to the IEEE to incorporate material contained in this contribution, and any modifications thereof, in the creation of an IEEE Standards publication; to copyright in the IEEE's name any IEEE Standards publication even though it may include portions of this contribution; and at the IEEE's sole discretion to permit others to reproduce in whole or in part the resulting IEEE Standards publication. The contributor also acknowledges and accepts that this contribution may be made public by IEEE 802.16.					
Patent Policy and Procedures	The contributor is familiar with the IEEE 802.16 Patent Policy and Procedures <a href="http://ieee802.org/16/ipr/patents/policy.html">http://ieee802.org/16/ipr/patents/policy.html</a> , including the statement "IEEE standards may include the known use of patent(s), including patent applications, provided the IEEE receives assurance from the patent holder or applicant with respect to patents essential for compliance with both mandatory and optional portions of the standard." Early disclosure to the Working Group of patent information that might be relevant to the standard is essential to reduce the possibility for delays in the development process and increase the likelihood that the draft publication will be approved for publication. Please notify the Chair <mailto:chair@wirelessman.org> as early as possible, in written or electronic form, if patented technology (or technology under patent application) might be incorporated into a draft standard being developed within the IEEE 802.16 Working Group. The Chair will disclose this notification via the IEEE 802.16 web site <a href="http://ieee802.org/16/ipr/patents/notices&gt;">http://ieee802.org/16/ipr/patents/notices&gt;"&gt;http://ieee802.org/16/ipr/patents/notices&gt;</a>.</mailto:chair@wirelessman.org>					

## **Current CTC Performance**

The convolutional turbo code (CTC), a parallel concatenation of two duo-binary tail-biting recursive systematic codes, is an optional error control coding mode in 802.16-REVd/D5. The CTC interleaver, defined in 8.4.9.2.3.1 and 8.4.9.2.3.2, uses an "almost regular" permutation (ARP) [1],

$$\pi(i) = (iP_0 + d(i)) \operatorname{mod} N \tag{1}$$

where  $0 \le i \le N-1$  is the sequential index,  $\pi(i)$  is the permuted index, N is the information block size in bit couples,  $P_0$  is a number that is relatively prime to N, and d(i) is a "dither" vector. For all 802.16 block sizes, d(i) assumes the form

$$d(i) = \begin{cases} 1, & i \mod 4 = 0\\ 1 + N/2 + P_1 & i \mod 4 = 1\\ 1 + P_2 & i \mod 4 = 2\\ 1 + N/2 + P_3 & i \mod 4 = 3 \end{cases}$$
 (2)

for  $0 \le i \le N-1$ . The values of  $P_0$ ,  $P_1$ ,  $P_2$ , and  $P_3$  depend on N, and are listed in Tables 324 and 325. Henceforth, this document only considers block sizes contained in Table 325.

Figure 1 plots the simulated frame error rate (FER) versus  $E_b/N_0$  using the current 802.16 CTC interleaver specification. The results assume a rate-1/2 code, binary modulation over a static additive white Gaussian noise (AWGN) channel, 7.5 decoding iterations, and perfect "genie" knowledge by the decoder of the encoder circulation states. Sub-figure (a) plots results for 6*n*-byte data block sizes (n = 1, 2, 3, 4, 6, 8, 10), and sub-figure (b) plots results for the larger 120*n*-byte data block sizes (n = 1, 2, 3, 4, 5).

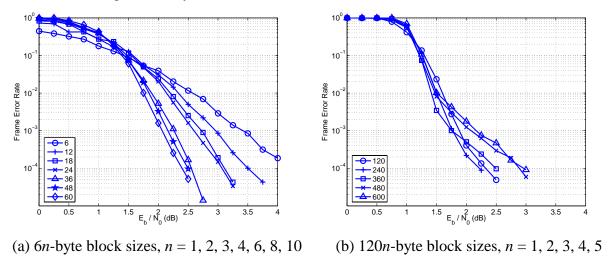


Figure 1. FER performance for currently specified CTC interleavers.

The performance of the 6n-byte block sizes displays the expected turbo code behavior of improving performance with increasing block size. Furthermore, no error floor is discernable down to a FER of  $10^{-4}$ . However, the performance of the 120n-byte block sizes displays the opposite. Here, the performance degrades with increasing block size (above 240-byte) and a distinct error floor is present.

## **CTC Performance with New Interleaver Parameters**

A new set of CTC interleaver parameters was designed to correct the performance deficiencies of the 120n-byte block sizes. The new parameters were selected according to guidelines prescribed in [1]. The FER performance (rate-1/2, binary modulation, static AWGN channel, 7.5 decoding iterations, and "genie" circulation state knowledge) with the new parameters is plotted in Figure 2. The figure shows that the new parameters correct the performance deficiencies of the current parameters. At FER =  $10^{-4}$  the performance with the new parameters is at least 0.5 dB and in some cases up to 1.3 dB better than with the current parameters.

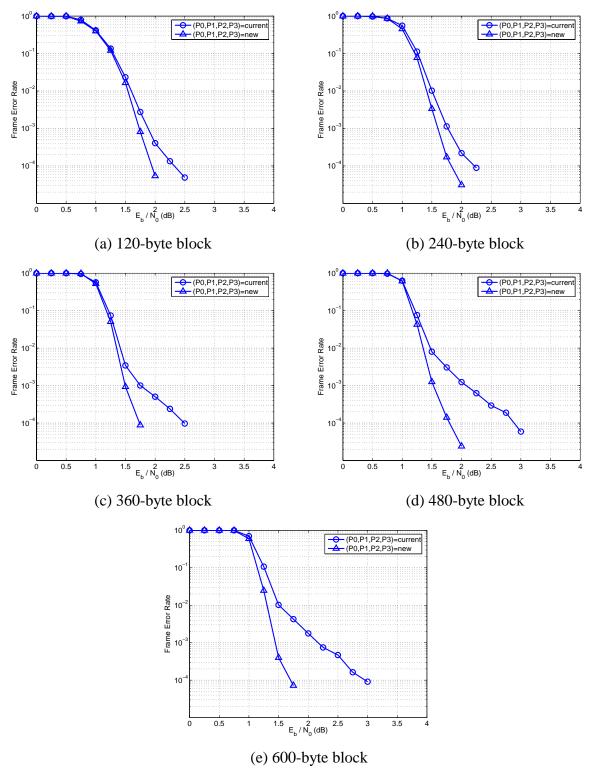


Figure 2. Performance with new CTC interleaver parameters.

## References

[1] C. Berrou *et al.*, "Designing good permutations for turbo codes: towards a single model," in *Proceedings of the 2004 IEEE International Conference on Communications*, vol. 1, pp. 341-345.

## **Recommended Text Changes:**

Add the following text and table to 802.16e\_D5a, adjusting the numbering as required.

<Insert new section 8.4.9.2.3.1 on p. 330>

<Add the following text and Table 325a to new section 8.4.9.2.3.1 on p. 330. The text precedes Table 324 (p. 600 of 802.16-REVd/D5), and Table 325a immediately follows Table 325 (p. 601 of 802.16-REVd/D5). In Table 325a do not include the strikethrough marks – these are included to show the difference between Table 325 and 325a.>

Table 325a shows the code parameters for HARQ for any CTC coded unicast transmission to or from an 802.16e-compliant unit.

Table 325a – Optimal CTC channel coding per modulation when supporting H-ARQ

Data block size (bytes)	N	PO	P1	P2	Р3
6	24	5	0	0	0
12	48	13	24	0	24
18	72	11	6	0	6
24	96	7	48	24	72
36	144	17	74	72	2
48	192	11	96	48	144
60	240	13	120	160	180
120	480	<del>13</del> 53	<del>240</del> 62	<del>120</del> 12	<del>360</del> 2
240	960	<del>13</del> 43	<del>480</del> 64	<del>240</del> 300	<del>720</del> 824
360	1440	<del>17</del> 43	720	360	540
480	1920	<del>17</del> 31	<del>960</del> 8	<del>480</del> 24	<del>1440</del> 16
600	2400	<del>17</del> 53	<del>1200</del> 66	<del>600</del> 24	<del>1800</del> 2