



Optical transmission feasibility for 400GbE extended reach PMD

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■ Background

Service provider's need for 400GbE extended reach optical PMD

- [400 GbE Extended Reach PMD](#) (NG-ECDC Ad-hoc, Atlanta, Jan. 2016)
- [400GbE Requirement in MBB and FBB](#) (NG-ECDC Ad-hoc, Macau, Mar. 2016)

Technical investigation for 200GbE/400GbE extended reach transmission

- [FEC Options for Extended Reach of 50/200/400GbE](#) (NG-ECDC Ad-hoc, Macau, Mar. 2016)

■ Purpose of this presentation

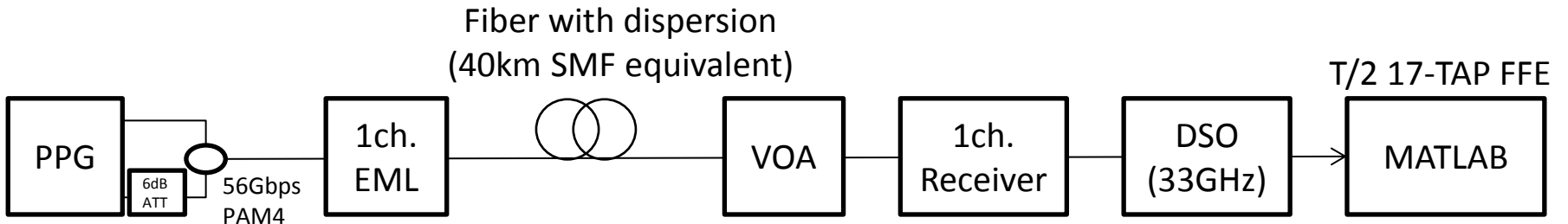
Show technical feasibility for 400GbE Extended reach optical PMD.

- 56Gb/s PAM4 optical transmission experiment assuming 8-lane 400GbE
 - Receiver sensitivity with EML and APD receiver (PIN-PD for reference)
 - Worst-case dispersion penalty assuming 8x56G PAM4 (LAN-WDM) transmission over 40km SMF

Evaluation overview and summary of results



1ch. 56Gbps PAM4 optical transmission experiments using different EMLs and an APD/PIN-PD receiver. Dispersion of fiber is set assuming worst-case dispersion for LAN-WDM transmission over 40km SMF.



| Tx | Fiber dispersion [ps/nm] | Rx | KP4 (limit=2E-4) | | Stronger FEC(limit=1E-3 *2) | |
|-------------------------------------|--------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
| | | | Min. receiver sensitivity*1 [dBm] | CD Penalty [dB] | Min. receiver sensitivity*1 [dBm] | CD Penalty [dB] |
| EML#1 ER=5.6[dB] 1304.3nm(L6) | -203 | PIN-PD receiver | -18.6 | ~1.5 | -19.4 | ~0.5 |
| | 0 | | | | | |
| | +38 | | | | | |
| EML#2 ER=5.8[dB] 1308.9nm(L7) | -203 | APD receiver | -22.8 | ~1.5 | -23.9 | ~0.5 |
| | 0 | | | | | |
| | +38 | | | | | |

* 1 OMAinner, Without WDM-demux, value at zero ps/nm

* 2 tentative BER limit assuming possible FEC(s) stronger than KP4

Evaluation results



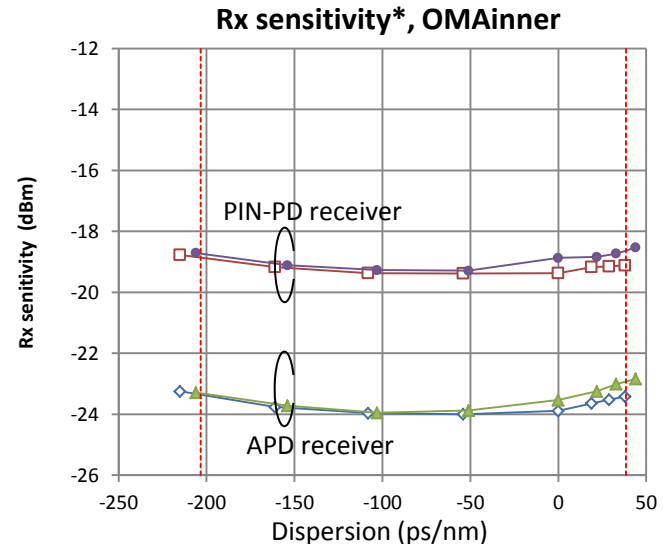
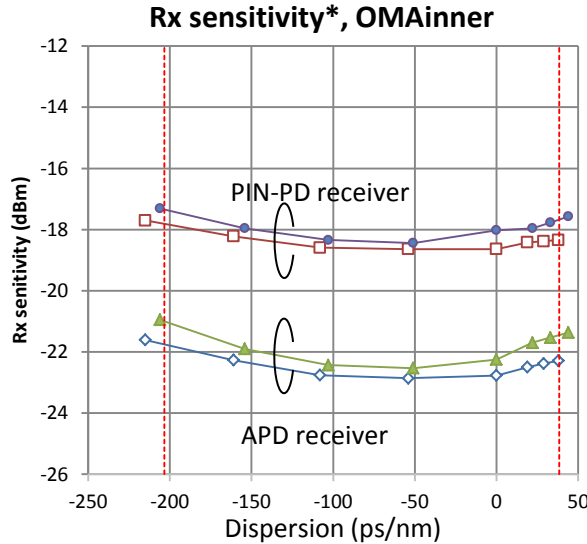
KP4 FEC (limit = 2E-4)

Min. Rx sensitivity (EML#1): -22.8 dBm
 Min. Rx sensitivity (EML#2): -22.2 dBm
 CD penalty : ~ 1.5 dB

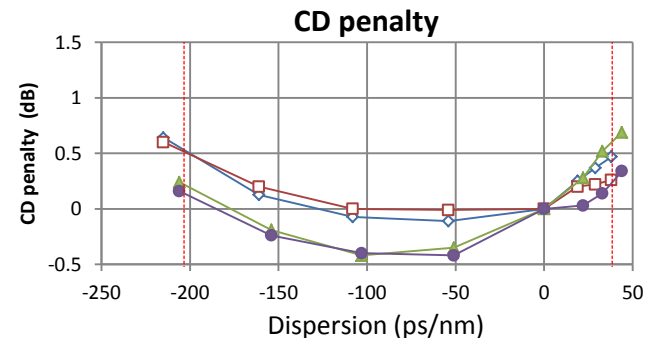
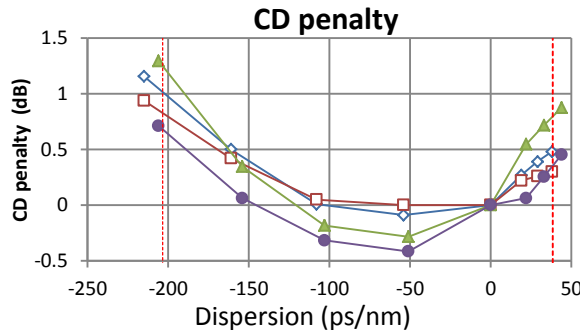
Stronger FEC (limit = 1E-3)

-23.9 dBm
 -23.5 dBm
 ~ 0.5 dB

- ◇— EML#1+APD
- EML#1+PIN-PD
- ▲— EML#2+APD
- EML#2+pin-PD
- Target dispersion
-203.3 to +38.5ps/nm



* Without 8λ WDM demux loss

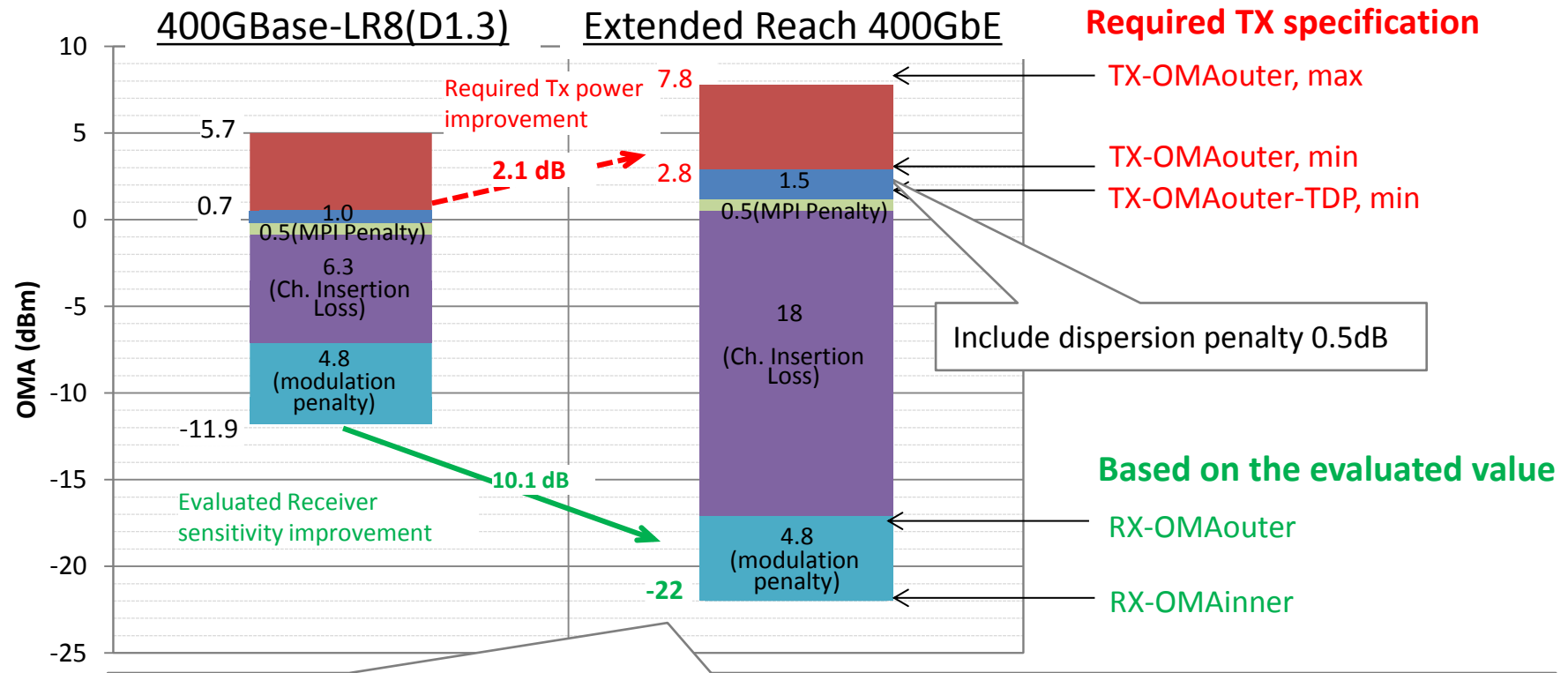


Example link budget consideration

Given the current receiver-sensitivity with 1E-3 FEC limit and FFE 17-TAP, additional 2.1dB budget is required for 18 dB Ch. insertion loss.

Possible approaches:

Higher TX-output power, further improved RX sensitivity, stronger FEC.



FEC limit is BER=1E-3. About 2dB WDM-demux loss is included from the evaluated RX sensitivity of 1.ch. APD receiver in slide #4.

Stronger FEC options



Some kinds of RS-FEC [e.g. RS(864,771,46,10)] or staircase FEC can permit $>1E-3$ FEC limit with $<10\%$ overhead.

Higher Gain RS FEC Option

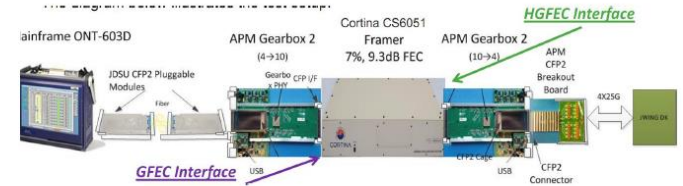
- In "wang_x_3bs_01a_0115", $\sim 7.53\text{dB}$ net coding gain with $\sim 9.09\%$ overhead will be available for RS(864,771,46,10);
- Assuming $\sim 16\%$ overhead, RS(888,744,72,10) will get $\sim 8.1\text{dB}$ net coding gain
- Assuming $\sim 16\%$ overhead, RS(592,496,48,10) will get $\sim 7.9\text{dB}$ net coding gain
- Assuming $\sim 23\%$ overhead, RS(912,720,96,10) will get $\sim 8.2\text{dB}$ net coding gain

| RS FEC(n,k,t,m) | CG | NCG* | BERin | Overhead | SerDes Rate | Block Time | Latency** | Area Ratio |
|---|------|------|----------|----------|-------------|------------|---------------------|------------|
| Group 1 : Similar RS FEC as KR4 FEC | | | | | | | | |
| RS(528,514,7,10) | 5.39 | 5.28 | 3.92E-05 | 0% | 25.78125 | 51.2ns | $\sim 87\text{ns}$ | 1X |
| RS(544,514,15,10) | 6.64 | 6.39 | 3.09E-04 | 3.03% | 26.5625 | 51.2ns | $\sim 112\text{ns}$ | 2.9X |
| RS(560,514,23,10) | 7.3 | 6.93 | 7.60E-04 | 6.06% | 27.34375 | 51.2ns | $\sim 208\text{ns}$ | 14.6X |
| RS(576,514,31,10) | 7.76 | 7.26 | 1.30E-03 | 9.09% | 28.125 | 51.2ns | $\sim 258\text{ns}$ | 33.4X |
| Group 2 : Large Block RS FEC | | | | | | | | |
| RS(1056,1028,14,11) | 6.07 | 5.95 | 1.29E-04 | 0% | 25.78125 | 102.4ns | $\sim 172\text{ns}$ | 2.6X |
| RS(1088,1028,30,11) | 7.12 | 6.88 | 6.06E-04 | 3.03% | 26.5625 | 102.4ns | $\sim 315\text{ns}$ | 16.7X |
| RS(1120,1028,46,11) | 7.7 | 7.33 | 1.20E-03 | 6.06% | 27.34375 | 102.4ns | $\sim 414\text{ns}$ | 54.8X |
| RS(1152,1028,62,11) | 8.11 | 7.61 | 1.90E-03 | 9.09% | 28.125 | 102.4ns | $\sim 514\text{ns}$ | 129.5X |
| Group 3 : RS(255,239) Like RS FEC | | | | | | | | |
| RS(255,239,8,8) | 6.12 | 5.83 | 1.39E-04 | 6.7% | 27.5 | 18.9ns | $\sim 49\text{ns}$ | 1.1X |
| RS(510,478,16,9) | 6.85 | 6.57 | 4.21E-04 | 6.7% | 27.5 | 42.5ns | $\sim 162\text{ns}$ | 5.3X |
| RS(1020,956,32,10) | 7.34 | 7.06 | 7.95E-04 | 6.7% | 27.5 | 93.1ns | $\sim 304\text{ns}$ | 27.2X |
| Group 4 : 256/257b coding friendly RS FEC** | | | | | | | | |
| RS(800,771,14,10) | 6.29 | 6.13 | 1.83E-04 | 1.01% | 26.04 | 76.8ns | $\sim 140\text{ns}$ | 2.6X |
| RS(816,771,22,10) | 6.95 | 6.71 | 4.84E-04 | 3.03% | 26.5625 | 76.8ns | $\sim 232\text{ns}$ | 9.4X |
| RS(840,771,34,10) | 7.58 | 7.22 | 1.10E-03 | 6.06% | 27.34375 | 76.8ns | $\sim 306\text{ns}$ | 30.6X |
| RS(864,771,46,10) | 8.02 | 7.53 | 1.80E-03 | 9.09% | 28.125 | 76.8ns | $\sim 379\text{ns}$ | 72.1X |

High Gain FEC Proposal in 802.3bs

- In "corbeil_01_1114_smf", staircase FEC is tested with DMT modulation SMF link

The framer used was a Cortina CS6051 which has a (9.39dB NCG) staircase FEC with ITU-G.975.1 compatible, 7% overhead a latency of $<20\mu\text{s}$ and a $1E-15$ FEC threshold of $4.62E-3$



- For $\sim 7\%$ overhead, $\text{NCG} \sim 8.37\text{dB}$ with $\text{Pre-BER} \sim 4.7E-3$ and $\text{Post-BER} = 1E-12$

| Latency | BER for BER=1E-12 | Net Coding Gain @ 1E-12 | BER for BER=1E-15 | Net Coding Gain @ 1E-15 |
|---------|-------------------|-------------------------|-------------------|-------------------------|
| 2.25Mb | 4.70e-3 | 8.37dB | 4.62e-3 | 9.41dB |
| 2Mb | 4.66e-3 | 8.36dB | 4.55e-3 | 9.39dB |
| 1.75Mb | 4.62e-3 | 8.35dB | 4.50e-3 | 9.38dB |
| 1.5Mb | 4.20e-3 | 8.25dB | 3.80e-3 | 9.19dB |

Refer to : <http://www.stupi.se/Standards/100G-long-haul4.pdf>

Ref. FEC Options for Extended Reach of 50/200/400GbE (NG-ECDC ad-hoc, Macau, Mar. 2016)

Emerging technologies for reach extension



Required >2.1dB Tx-power increment is possible.

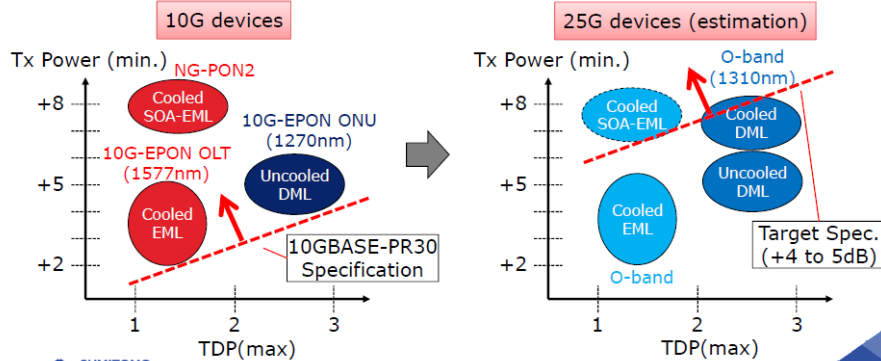
- Optimization of EML design or implementations
- Emerging technologies (SOA-EML)

[SOA-EML]

- Current public data show performance for 1.599 μ m (TX-OMA = 11dBm).
- Same performance is expected(in principal) for 1.3 μ m-band.

Tx Power & TDP of current Tx devices

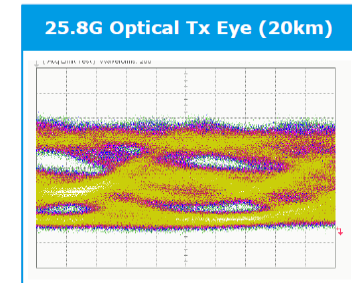
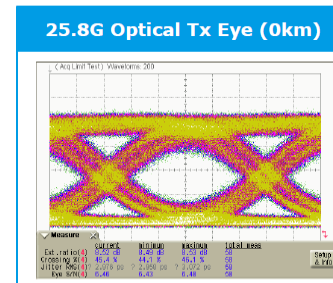
- 10G-EPON(10Gbps) : Cooled EML (OLT) and Uncooled DML (ONU) are used.
- 100G-EPON(25Gbps) : Need higher power devices. 25G devices are in O-band now.
We reviewed 25G NRZ performance of available 10G and 25G EML devices.



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10G SOA-EML for NG-PON2 OLT (1599nm)

- Wavelength 1598.738nm
- Launch power (ave) **+9.3dBm**, Extinction Ratio 8.5dB
- SOA-EML works at 25.8Gbps and the power is good. Need to optimize the package.
- SOA-EML is a candidate for high power transmitter.



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Ref. [25G NRZ Transmission](#)(802.3ca, Macau, Mar. 2016)

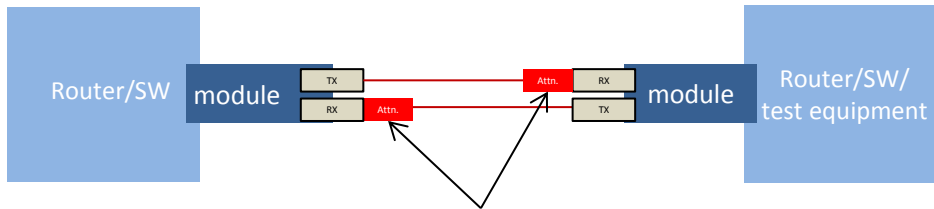
Operational constraint consideration



For ER module, some operational constraints can be relaxed if they enable low-cost implementations or increase implementation flexibility.

■ Minimum channel insertions loss

Support for optical back-to-back operation (Minimum attenuation = 0) is not mandatory. Insertion of optical attenuators is acceptable.



Insertion of optical attenuators is acceptable.

Existing market experiences

| | Minimum Attenuation |
|---------------|---------------------|
| 10GBase-ER | 5 dB |
| 40GBase-ER4 | 9 dB |
| 100GBase-ER4* | 0 dB |

*The average receive power, each lane (max) for 100GBASE-ER4 is larger than the 100GBASE-ER4 transmitter value to allow compatibility with 100GBASE-LR4 units at short distances.

■ Eye safety consideration

Considering ER module applications, class 1M(<16.3dBm*) is acceptable. In the early market, ER modules will be deployed only in the private buildings such as service provider's buildings.

*For 1310nm from non-parallel-SMF

Conclusion



- Worst case dispersion penalty evaluated assuming 8x56Gbps PAM4(LAN-WDM) with EML over 40km SMF was <math><1.5\text{dB}</math>.
- 400GbE Extended Reach(>10km) optical interface is technically feasible with APD receiver.
- Given latest technologies for transmitter, receiver and FEC, 40km reach is worth investigating for 400GbE Extended Reach PMD.



Innovative R&D by NTT

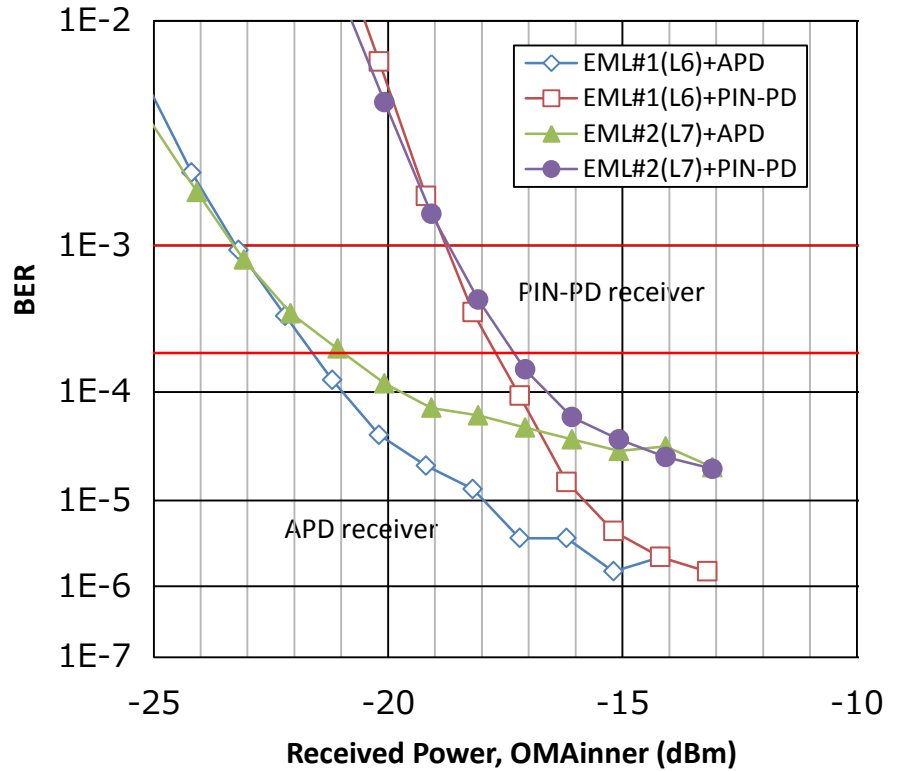
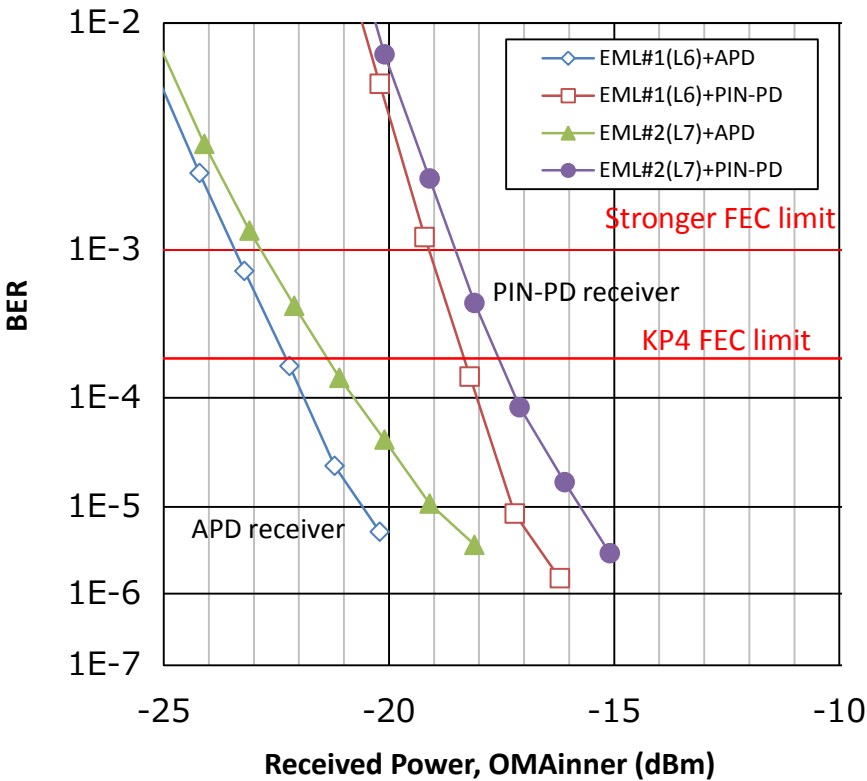
Backup slides

Measured BER at worst case dispersion



Worst-case positive dispersion:
+38ps/nm@L6, +44ps/nm@L7

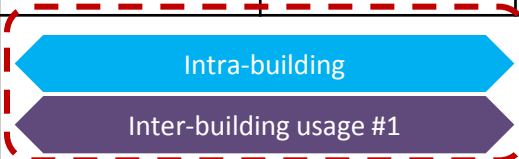

Worst-case negative dispersion:
-215ps/nm@L6, -206ps/nm@L7



400GbE 40km application

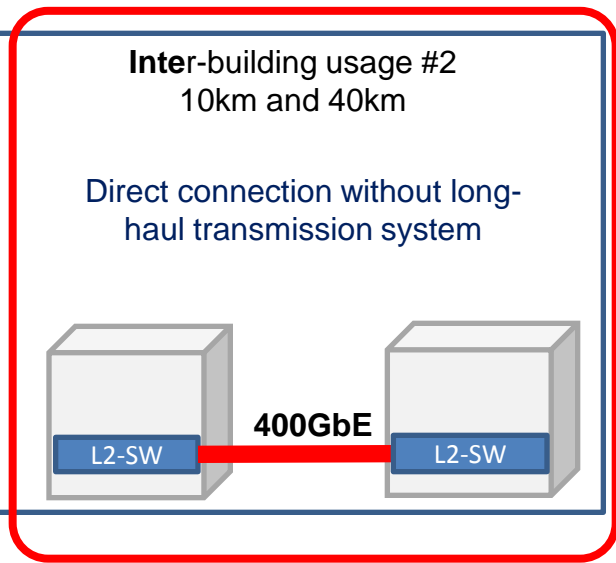
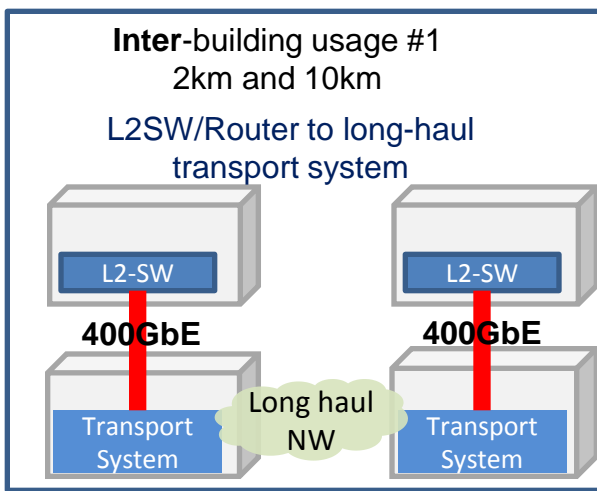
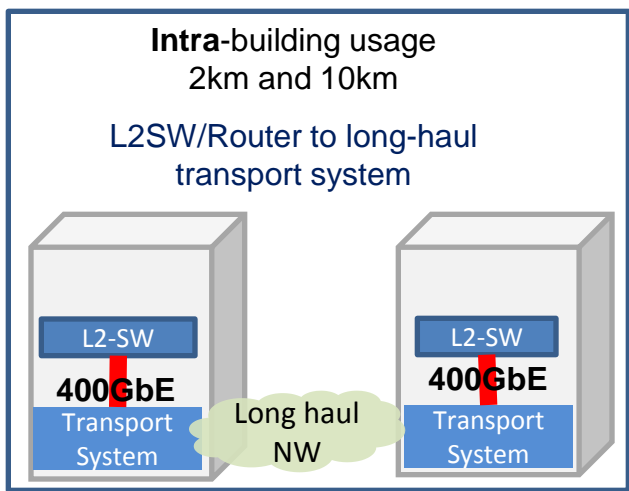
NTT Confidential

Extended reach interface is essential for inter-building connections in service providers networks.

| Media | Duplex single mode fiber | | |
|-----------------------|---|------|--|
| Transmission distance | 2km | 10km | 40km |
| Application |  | |  |
| 802.3bs Objectives | ✓ | ✓ | - |

10km reach:
Covers 50% of inter-building links
40km reach(For example):
Covers almost 100% of inter-building links

- low-cost solution for some metro areas
- low-latency



Worst-case dispersion for 40km SMF transmission

Worst-case dispersion for SMF transmission

■ Negative dispersion

$$0.93 \cdot \lambda \cdot [1 - (1324 / \lambda)^4] = -203.3 \text{ ps/nm}$$

■ Positive dispersion

$$0.93 \cdot \lambda \cdot [1 - (1300 / \lambda)^4] = +38.5 \text{ ps/nm}$$

4 x LR8-value

Table 123-5—Wavelength-division-multiplexed lane assignments

| Lane | Center frequency | Center wavelength | Wavelength range |
|----------------|------------------|-------------------|-----------------------|
| L ₀ | 235.4 THz | 1273.54 nm | 1272.55 to 1274.54 nm |
| L ₁ | 234.6 THz | 1277.89 nm | 1276.89 to 1278.89 nm |
| L ₂ | 233.8 THz | 1282.26 nm | 1281.25 to 1283.27 nm |
| L ₃ | 233 THz | 1286.66 nm | 1285.65 to 1287.68 nm |
| L ₄ | 231.4 THz | 1295.56 nm | 1294.53 to 1296.59 nm |
| L ₅ | 230.6 THz | 1300.05 nm | 1299.02 to 1301.09 nm |
| L ₆ | 229.8 THz | 1304.58 nm | 1303.54 to 1305.63 nm |
| L ₇ | 229 THz | 1309.14 nm | 1308.09 to 1310.19 nm |

Table 123-12—Transmitter compliance channel specifications

| PMD type | Dispersion ^a (ps/nm) | | Insertion loss ^b | Optical return loss ^c | Max mean DGD |
|--------------|---|---|-----------------------------|----------------------------------|--------------|
| | Minimum | Maximum | | | |
| 400GBASE-FR8 | $0.0465 \cdot \lambda \cdot [1 - (1324 / \lambda)^4]$ | $0.0465 \cdot \lambda \cdot [1 - (1300 / \lambda)^4]$ | Minimum | 19.8 dB | 0.8 ps |
| 400GBASE-LR8 | $0.2325 \cdot \lambda \cdot [1 - (1324 / \lambda)^4]$ | $0.2325 \cdot \lambda \cdot [1 - (1300 / \lambda)^4]$ | Minimum | 17.6 dB | 0.8 ps |

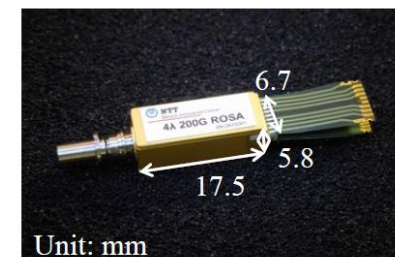
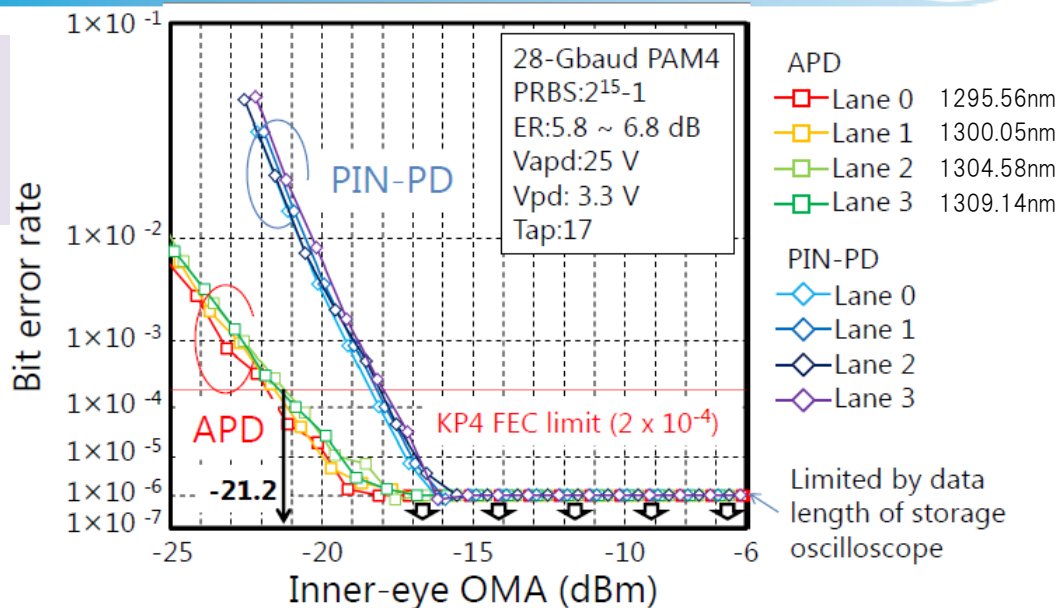
APD-ROSA performance example

Minimum receiver sensitivity (Inner-eye OMA) showed by the latest conference (OECC2015).

-21.2 dBm with KP4 FEC. -23.7 dBm with BCH (9193, 8192) FEC, -22.8dBm for 1E-3 limit

BER characteristics in back-to-back configuration

Source was modulated with a LN-MZ modulator of PRBS15



Receiver sensitivity: ≤ -21.2 dBm for BtoB
Improvement of sensitivity: around 3.3 dB